Almanac

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A New President’s Forum—

The Earth: Where’s the Common Ground?

The University’s 1993 President’s Forum, The Earth: Where’s the Common Ground?, will examine “successful partnerships that could prove coordinated interdisciplinary effort is possible and productive.” Environmental leaders from academia, industry and government will explore ways in which increased cooperation among their often disparate domains can be harnessed to address environmental problems in the U.S. and throughout the world.

The Forum celebrates the establishment of the University’s Institute for Environmental Studies and also commemorates the 100th anniversary of Chemical Engineering at Penn. Over the course of 100 years this scientific discipline transformed life on this planet. Chemical engineers are now critical contributors to the development of national policy and problem-solving for the environment. “In order for our national policies to be truly effective, government leaders at all levels, researchers and educators, corporate leaders and their staffs must join with private citizens in the search for new opportunities to integrate their efforts—a new common ground,” said Interim President Claire Fagin. “The President’s Forum begins this dialog.”

The keynote session of the Forum will take place 10:30-noon, Friday, October 22 at Alumni Hall in the Towne Building. There is no charge for registration, but space is limited and reservations are encouraged. Call Ext. 8-7221 in advance or register on site between 9:45 and 10:30 a.m.

Institute for Environmental Studies members, industry leaders and faculty will lead discussions afterwards in Lunch Breakout Discussions in Towne Building classrooms. Open Houses of centers for environmentally-related research, including the Institute for Environmental Studies and the Department of Chemical Engineering, will be held from 2-3 p.m.

Rounding out the afternoon is the Chemical Engineering Centennial Lecture, From the Stratosphere to Urban Smog, by John H. Seinfeld of CalTech, at 3:30 p.m. at the Towne Building.

In conjunction with these events, participants will be invited to preview an exhibit at the Arthur Ross Gallery, Creative Solutions to Ecological Issues, which will be open to the public on October 23 through February 13. The exhibition was organized by the Council for Creative Projects in New York. At Penn, an additional component is the result of the cooperation of faculty, students, and graduates of the Department of Landscape Architecture and Regional Planning of GSFA, and Gallery Director Dyls Winegrad.

Staff Changes: Three in New Roles Here...One Leaving

Four central administrative staff changes have been announced this term, two of them to be followed by searches (for a new athletic director as Paul Rubincam moves up, and a new affirmative action chief as Joann Mitchell leaves the University for Princeton; see below).

Linda S. Hyatt has been named Executive Director of the Office of the President. She will be Dr. Claire Fagin’s liaison to the twelve schools, with a role in presidential concerns for staff relations and student interaction.

Ms. Hyatt is a graduate of Duke University, magna cum laude, and of the Harvard University Institute for Educational Management. A designer, art instructor and advocate of the arts in therapy, she came to Penn from Virginia’s Roanoke Symphony Orchestra, where she was general manager. Beginning as a coordinator in the president’s office in 1986, she rose to associate director by 1992, and was acting executive director last year while John Wells Gould (now vice president for planning) was acting executive vice president. Ms. Hyatt has also been an administrative fellow in Ware College House, and for the past three years has been a fellow of the Upper Quad, where her husband, William F. Harris II, is Senior Faculty Resident.

Dr. Allen J. Green, director of the African American Resource Center, has been named Assistant to the Provost. He will continue as director of the Center, founded in 1989 under his leadership, and as a coordinator of the Commission on Strengthening the University.

Dr. Green is a two-time Fulbright Fellow who took his Ph.D. in history at UCLA after receiving the B.A. in political science at Luther College and M.A. in African history at Dar es Salaam in Tanzania. He joined the University in 1984 as a Fontaine Fellow and in 1986 became Faculty Master of DaBois College House. He has held numerous other positions here, among them lecturer in history, research fellow in African American Studies, consultant/instructor in PATHS, faculty director of the Penn-in-Ibadan program.

Paul Rubincam, director of recreation and intercollegiate athletics since 1985, will move to a new post in Development and Alumni Relations.

(continued next page)

Statement of the Executive Committee of the Trustees Concerning Dropping of Charges in the D.P. Confiscation Case

The Trustees of the University of Pennsylvania are unequivocal in our belief that freedom of speech is a core value of our University. We support Interim President Fagin and Interim Provost Lazerson’s recent strong statement on the importance of freedom of speech and freedom of the press and their stand that the confiscation of any publication on campus is wrong and will not be tolerated. In light of our convictions, many of us are not comfortable that charges against those students who confiscated The Daily Pennsylvanian were dropped and agree that all members of the University community from this time forward must be treated equally. At the same time, we understand it is time for Penn to put the events of the spring behind us.

The new administration, with the Trustees’ complete support, has initiated a review of University policies and procedures and the recent management of those policies. We look forward to reviewing the results to ensure that the University’s policies and procedures reflect our views as to the fundamental importance of freedom of speech and equal treatment of all University citizens, and to ensure that those responsible for their administration are held accountable for their actions. Penn is a great University, and we will accept no less.

— Passed at the Stated Meeting September 17, 1993
[see additional coverage of the stated meeting, page 2]
Staff Changes from page 1

Ms. Mitchell, an alumna of Davidson College who took her J.D. at Vanderbilt, has been on the staff of the University of Pennsylvania among other positions there. Before joining Penn she was assistant director of the Opportunity Development Center at Vanderbilt and an associate attorney with the Nashville, Tennessee, law firm of Manson, Jackson and Associates.

The Affirmative Action Council will act as a search committee for the post.

Trustees: More on D.P. Case

At the Stated Meeting of the Executive Board of Trustees Friday, Chairman Alvin Shoemaker opened with a series of five “housekeeping” resolutions on the Penn Health System, then presented the resolution on page one concerning the dropping of charges in the D.P. case. All six resolutions passed by voice vote.

In her report as Interim President Dr. Claire Fagin also discussed the JIO’s report and the decision to accept the recommendation. Summing up that the JIO had found the action a violation but recommended no further action, she reiterated that “Provost Lazerzon and I have accepted his recommendations in order to put this issue behind us and encourage everyone to get on with the educational mission of the University. But we want to make clear that future violations will not be tolerated: taking newspapers is wrong. The policy banning confiscation will now be widely disseminated to students. We hope the University will also continue to encourage a dialogue between the DP and African-American students. We will undertake a series of forums and discussions this year to educate students regarding issues of free speech and freedom of the press in a diverse academic community, and we will devote resources to making dialogue and mediation the first resort in the resolution of campus disputes.”

She added that the Commission chaired by Gloria Chismus has begun its work [see Open Letter, page 3], with student and staff members added to the membership, and concluded with special thanks to Dr. Chismus “for her dedicated service to the entire University community in leading this effort.”

Excerpts from the Racial Harassment Policy

I. Preamble: The Ideal of an Academic Community

The University of Pennsylvania is a community devoted to learning; it therefore provides the maximum latitude for the expression, examination and criticism of ideas. Indeed, the free and open exchange of ideas is the paramount value of the University community, and its realization requires that all members of the University community be able to participate in that exchange. Therefore, the intellectual freedom to which we aspire as a community requires that the University protect the rights of expression and of inquiry of each individual member of the University community, and that it also sustain an atmosphere that encourages the full participation of each of its members in the intellectual and academic life of the University.

In order to attain this goal, the University must be ready to protect the expression of ideas, opinions, information and knowledge that may be deemed objectionable and insulting to some members of the University community. The best protection against abhorrent ideas is the unfettered operation of the academic community as a forum for ideas.

At the same time, the University must also preserve the ability of all members of the community to participate fully in the life of the University. Therefore, the University does not tolerate abusive behavior by members of the University community. Such behavior is unacceptable disruptive of the University’s function. Under some circumstances the use of certain words or symbols may constitute abusive behavior. Words that are intended only to inflict pain and suffering are no more legitimate in our community than physical actions intended to inflict bodily harm. Such words can hurt as much as a physical assault. Therefore, abusive utterances or other conduct which are intended only to cause direct injury to an identifiable individual, on the basis of his or her race, color, ethnicity, or national origin, should not be tolerated any more than violence itself.

II. Racial Harassment Policy

No member of the University community may engage in racial harassment, regardless of time or place. Racial harassment is a violation of University policy and may be the basis for disciplinary action. “Racial harassment” is defined as any verbal or symbolic behavior that:

1. is directed at an identifiable person or persons; and
2. insults or demeans the person or persons to whom the behavior is directed, or abuses a power relationship with that person, on the basis of his or her race, color, ethnicity, or national origin, such as (but not limited to) by the use of slurs, epithets, hate words, demeaning jokes, or derogatory stereotypes; and
3. is intended by the speaker or actor only to inflict direct injury on the person or persons to whom the behavior is directed; or is sufficiently abusive or demeaning that a reasonable, disinterested observer would conclude that the behavior is so intended; or occurs in a context such that an intent only to inflict direct injury may reasonably be inferred.

To Send Comments to Dr. Fagin:
by memo: 100 College Hall/6303
by e-mail: rhp@A1 Quaker

Ed. Note: The Racial Harassment Policy consists of four sections and is found on pages 6 through 8 of the Policies and Procedures manual available from the Office of the VPUL. Section III is on “Related Policies and Laws” and Section IV is on “Resources,” listing those at Penn whose work is relevant to handling incidents of racial harassment.
Progress Report on the Presidential Search

As we begin a new academic year, I and my fellow members of the Consultative Committee would like to say a few words about the presidential search currently underway.

As a first step in our search process we have spent a great deal of time this summer talking with educational leaders around the country. We have asked them about the broad challenges facing higher education, their assessment of Penn’s recent achievements and current strengths and the unique problems or issues which Penn faces in the coming years. We have also spoken with our own Deans and have listened long and carefully to their comments.

We shall continue to solicit and listen to faculty, staff and student comments throughout the fall months. The committee’s next step is to discuss the critical qualifications which we should be seeking in our next president and formulate our selection criteria accordingly. I might add that we regularly receive letters offering advice and comments which we read with great interest and we thank everyone who has written.

We have received, and continue to receive, many nominations and letters of endorsement from faculty, alumni, trustees and friends of the University. It is gratifying to see the tremendous interest so many people have in Penn and their strong belief in our institution’s ability to meet the future’s challenges. Indeed, the optimism and good wishes of all who have written has been very encouraging and the committee is extremely grateful for such support.

We have been and are working very hard to develop a candidate pool that has the very broadest representation of talent and experience. We have engaged a consulting firm that is especially strong in identifying women, minorities and what we call “non-traditional” candidates—those persons who have succeeded in non-academic fields, such as government and business but who have strong academic credentials as well. We have also advertised extensively for the position so we are making every effort to find the best people.

Names of candidates will not be released because in order to attract the very best candidates we have to assure these persons of confidentiality so that their candidacy will not cause them problems where they are.

I am often asked about the committee’s time frame. We are very fortunate that Claire Fagin and Marvin Lazerson have agreed to step in and provide Penn with their very able leadership during this interim period. We do hope to move the search process along briskly but without sacrificing time for the committee to reflect and discuss in a thorough manner. Once the committee has reviewed all applicants it will make its recommendations to the Executive Committee of the Board of Trustees. The Executive Committee will then advise the full Board which will give final approval. It is our goal to have Penn’s next President on board by the start of the 1994-95 academic year.

Perhaps it is a cliché, but true, nonetheless, that selecting a president is a “defining moment” in an institution’s history so it must be done with care and much thought. It is a time for us to stand together, to look forward to our future because we are selecting a person to lead us into the next century. I hope everyone will look on this process with hope, optimism, confidence and a deep belief in the uniqueness and greatness of our university.

— Alvin Shoemaker, Chair of the Consultative Committee

Nominations by September 30: Honorary Degrees 1994

All members of the University community are invited to nominate candidates for honorary degrees to be awarded at the May 19, 1994, Commencement of the University of Pennsylvania.

Nominations are reviewed by the University Council Committee on Honorary Degrees, composed of faculty, undergraduate and graduate students. The Council Committee’s recommendations are then forwarded to the Trustees’ Committee on Honorary Degrees, which determines the final selections. The criterion for selection is the degree to which the candidate reflects the highest ideal of the University, which is to produce graduates who change the world through innovative acts of scholarship, scientific discovery, artistic creativity or societal leadership.

Please state in approximately 250 words why the nominee meets this criterion, including why you think the candidate should be honored by the University of Pennsylvania at this particular time.

You will be telephoned if further information is needed. Please feel free to provide any additional information you may have but do NOT ask the nominee for information.

Please send your nominations letter to the Honorary Degrees Committee, Office of the Secretary, 121 College Hall/6382. Please telephone Duncan Van Dusen, 898-7005, if you have any questions.

Nominations must be submitted no later than September 30, 1993.

An Open Letter to the Community: On Community

During the fall semester, the Commission on Strengthening the Community will invite the members of the University Community to share their views on matters that affect us all, including judicial procedures and policies; the interaction of faculty, students and staff; aspects of student living and learning; and the ways we communicate with each other. Commission members will be visiting with many faculty, students, staff and alumni groups. In addition, questionnaires and electronic polling will be used to find out what people are thinking. It is vital for the Commission’s success that we all participate in some way in this process. To this end, we ask that everyone take time to help by attending a meeting, writing a letter, or responding to the polling. In addition, we hope that administrators and supervisors will allow staff to participate in these activities (work schedules permitting). The Commission’s work should set a model of community involvement and communication; you are needed to make it work.

— Claire Fagin, Interim President

— Marvin Lazerson, Interim Provost

Wanted: Nominations for Provost

The recently-appointed Provost Search Committee (Almanac March 30, 1993) seeks the names of possible candidates for the office of Provost. All members of the University are urged to submit the names of possible candidates, both inside and outside the University, with special attention given to women and minority candidates. Any nominations should be accompanied by a statement of the candidate’s credentials. Letters should be sent by October 1, 1993, to the Provost Search Committee, c/o the Office of the Secretary, 133 S. 36th Street/3246.

— Andrew Postlewaite, Chair, Provost Search Committee

SAS Mail Ballot: 173-41 Against Changing ‘Sanction’ Procedures

A mail ballot conducted by the School of Arts and Sciences shows 173 of 220 respondents said “yes” to a motion from the April 13 faculty meeting (below) which opposes the Proposed University Procedures Governing Sanctions Taken Against Faculty Members published For Comment in Almanac February 9. Forty-one said “no” to the motion to oppose, and six abstained.

The full text of the motion, which has four “whereas,” paragraphs, appeared in Almanac April 20. Its concluding paragraphs read:

Be It Further Resolved, that the School of Arts and Sciences reserve the right to grant the respondent, if he or she so requests, the option of a hearing before the SAS School Committee on Academic Freedom and Responsibility, apart from any hearing before any “University Tribunal,” in accordance with current procedures.

— Andrew Postlewaite, Chair, Provost Search Committee
To the University Community:

The Greek Alumni(ae)/Advisors Council (GAC) has worked diligently over the past year to develop an alcohol policy for Penn’s Greek system which addresses its concerns regarding the use of alcohol at fraternity and sorority “events.” The policy both supports and follows the direction of the national fraternity and sorority alcohol policies. It is the hope of the GAC that this policy will help alleviate many of the risks associated with use of alcohol, while allowing for a responsible environment.

During the development of this policy, the GAC elicited input from the undergraduate Greek students, national fraternal organizations, Greek alumni(ae), and the University. The Office of Fraternity and Sorority Affairs believes in the GAC’s initiative and will actively work with the GAC and the Greek undergraduates to support the policy’s successful implementation.

— Tricia Phaup, Director of Fraternity and Sorority Affairs

A New Alcohol Policy for the Greek System at Penn

University and College campuses are currently caught in the turbulence of a difficult societal storm: alcohol. While alcohol is a common part of adult life, students making the transition into adulthood are legally barred from partaking. Incoming freshmen, for example, expect to obtain alcohol in college. Many of them look to the Greek System for this alcohol; associating Fraternities and Sororities with the infamous movie, “Animal House.”

As a result, for many years, the Pennsylvania Greek System has acted as a major provider of alcohol on this college campus. In the past, the abuse of alcohol posed less of a problem to this and other universities because society was more tolerant of the behavior. Today, however, tougher laws and the proliferation of law suits make alcohol a critical issue to both universities and fraternal organizations. In addition, alcohol abuse has become a significant deterrent to the educational experiences at institutions such as Penn, with its reputation as a party school. With two thirds of all students on college campuses under the legal drinking age, most universities are now taking steps to limit underage drinking and liquor liability. Unfortunately, such steps are often only in reaction to a serious accident or substantial law suit.

It is clear that the issue of liability has become a significant burden to Greek organizations. As advisors and leaders of the Greek System, we found it impossible to continue bearing liability for reckless behaviors of the past. We were also concerned about the detrimental impact of the abuse of alcohol on the unique educational experience at Penn.

With these factors in mind, the GAC (Greek Alumni(ae)/Advisors’ Council) has worked with the undergraduate Greek students to create a proactive policy which will allow for alcohol on this campus while shifting both responsibility and liability onto individuals who wish to drink. The policy will also serve to promote the responsible use of alcohol.

The most important tenet of this new policy is that Greek chapters must neither purchase nor pool money for the purchase of alcohol. In an effort to accommodate the various styles within the Greek System, we have split the social functions into two types: small and large. Large events are those traditionally known as parties. Small events encompass cocktail parties, mixers and other smaller, more intimate gatherings. Each type of event is regulated in accordance with the number and character of expected attendees. Both types of events, for example, will require a guest list and “BYOB.” Large events, however, are limited to beer and wine coolers while small events allow limited quantities of liquor.

We believe this alcohol management policy represents a strong step towards responsible control of alcohol consumption and thereby liability at Penn. This policy will be implemented in the fall of 1993, and we expect that it will serve to strengthen and positively impact the Greek System and the University community.

During the past year, a substantive effort to work with the students and respond to their concerns has produced a fair policy. It is my hope that the University Community can work together to implement this critical change. We expect the policy will need refinement once in operation. In the meantime, please bear with us as we take an awkward but positive step into the future.

— Christopher Albani, Chair, Greek Alumni(ae)/Advisors’ Council

Penn’s Billion-Dollar Campaign: A New Record Goal of $1.3 Billion

As the Trustees announced in July that Penn’s $1 billion capital campaign had gone over the top a year and a half ahead of schedule, they said in the same breath that the campaign will keep going until December 1994.

They later announced a raised goal of $1.3 billion, which tops existing records in higher education fund-raising by $100 million.

The additional $300 million will complete or expand upon several subgoals already announced: 24 additional endowed chairs will be sought, for example, along with additional support for minority permanence ($8 million), undergraduate financial aid ($15 million), research support ($21 million), schools and centers ($92 million), and other University priorities.

In some cases a new and higher subgoal was set even though the original had been met, Trustees Chairman Alvin V. Shoemaker said. For example, the $106 million already raised for financial aid exceeds the original $85 million target by 25%, but “still more funding is needed to protect Penn’s longstanding commitment to admit the most academically talented students regardless of their ability to pay.” The 126 chairs pledged during the Campaign has already broken every record for such chairs in a campaign, but another 24 are emphasized “because of the importance of endowed chairs in attracting and retaining top scholars and researchers.” The minority permanence goal has achieved 80% of its $35 million target, and the $8 million to go will provide support for minority undergraduates, graduate students and faculty.

Campaign Co-Chair Paul Miller called the raised goal “a vote of confidence in Claire Fagin,” who took office July 1 as interim president. She in turn emphasized “the importance of full funding for the Campaign’s subgoals” to continue building “an academic community that is second to none.”

Penn has added over $400 million to its endowment during the Campaign, which coincided with a recession. The University leads nationally in several aspects of fundraising:

- Ambassador Walter Annenberg’s $120 million gift set a new record for size of single cash gifts.
- Penn’s $2500 “bread and butter” ($25,000 to $100,000) donations set another national mark.
- For four years running, Penn has led the nation in number of alumni gifts, setting new records each year including 1993, when the count hit 83,000.

OSHA- Mandated Safety Training

The Office of Environmental Health and Safety (OEHS) is mandated by the Occupational Safety and Health Administration (OSHA), to conduct safety training, for all University personnel who work in laboratories. Training for all new and previously untrained laboratory personnel, may require attendance at one or both training sessions.

Occupational Exposure to Bloodborne Pathogens; 10:30-11:30 a.m., September 28; Lecture Hall B, John Morgan Building. This program is for all personnel who handle human blood, blood products, bodily fluids, and tissue specimens. Information about free Hepatitis B Vaccination for eligible personnel will also be discussed.

Exposure to Hazardous Substances in the Laboratory; 10:30-11:30 a.m., September 29; Class of 1962, John Morgan Building. This program is for all personnel who handle chemicals. The University’s Chemical Hygiene Plan will also be discussed.

Additional programs will be offered on a monthly basis during the fall. Attendees are requested to bring their Penn ID cards to facilitate course sign in. Those who have questions may contact Barbara Moran at 898-4453.
Following are the guidelines for submission of applications to the University of Pennsylvania Research Foundation, as published semi-annually by the Vice Provost for Research.

The Research Foundation: November 1 Deadline

Statement of Purpose
The Research Foundation encourages the exploration of new fields across a broad spectrum of disciplines. In doing so, the Foundation expands opportunities for faculty to attract support and resources from external sources while encouraging work in fields that are traditionally under-funded.

The Foundation supports two levels of grants. The first level, Type A grants, provides support in the range of $5000 to $50000. The second level, Type B grants, provides support in the range of $5000 to $50000. The standard application for a Type A grant is briefer than that for a Type B grant, reflecting respective funding levels. However, the review criteria for Type A and Type B grants are similar, and several general factors are considered in evaluating an application for either type of grant. They are:

- Its contribution to the development of the applicant’s research potential and progress.
- The quality, importance and impact of the proposed research project.
- Its potential value for enhancing the stature of the University.
- Its budget appropriateness in terms of the project proposed, including consideration of need and availability of external support.

The Application Process
The Research Foundation Board will review both Type A and Type B applications in the fall and spring of each academic year. Applications for the fall cycle are due on or before November 1 of each year, while spring cycle applications are due on or before March 15 of each year. All research projects involving human subjects or animals must receive Institutional Board approval prior to funding. Questions concerning human/animal research should be directed to Ruth Clark at Ext. 8-2614. All research projects involving the use of hazardous or biohazardous materials must receive approval from the Office of Environmental Health and Safety (OEHS) prior to initiation of experimentation. Questions about this approval process should be directed to Harriet Izenberg at Ext. 8-4453.

An original and ten copies of both Type A and Type B proposals should be submitted to the Office of the Vice Provost for Research, 217 College Hall/6381.

Type A proposals should contain a brief description of the research and the specific needs which the grant will cover. The proposal should include:

I. Cover page(s)
1. Name, Title, Department, School, Campus Mailing Address, Signatures of Department Chairperson and Dean.
2. Title of proposal.
3. Does the project utilize human subjects or animals?
4. Does the project involve the use of any of the following:  
   • potentially infectious agents including human blood, blood products, body fluids or tissues?
   • in vitro formation of recombinant DNA?
   • hazardous chemicals (acutely toxic chemicals, reproductive hazards, carcinogens)?
5. Amount requested.
6. 100-word abstract of need.
7. 100-word description of the significance of the project for the educated non-specialist.
8. Amount of current research support.
9. Other pending proposals for the same project.
10. List of research support received during the past three years. Include funds from University sources such as schools, department, BRSG, or Research Foundation. If you were funded by the Research Foundation in the last three years, please submit a brief progress report with publications and grants proposed or received (no more than one page).
11. A one-page biographical sketch of the investigator(s) listing educational background, academic positions held, and five recent publications.

II. A back-up of the 100-word abstract in the form of a 3- or 4-page mini-proposal.

III. A budget list that justifies the specific items requested and assigns a priority to each item. Budgets should not exceed a two-year maximum time period.

Categories of Research Foundation support for Type A proposals will focus on:
- Seed money for the initiation of new research.
- Limited equipment requests directly related to research needs.
- Summer Research Fellowships, with preference for applications from Assistant Professors.
- Travel expenses for research only.
- Publication preparation costs.

Type B proposals are limited to ten single-spaced pages in length. The following format is suggested for Type B proposals:

I. Cover Page(s)
1. Name, Title, Department, School, Campus Mailing Address, Signatures of Department Chairperson and Dean.
2. Title of proposal.
3. Does the project utilize human subjects or animals?
4. Does the project involve the use of any of the following:  
   • potentially infectious agents including human blood, blood products, body fluids or tissues?
   • in vitro formation of recombinant DNA?
   • hazardous chemicals (acutely toxic chemicals, reproductive hazards, carcinogens)?
5. Amount requested.
6. 100-word abstract of need.
7. Amount of current research support.
8. Other pending proposals for the same project.
9. Listing of publications and research support, including titles, amounts, and grant periods, received during the past five years. Include funds from University sources such as schools, department, BRSG, or Research Foundation.
10. A brief curriculum vitae for the principal investigator.

II. Introduction (2 to 3 pages)
Statement of the objectives and scholarly or scientific significance of the proposed work.

III. Methods of Procedure (3 to 4 pages)
Description of the research plan and methodologies to be employed.

IV. Description of the significance and impact of the project.

V. Description of how a Research Foundation grant will facilitate acquisition of future research funds.

VI. Budget (one page) two-year maximum
Each budget item should be listed in order of priority.

Categories of Research Foundation support for Type B proposals focus on several areas of need. These are:
- Matching funds, vis-a-vis external grant sources.
- Seed money for exploratory research programs.
- Support for interdisciplinary research initiatives.
- Faculty released time.

Requests for student tuition and dissertation fees will not be considered by the Foundation.
### 403(b) Performance Update for Periods Ending June 30, 1993—Total Returns

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### Vanguard Funds:

<table>
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<tr>
<th>Philosophy</th>
<th>Size in $mm</th>
<th>Latest Quarter</th>
<th>1-Year Average Annual</th>
<th>3-Year Average Annual</th>
<th>5-Year Average Annual</th>
<th>10-Year Average Annual</th>
<th>Best/Worst Year in last FiveYears</th>
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<tr>
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<td>FI</td>
<td>1,286</td>
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<td>82</td>
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<td>9.7</td>
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<tr>
<td>CREF Social Choice</td>
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<td>583</td>
<td>0.9</td>
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<td>NA</td>
<td>NA</td>
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<tr>
<td>CREF Stock Account</td>
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<td>52,000</td>
<td>1.3</td>
<td>14.6</td>
<td>10.5</td>
<td>12.9</td>
<td>14.2</td>
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### Fixed Income Securities:

<table>
<thead>
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<th>Size in $mm</th>
<th>Latest Quarter</th>
<th>1-Year Average Annual</th>
<th>3-Year Average Annual</th>
<th>5-Year Average Annual</th>
<th>10-Year Average Annual</th>
<th>Best/Worst Year in last FiveYears</th>
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<tbody>
<tr>
<td>CREF Bond Market</td>
<td>FI</td>
<td>598</td>
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<td>11.0</td>
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<tr>
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<td>-3.6</td>
<td>10.9</td>
<td>6.8</td>
<td>9.5</td>
</tr>
<tr>
<td>CREF Money Market</td>
<td>B</td>
<td>510</td>
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</tr>
<tr>
<td>CREF Social Choice</td>
<td>D</td>
<td>583</td>
<td>0.9</td>
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<td>NA</td>
</tr>
<tr>
<td>CREF Stock Account</td>
<td>D</td>
<td>52,000</td>
<td>1.3</td>
<td>14.6</td>
<td>10.5</td>
<td>12.9</td>
</tr>
</tbody>
</table>

### Indexes to Compare Performance Against

- **S&P 500**
  - 0.5 | 13.6 | 11.4 | 14.2 | 14.3 | 20.5 | 7.4 |
- **Lipper Capital Appreciation Funds Average**
  - 2.4 | 19.1 | 12.1 | 12.2 | 11.7 | 22.4 | 2.0 |
- **Lipper Growth Funds Average**
  - 0.7 | 15.8 | 10.9 | 12.4 | 10.5 | 15.8 | 5.1 |
- **Lipper Growth & Income Funds Average**
  - 0.7 | 14.4 | 11.1 | 11.9 | 12.0 | 15.8 | 6.4 |
- **Salomon Bros Long-Term High-Grade Bond Index**
  - 3.7 | 16.3 | 14.5 | 13.7 | 14.1 | 17.6 | 8.3 |
- **Lehman Brothers Gov’t/Corporate Bond Index**
  - 3.0 | 13.2 | 12.5 | 11.4 | 11.8 | 14.2 | 7.1 |
- **Morgan Stanley Capital Investment—EAFE Index**
  - 10.1 | 20.3 | 1.9 | 3.6 | 17.6 | 20.3 | 11.6 |
- **91-Day Treasury Bills**
  - 0.8 | 3.2 | 4.9 | 6.1 | 6.7 | 8.2 | 3.2 |
Relative Investment Performance on Tax-Deferred Annuities

The Benefits Office regularly receives inquiries on the relative performance of investment funds offered under the University’s tax-deferred annuity program. At left is a table which shows the performance of the various funds for the period ending 6/30/93. The first column shows an abbreviation for the investment philosophy of the fund. (Abbreviations are described below on this page). The second column shows the overall asset size of the fund in millions of dollars. Columns three through seven show the performance of the funds over various time horizons. Columns eight and nine show the best and worst year for the last five years.

The final section of the table shows comparable data for major financial indexes. The Benefits Office will periodically publish this information in Almanac to assist faculty and staff in monitoring the performance of their tax deferred annuity investments. Any faculty or staff member who would like additional information on these benefit programs may call the Benefits Office at Ext. 8-7261.

— Adrienne Riley, Assistant Vice President, Human Resources (Total Compensation)
— Dennis F. Mahoney, Manager of Benefits

Philosophy Key to funds listed at left

<table>
<thead>
<tr>
<th>Domestic</th>
<th></th>
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<tbody>
<tr>
<td>D</td>
<td>Diversified Common Stock Fund</td>
</tr>
<tr>
<td>DI</td>
<td>Diversified Common Stock Fund With Somewhat Higher Income</td>
</tr>
<tr>
<td>SC</td>
<td>Speciality Fund With Small Company</td>
</tr>
<tr>
<td>Common Stock Orientation</td>
<td></td>
</tr>
<tr>
<td>B</td>
<td>Balanced Fund</td>
</tr>
<tr>
<td>FIS</td>
<td>Fixed Income Fund (Short-Term Maturity)</td>
</tr>
<tr>
<td>FII</td>
<td>Fixed Income Fund (Intermediate-Term Maturity)</td>
</tr>
<tr>
<td>FIL</td>
<td>Fixed Income Fund (Long-Term Maturity)</td>
</tr>
<tr>
<td>FISG</td>
<td>Fixed Income Fund (Short-Term Maturity Government Obligations)</td>
</tr>
<tr>
<td>FIIG</td>
<td>Fixed Income Fund (Intermediate-Term Maturity Government Obligations)</td>
</tr>
<tr>
<td>FILG</td>
<td>Fixed Income Fund (Long-Term Maturity Government Obligations)</td>
</tr>
<tr>
<td>FM</td>
<td>Fixed Income Fund ( Mortgage-Related Securities)</td>
</tr>
<tr>
<td>FU</td>
<td>Fixed Income Fund (Low-Rated Bonds)</td>
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<tr>
<td>MM</td>
<td>Money Market Fund</td>
</tr>
<tr>
<td>AA</td>
<td>Asset Allocation (Stocks/40% Bonds: 30% Cash Benchmark Fund)</td>
</tr>
<tr>
<td>AA</td>
<td>Asset Allocation (60% Stocks: 36% Bonds: 5% Cash Benchmark Fund)</td>
</tr>
<tr>
<td>International</td>
<td>ICS International Common Stock Fund</td>
</tr>
<tr>
<td>Global</td>
<td>GCS Global Common Stock Fund</td>
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</tbody>
</table>

Sources: Lipper Analytical Services; Fund Family

Notes to table at left:

- Total Return: Dividend or interest plus capital appreciation or depreciation

1. Vanguard Balanced Index fund was introduced on November 2, 1992
2. Formerly Vanguard Bond Market Fund
3. Vanguard Index Trust Growth Portfolio was introduced on November 2, 1992
4. Vanguard Index Trust Value Portfolio was introduced on November 2, 1992
5. Vanguard International Equity Index European Portfolio was introduced on June 18, 1990
6. Vanguard International Equity Index Pacific Portfolio was introduced on June 18, 1990
7. Formerly Vanguard World: International Growth Portfolio
8. Formerly Trustees’ Commingled Fund
9. Formerly Vanguard World: US Growth Portfolio

The University of Pennsylvania Police Department Community Crime Report

This summary is prepared by the Division of Public Safety and includes all criminal incidents reported and made known to the University Police Department between the dates of September 13, 1993 and September 19, 1993. The University Police actively patrol from Market Street to Baltimore Avenue, and from the Schuylkill River to 43rd Street in conjunction with the Philadelphia Police. In this effort to provide you with a thorough and accurate report on public safety concerns, we hope that your increased awareness will lessen the opportunity for crime. For any concerns or suggestions regarding this report, please call the Division of Public Safety at Ext. 8-4482.

34th to 38th/Market to Civic Center: Robberies ( & attempts) — 3, Threats & harassment — 7
09/15/93  8:59 PM  Levy Park
Male stalking female complainant
09/16/93  3:27 AM  100 Block 36th
2 actors robbed complainants at gunpoint
09/17/93  6:20 PM  3409 Walnut St.
Fire emplee threatened manager
09/18/93  7:56 PM  3409 Walnut St.
Fire emplee threatened employee
09/18/93  6:02 AM  3600 Block Walnut
Complainant robbed by male/possibly w/gun
09/18/93  8:14 PM  HUP
Complainant robbed on elevator
09/19/93  1:16 AM  Nicholas House
Resident received unwanted phone calls
09/19/93  1:48 AM  Morris Dorm
Resident received unwanted phone calls
09/19/93  7:54 PM  Chestnut Dorm
Threats received by phone
09/19/93  11:43 AM  Grad Tower B
Complainant harassed by male

38th to 41st/Market to Baltimore: Robberies ( & attempts) — 4, Simple assaults — 3
09/13/93  12:39 AM  100 Block 39th
Complainant robbed at gunpoint by 2 males
09/13/93  7:37 PM  Sigma Phi Epsilon
Complainants involved in fight at party
09/13/93  9:54 AM  3800 Block Chestnut
Attempted robbery w/ simulated gun
09/15/93  1:57 PM  3900 Block Locust
Bracelet taken by 2 males
09/18/93  12:02 AM  208 S. 40th St.
Bottle thrown from window/1 arrest
09/18/93  4:12 PM  4000 Block Sansom
2 males w/gun robbed 2 complainants
09/18/93  8:03 PM  4006 Spruce St.
Disturbance/private criminal complaint

30th to 43rd/Market to University: Threats & harassment — 1
09/17/93  12:01 PM  Chemistry Building
Male harassed complainant

Outside 30th to 43rd/Market to Baltimore: Aggravated assaults — 1
09/13/93  6:50 PM  30th & Chestnut
Auto driver struck complainant on bike

34th to 38th/Market to Civic Center: Burglaries ( & attempts) — 1, Total thefts ( & attempts) — 21,
Thieves from autos — 3, Thieves of bicycles & parts — 7, Criminal mischief & vandalism — 2
09/09/93  9:52 AM  Johnson Pavilion
Credit cards taken from unattended purse
09/09/93  10:25 AM  Johnson Pavilion
Computer taken from unsecured room
09/09/93  10:33 AM  3600 Block Chestnut
Property taken from vehicle
09/12/93  12:28 PM  Anat-Chem Wing
Answering machine taken from desk
09/13/93  3:45 PM  Phi Delta Theta
Door spray painted
09/13/93  8:52 PM  Williams Plaza
Secured bike taken from rack
09/14/93  5:33 PM  Johnson Pavilion
Unattended wallet taken from library
09/14/93  5:45 PM  Furness Building
Secured bike taken
09/15/93  1:57 AM  Steinbren/Dietrich
Rear tire taken from secured bike
09/15/93  12:22 AM  Phi Kappa Sigma
Bike taken inside building
09/15/93  2:17 PM  Furness Building
Secured bike & lock taken by unknown actor
09/15/93  3:44 PM  Houston Hall
Unknown males took unattended wallet
09/15/93  4:17 PM  Quad Office
Unauthorized person signed for mail
09/15/93  5:54 PM  Annenberg Center
Credit card taken from unattended purse
09/15/93  11:49 PM  3400 Block Market
Attempt to take radio from auto
09/16/93  12:58 PM  McNeil Building
Computer equipment taken/arrest made
09/16/93  5:04 PM  Van Pelt Library
Unattended wallet taken from backpack
09/16/93  6:03 PM  Johnson Pavilion
Secured bike taken from rack
09/16/93  8:42 PM  3600 Block Chestnut
Right/rear window broken/clothing taken
09/17/93  9:12 AM  Annenberg School
Petty cash taken from circulation desk
09/17/93  11:52 AM  Grad Education
Secured bike taken from rack
09/18/93  5:19 PM  College Hall
Snack machine glass broken/contents taken
09/18/93  7:08 PM  McNeil Building
Snack machine glass broken/contents taken
09/19/93  7:44 PM  Houston Hall
Juveniles discharged robbery fire extinguisher

38th to 41st/Market to Baltimore: Burglaries ( & attempts) — 4, Total thefts ( & attempts) — 12,
Thieves of auto ( & attempts) — 1, Thieves of bicycles & parts — 4, Criminal mischief & vandalism — 4
09/13/93  5:17 PM  3935 Walnut St.
Restaurant door & window damaged
09/13/93  8:36 PM  120 S. 41st St.
Property taken from residence
09/13/93  10:43 PM  318 S. 40th St.
Bike taken from residence
09/14/93  5:15 PM  4000 Block Pine
Vehicle rear window damaged
09/14/93  9:40 PM  3935 Walnut St.
Window damaged/citation issued
09/15/93  3:53 PM  Alpha Epsilon Pi
Credit card taken by unknown person
09/15/93  5:38 PM  High Rise North
Secured bike taken from rack

sector report continues next page
Update
SEPTEMBER AT PENN

FITNESS/LEARNING

F/SAP: Noon workshops in Houston Hall. Info: 898-7910.
22 Coping with Changes & Transitions; Carol Bennett-Speight; Bishop White Room.
Sobriety I: Less Than 18 Months in Recovery; weekly support group; Jeff Van Syckle; Room 301.
23 How to be a Good Therapy Consumer; Jeff Van Syckle; Room 301.
30 Continuing Education: Bridging the Gap: brown-bag lunch and discussion; 10:30 a.m.-1 p.m.; ICA; free with $1 admission; reservations: Ivy Barsky, Ext. 8-7108 (ICA).

TALKS
22 Coordination of Transcription and pre-mRNA Splicing in the Cell Nucleus; David L. Spector, Cold Spring Harbor Laboratory; 4 p.m.; Grossman Auditorium, Wistar Institute (Wistar).
Conversation With Richard Torchia; artist and curator, Moore College of Art and Design; 6 p.m.; Cherryick Lounge, Williams Hall (French Institute for Culture and Technology; Romance Languages).
27 Purines and Regulation of Cardiac Myocyte Function; Bruce Liang, medicine and pharmacology; noon; M100-101, John Morgan Building (Pharmacology).
Verification and Variation: The Experimental Response in Germany to Galvani’s Discovery of Animal Electricity, 1791-1810; Maria Trumpler, Yale; 4-6 p.m.; Seminar Room 502, 3440 Market Street (H&S).
Functional Analyses of Genes and Regulatory Elements in Normal Lymphocyte Development; Jianzhu Chen, Howard Hughes Medical Institute, Boston Children’s Hospital; 4 p.m.; Grossman Auditorium, Wistar Institute (Wistar).
28 Biomechanical Cardiac Assist: Putting Muscle to Work to Combat Heart Failure; David Gorcey, Philadelphia Heart Institute; 11 a.m.; Alumni Hall, Towne Bldg. (Bioengineering).
New Thoughts on Old Bones: The Dinosaur Renaissance; Peter Dodson and Anusuya Cinsomy, veterinary medicine; 1 p.m.; Faculty Club (Penn Women’s Club).
29 Countering Memory, Envisioning Myth: Contemporary Chicana Poetry; Rafael Perez-Torres, English; noon; West Lounge; 4th floor, Williams Hall (Latin American Cultures Program).
Somatic Cell Gene Transfer of β-Glucuronidase; John Wolfe, veterinary medicine; 4 p.m.; Grossman Auditorium, Wistar Institute.
Visual Perception; Burton Weiss, Drexel and University of the Arts; 6 p.m.; ICA; free with gallery admission (ICA).
30 Exxon Technical Studies Which Supported the Cleanup of the 1989 Alaskan Oil Spill; R. R. Lessard; Exxon; noon-1:30 p.m.; BS-9, Vance Hall (Institute for Environmental Studies).
Deadline: October 12 is the deadline for November at Penn. The deadline for the weekly Update is Monday for the following week’s issue.

Campus Crime Report continued from page 7

18th District Crimes Against Persons

<table>
<thead>
<tr>
<th>Date</th>
<th>Time</th>
<th>Location</th>
<th>Incident</th>
<th>Arrest</th>
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<td>6:50 PM</td>
<td>4800 Pine</td>
<td>Aggravated Assault</td>
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<td>4427 Chestnut St.</td>
<td>Robbery</td>
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<td>09/07/93</td>
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<td>09/11/93</td>
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<tr>
<td>09/12/93</td>
<td>3:15 PM</td>
<td>100 Block 48th</td>
<td>Rape</td>
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<td>Robbery</td>
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<tr>
<td>09/12/93</td>
<td>11:07 PM</td>
<td>4200 Locust</td>
<td>Robbery</td>
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</tbody>
</table>

The University of Pennsylvania’s journal of record, opinion and news is published Tuesdays during the academic year, and as needed during summer and holiday breaks. Guidelines for readers and contributors are available on request.

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