

# UNIVERSITY of PENNSYLVANIA *Almanac*

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## New Leadership Team for Health System: Peter Traber and Arthur Asbury

A new leadership team has been named for the Health System, according to an announcement on Thursday by President Judith Rodin.

She said that Dr. Peter G. Traber, chair of the department of medicine, has agreed to become the interim dean of the School of Medicine and CEO of the Medical Center and the Health System until "...we undertake a thorough, formal search for permanent leadership."

Dr. Traber will take the place of Dr. William N. Kelley, who has been dean of the School and CEO of the Medical Center and its Health System for the past decade. Dr. Kelley, who will remain a distinguished member of the faculty of the School, also has been asked by the University, in his role as Executive Vice President for Health Affairs, to take on "a vital and expanded role in the increasingly complex and volatile realm of national health care policy that has had such a pervasive impact on UPHS and other academic medical centers across the country," Dr. Rodin said. She said that Dr. Kelley is still considering this important new assignment.

Dr. Traber will report to Dr. Rodin and Provost Robert L. Barchi, and "... exercise the same full authority over Health System operations that Bill Kelley has in the past," Dr. Rodin said. Dr. Traber, the Frank Wister Thomas Professor of Medicine, is "an exceptional researcher and clinician whose knowledge of UPHS is broad and deep," she said. "He has our full confidence."

Assisting Dr. Traber will be Dr. Arthur K. Asbury, the Van Meter Emeritus Professor of Neurology, who has agreed to assume the position of deputy dean of the School. A member of the Penn faculty since 1974, Dr. Asbury has been a senior vice dean of the School, past chair of the department of neurology, acting dean of the School and acting executive vice president of the Medical Center.

Dr. Rodin added that Robert Martin will continue as chief operating officer of the Health System, "...and we will continue to rely heavily on the great talent, judgment and energy he has demonstrated so clearly over the past year."

The University also confirmed that David Hunter, president and CEO of the Hunter Group, has agreed to provide "...regular advice and consultation as UPHS continues to implement its rigorous remediation plan."

Dr. Rodin emphasized that the change is being made to provide the best possible leadership team for the Health System as it faces the challenges of the present and the future.

"Academic medical centers like ours face enormous financial challenges today," she said. "This is not a time of expansion. Rather, it is a time requiring integration, tight fiscal management and a highly strategic focus on investments."

"I am delighted that after looking closely within our Health System, we found the members of a new leadership team that will provide the very strong direction we need," she said. "The Health System is full of hundreds of world-class faculty, researchers and hospital staff. We are fortunate to have such a great wealth of talent to draw on."

"The Health System continues to face serious challenges in the days and months ahead," Dr. Rodin continued. "We are confident," she said, "that Drs. Traber and Asbury, Mr. Martin, the clinical chairs and the many talented men and women in the Health System management group will provide the best combination of skills to accomplish our goals and ensure a bright future."



Peter Traber



Arthur Asbury

## Ending the PSAS Sit In

On February 14, Penn Students Against Sweatshops presented President Rodin with what she called "a thoughtful, practical proposal." She said it offered considerable evidence that PSAS was willing to join the committee process and work with Penn to resolve the important issues of a code of conduct for licensees of Penn apparel and a monitoring system for their manufacturers. The agreement is below and the President's letter to the FLA is on page 2.

### Agreement to End Sit-In

February 15, 2000

University of Pennsylvania President Judith Rodin and PSAS agree that Penn is immediately and publicly withdrawing its membership in the Fair Labor Association including terminating payments to the organization (if they are ongoing). This is a withdrawal of membership, not a suspension of membership, in that should Penn decide to re-affiliate with the FLA in the future, Penn will be initiating the process from the beginning. The two parties agree that the University Ad-Hoc Committee on Sweatshop Labor will submit a recommendation to the President regarding with which, if any, monitoring organization(s) Penn should affiliate. Only after this committee issues its recommendation will President Rodin consider rejoining the FLA. The agreement to withdraw from the FLA and to have the committee offer a recommendation will be reported in *Almanac*.

President Rodin will send a letter to the Fair Labor Association announcing Penn's withdrawal and termination of payments, give PSAS a copy of the letter and release it publicly. After receiving and approving these documents, PSAS will vacate the President's office.

PSAS agrees that the University Ad-Hoc Committee will proceed with making a recommendation regarding with which, if any, monitoring group or groups Penn should affiliate itself. The committee will continue in its current form; changes in the committee structure or membership must be approved by PSAS. PSAS will participate fully and with good faith in the committee process.

Miriam Joffe-Block, Coordinator, PSAS      Dr. Judith Rodin, President

## Granoff Forum: President Clinton

President Clinton will deliver an address on *The New Economy* at the inaugural program of the University's Granoff Forum on Thursday, February 24, at 3 p.m. in Irvine Auditorium. Admission is by invitation only.

"We are very pleased that the President has accepted our invitation to be the first speaker in this exciting new program at Penn," said Penn President Judith Rodin. "President Clinton's participation underscores our commitment to providing a broad perspective on the critical issues of the new century. We are especially pleased that this new forum will enable our students to come in contact with some of the brightest and most influential minds of our day."

The Granoff Forum was recently established in SAS by alumnus Michael Granoff, C'80. The forum is designed to offer leading decision-makers an opportunity to discuss key issues of the day with faculty and students at Penn.

"The Granoff Forum is a wonderful addition to SAS's emphasis on interdisciplinary programs that prepare students for leadership on a global scale," said Dean Samuel H. Preston. "The Granoff Forum will be a catalyst for new ideas that will help shape economic, social and political development in this new century."

The Forum is a joint offering of the SAS International Relations Program, the Christopher H. Browne Center for International Politics and the Joseph H. Lauder Institute of Management and International Studies.

Michael Granoff, president, CEO and founder of Pomona Capital, a venture capital group with offices in New York, Tokyo and London, said "A global perspective is perhaps the most critical element of a stable future. I think Penn, with its research mission and its liberal arts core, is the right place to lead in the development of such a perspective."

### IN THIS ISSUE

- 2 Rodin's Letter to FLA; Special Senate Meeting; Trustee's Meeting; Response to FDA
- 3 Search: Vice Provost for Research; Penn InTouch 2000; Models of Excellence
- 4 Amendments to Rules Governing Final Exams; New Calendar for Fall 2000; Patricia Renfro to Columbia; Deaths: Dr. Orne, Mr. Riley
- 5 Provost's Interdisciplinary Seminar Fund; Research Foundation Fall Awards
- 6 Research Foundation Guidelines
- 7 Update; Crime Report; Classifieds; Self Defense Training
- 8 Legislative Update: Federal, Commonwealth, City Relations

**TO:** Members of the Standing Faculty  
**FROM:** Larry Gross, Chair of the Faculty Senate  
**DATE:** February 18, 2000  
**SUBJECT:** Special Meeting of the Faculty Senate March 1

In order to better inform the Faculty Senate about recent developments in the University Health System and the School of Medicine, and their implications for the entire University, there will be a special meeting of the Faculty Senate on Wednesday, March 1, 2000, from 3 to 5 p.m., in 102 Chemistry. The provost and members of the Health System leadership and the School of Medicine will be present to speak and to answer questions. There will also be a presentation about the Medical School's Faculty 2000 Project, which is nearing completion.

Further details concerning the speakers and the agenda will be provided in next week's *Almanac*.

## Starting with a Clean Slate

*President Judith Rodin sent the following to Sam Brown, executive director of the FLA, on February 15, 2000.*

Dear Mr. Brown:

As you know, the University of Pennsylvania community has been concerned with which organization or organizations would be best suited to monitor the manufacture of Penn apparel. Last night, the members of Penn Students Against Sweatshops and I agreed that the only way the University can take a truly open look at the merits of the Fair Labor Association and the Worker Rights Consortium, and make an informed decision, is to start with a clean slate.

As I have spoken with our students, they have continued to raise questions about, among other things, worker representation on the FLA's executive board, the FLA's capacity for handling worker complaints, and public disclosure of factory locations and conditions.

Questions such as these need to be carefully considered by Penn's Ad-Hoc Committee on Sweatshop Labor. To allow this to happen, I have decided that the University should withdraw from the FLA, effective today, so that the Committee can take a fresh look at the monitoring organization or organizations to which Penn should belong. I have asked the committee, which includes Penn faculty, students and staff, to make a recommendation to me by February 29, 2000. At that time, based on the committee's recommendation, the materials I have read, and the conversations I have had with Penn Students Against Sweatshops and with other college and university leaders, I will decide if Penn should re-join the FLA, join the WRC, or some combination of both.

We all share the important goal of ensuring and protecting fair labor practices. I am confident that Penn's Ad-Hoc Committee on Sweatshop Labor will help the University achieve this goal by carefully analyzing all available information on the FLA and the WRC and then providing me with a thoughtful recommendation.

Sincerely,  
 Judith Rodin

## Trustees' Winter Meeting: New Deans, Treasurer

At the Trustees' Stated Meeting last Friday, President Judith Rodin presented resolutions on several appointments that were unanimously passed: Craig Carnaroli as Vice President for Finance and Treasurer; Eduardo Glandt as Dean of the School of Engineering and Applied Science; Patrick Harker as **Dean of the Wharton School**; Peter Traber as **Interim Dean of the School of Medicine** and CEO of the Medical Center and the Health System. The Trustees also passed a Resolution of Appreciation for Ian McHarg, founder of Penn's landscape architecture and regional planning, who recently received the prestigious Japan Prize for his pioneering work in ecological planning.

Adele Schaeffer, chair of the Annenberg Center Board of Advisors, was elected an Emeritus Trustee and Charles Heimbald, Jr., chair of the Law School's Board of Overseers, was reappointed as a term trustee.

University City Associates, Inc. and OAP, Inc., both formerly for-profit subsidiaries of the University have recently been converted to not-for-profit, tax-exempt corporations with Penn as their sole member. The Trustees approved the appointment of the EVP or his designate as a representative of the University.

Provost Robert Barchi joined the President in welcoming the new deans and said it was a privilege to welcome Peter Traber, who along with David Hunter and Arthur Asbury will "provide strong leadership." Dr. Barchi referred to Penn's response to the FDA and said that Jesse Gelsinger's death was "simply not foreseeable."

*(continued next page)*

## Institute for Human Gene Therapy's Response to FDA

The Institute for Human Gene Therapy at Penn filed its **response** on February 14, to Inspectional Observations made by the Food and Drug Administration on January 18, as the result of its investigation into the death of Jesse Gelsinger, an 18-year-old with a rare metabolic disease who was participating in an experimental gene therapy trial for the disease known as ornithine transcarbamylase (OTC) deficiency, an inherited disorder that in its most common form causes death in affected newborn males due to a genetic defect in the liver.

The IHGT immediately placed the OTCD clinical trial on hold following Jesse Gelsinger's death on **September 17, 1999**. The IHGT had informed the FDA of Jesse Gelsinger's deteriorating condition prior to his death and, following his death, promptly notified the FDA, the Recombinant DNA Advisory Committee of the NIH and the Institutional Review Board.

The IHGT response to the FDA continues an extensive review of research at the University, ordered by President Judith Rodin, including a comprehensive review of *all* aspects of research using human subjects, considering everything from the mechanics of the approval process to oversight to accountability and research outcomes; and an *independent* review of oversight and monitoring of clinical trials at the Institute, which will be conducted by research scientists who are *unaffiliated* with Penn.

"We understand that nothing less than the highest possible standards for the conduct of research are acceptable at the University of Pennsylvania," said Dr. Richard Tannen, professor of medicine and senior vice dean at the School of Medicine. "The thoughtful process that has led to our [FDA] response is an important step which, together with the other important initiatives underway, will ensure that the conduct of research at Penn does, in fact, meet those standards."

The FDA identified "...important issues arising out of the OTCD trial," said Dr. James M. Wilson, John Herr Musser Professor of Research Medicine and director of the IHGT, and, he said "...we have taken its questions and concerns very seriously."

Dr. Wilson said, that the IHGT will continue to cooperate, fully and completely, with the FDA, the NIH and all other interested agencies in their respective reviews.

He also said that IHGT "...will continue to work closely with the FDA to implement revised clinical procedures relating to monitoring and

related practices and to provide additional patient safeguards to the fullest extent possible in clinical research settings."

The 28-page IHGT response to the FDA makes the following points:

- The record demonstrates that each and every patient who participated in the OTCD trial gave informed consent to participate.
- The IHGT contemporaneously documented each patient's eligibility to participate in the OTCD trial.
- The IHGT did submit data in January 1999, on the toxicity levels of two participants in the OTCD trial, data that should have been submitted in October 1998, and in November 1998, and data that should have been discussed with the FDA *before* proceeding with the trial, but the FDA had these comprehensive reports on these patients in its possession for more than six months *prior* to August 1999, when it approved the continuation of the trial into the sixth cohort of patients, which included Jesse Gelsinger.
- While the IHGT had numerous documented procedures governing clinical trials, Standard Operating Procedures relating to clinical trials at the IHGT would have helped to ensure that all necessary communications and notifications to the FDA were made on a timely basis *and* that clear and unambiguous acknowledgement of such communications was obtained before proceeding to new stages of the trial.
- An animal study involving two primates was performed in connection with a *different*, and unrelated, clinical trial involving the use of a *different* genetic material for the treatment of a *different* disease, colorectal cancer. A third primate in the study *did* receive the same generation vector used in the OTCD trial, but at a higher dose, and it exhibited mild illness, but not death. All three animals in the study received a dosage approximately 17 times higher than the highest dose in the OTCD trial. In sum, the study did not have significant implications for the safety of the OTCD trial.
- The available scientific evidence does not establish *any* causal link between Jesse Gelsinger's plasma ammonia level prior to the infusion of genes and his death. *Note:* Neither the FDA's observations nor its public comments have asserted any such causal link.
- There is absolutely *nothing* in his medical chart to suggest a causal link between Jesse Gelsinger's gender and his death.

"The University has made a commitment to cooperate, fully and completely, with the appropriate regulatory agencies and public officials in the examination of Jesse Gelsinger's death."

## and Campus Construction

Executive Vice President John Fry reported that the University's net assets totaled \$4.3 billion as of December 31, 1999. Overall, net assets decreased \$17.9 million since the beginning of FY 2000. This is due to unfavorable market conditions over the first six months of FY 2000 which led to a 2.9% decrease in the fair value of the University's investments.

After noting that he had only been "on the job for 46 hours" Dr. Traber said he and his colleagues "will work together to turn the Health System around."

Vice President and General Counsel Peter Erichsen, announced that Wendy White, the deputy general counsel for the University, will distribute the *Guidelines on Cooperative Exchanges of Certain University Information* annually (*Almanac* October 26, 1999).

PricewaterhouseCoopers was appointed as independent accounts for FY 2000.

The Budget and Finance Committee presented six resolutions which were all approved including four related to construction. The Division of Facilities Services and Mail Services will be relocated to the basement level of the former GE Building after that area is renovated to provide a functional work environment. The project is expected to cost \$6 million and will be partially funded by Dranoff Properties and an internal loan. The Jon Huntsman Hall construction can proceed now that the site has been excavated; the \$139.9 million project cost is the result of "a competitive bid and value engineering." The renovations to the Graduate School of Education, estimated to cost \$8.5 million and which will be entirely paid for by GSE, are to include a new Walnut Street entrance. The University Museum's Mainwaring Collections Storage Wing is estimated to cost \$15 million and is to be funded through grants and gifts.

They also approved acceptance of an \$8.5 million interest-free loan from the Pew Memorial Trust to expand three initiatives: cognitive neuroscience and genomics; the Fontaine Fellowship program and community outreach. The Committee will continue to monitor the Health System, said Lawrence Weinbach, chair of Budget and Finance.

William Mack, chair of Facilities and Campus Planning, briefly described the Life Sciences Quad that the Provost had reported to the committee on the previous day. The facility would be constructed just west of the BioPond, adjacent to the Goddard Building. It is intended to encourage collaboration among disciplines such as biology and psychology and would be built in two phases. He also discussed the Quad renovation/restoration, projected to be a \$75 million project; the first phase of which was completed last summer to upgrade the infrastructure. Although Penn's buildings already exceed the Fire Codes, more sprinklers will be added to the buildings.

## Penn InTouch 2000

Over the past several years, Penn has undertaken several projects to improve both students' electronic access to course and transcript information and to aid in undergraduate academic advising by faculty members and others. The eventual goal is to establish an electronic degree planning and audit system, which would help students explore academic options and give faculty and staff advisors access to students' academic plans as well as to formal graduation certification capabilities.

Planning for this web-based electronic degree planning and audit system, Penn InTouch 2000, is well advanced. On February 28, Advisor InTouch—representing phase one of Penn InTouch 2000—will be launched. This system will provide undergraduate advisors, as well as advisors in the Graduate School of Education, with web-based access to the registration and transcripts of their advisees in an easier way than through the University's Student Records System (SRS).

In September, 2000, the electronic degree planning and audit component of Penn InTouch 2000 will be available. It will provide undergraduate students with a status report on progress towards completion of degree requirements and serve as a powerful tool in the exploration of academic options by allowing the creation of "what if" scenarios in degree planning. It will permit students and advisors to concentrate on selecting a challenging academic experience rather than focusing on the mechanical aspects of selecting courses and meeting requirements.

Penn InTouch 2000 is a joint initiative of the four undergraduate schools, the Graduate School of Education, and the Office of the Provost working with the Council of Undergraduate Deans, the Registrar's Office, and Information Systems and Computing. The schools involved are in the process of orienting their faculty advisors to Advisor InTouch. There will be further communication to students, faculty and staff about phase two of Penn InTouch 2000 later in the current semester.

Implementation of Penn InTouch 2000 is being facilitated by the Electronic Degree Planning and Audit Working Group:

*Robin Beck*, Associate Vice President, Information Systems and Computing  
*James Choate*, IT Technical Director, Information Systems and Computing  
*Deborah Yarber Clarke*, Associate Director for Undergraduate Studies, School of Nursing  
*Mary Costigan*, Director of Institutional Research and Application Development, SAS Computing  
*Kristin Davidson (convener)*, Director of Administrative Affairs, College of Arts and Sciences  
*Hocine Fetni*, Assistant Dean for Advising, College of Arts and Sciences  
*Diane Frey*, Director of Academic Advising, College of Arts and Sciences  
*Suzanne Kauffman DePuyt*, Director of Student Services and Administration, Undergraduate Division, The Wharton School  
*Eleni Zatz Litt*, Associate Director, Undergraduate Division, The Wharton School  
*Edward Marvel*, Information Technology Support Specialist, College of Arts and Sciences  
*Gina Marziani*, Associate Director for Administration, Office of College Houses and Academic Services  
*Billie Meeks*, Manager of Student Records, Graduate School of Education  
*Susan Quant*, Data Analyst, Information Systems and Computing  
*Edwin Read*, Programmer /Analyst, Information Systems and Computing  
*Scott Reynolds*, Associate Director, The Jerome Fisher Program in Management and Technology  
*Ronald Sanders*, Registrar  
*Diane Scipio*, Associate Director of Information Systems, School of Nursing  
*Joseph Sun*, Director of Academic Affairs, School of Engineering and Applied Science  
*Karen Weidel*, Assistant Dean, College of General Studies

—Peter J. Conn, Deputy Provost

## Call for Nominations: Vice Provost for Research

Nominations and applications for the position of Vice Provost for Research are now being solicited. The search will be national in scope and seeks candidates having a strong record of research and scholarship. Internal candidates must hold a position of full professor in an academic department of the University. External candidates will be expected to demonstrate qualifications to merit such an appointment.

The Vice Provost for Research is responsible to the Provost for the development and implementation of policies and procedures that promote research excellence across the University and for the management of key elements of the research infrastructure. The Vice Provost will explore potential new areas for research and oversee campus-wide planning efforts with respect to research and to research facilities and support; develop appropriate linkages between the University and industry, and facilitate the transfer of technologies from University laboratories to the public sector; provide leadership and coordination of multi-disciplinary research programs; and represent Penn to local and regional constituencies as well as to federal and other funding agencies. The Vice Provost is responsible for administering and coordinating a wide variety of activities supporting research at Penn, including the Provost's Council on Research, the Research Foundation, and the Research Facilities Development Fund. In addition, the Vice Provost has direct supervisory responsibility for the offices of Research Administration, Regulatory Affairs, University Laboratory Animal Resources, Environmental Health and Radiation Safety and the Center for Technology Transfer.

The position is available in July, 2000. Nominations and applications should include a curriculum vita and any other relevant information which will help the search committee in evaluating the application. The review of applications will begin immediately. Nominations should be sent to my attention to the Office of the Provost, 110 College Hall/6303. Any questions should be directed to Bethany Zecher (215) 898-1213; [zecher@pobox](mailto:zecher@pobox)) in the Provost's Office, who is staffing the committee.

—Andrew Binns, Chair, Vice Provost for Research Search Committee

## Models of Excellence

Human Resources would like to thank the many faculty and staff across the University who provided outstanding nominations and references for the Selection Committee to consider.

Announcement of the Models of Excellence award winners will be made in April rather than in February as originally announced. Our time frame was revised to accommodate requests from the campus community.

We appreciate your patience with this process during our first year of implementation.

—Division of Human Resources

## Rules Governing Final Exams

The Council of Undergraduate Deans has approved amendments to the rules governing final exams in order to accommodate the use of take-home exams. The new policies are as follows (changes are noted in *Italics*):

1. No instructor may hold a final examination *nor require the submission of a take-home final exam* except during the period in which final exams are scheduled. When necessary, exceptions to this policy may be granted for postponed examinations (*see below*). No final examinations may be scheduled during the last week of classes or on reading days.
2. No student may be required to take more than two final examinations on any calendar day during the period in which final examinations are scheduled. If more than two are scheduled, the student may postpone the middle exam. *If a take-home exam is due on a day when two final examinations are scheduled, the due date of the exam shall be postponed by one day.*

—Peter Conn, Deputy Provost

## New Calendar for Fall 2000

The Council of Undergraduate Deans has approved a new academic calendar for the Fall 2000 semester. The revised calendar allows incoming students to move in earlier and provides them with an extended New Student Orientation. Classes will begin on the Thursday after Labor Day, rather than Wednesday as has been true in the recent past. Also, Fall Break will include only one weekday (a Friday). The semester will contain the same number of class days (65) as in previous years. Reading days and the final exam period will not be affected.

Changes in the schedule are as follows:

Move-In for New Students:	Thursday, August 31, 2000
First day of class:	Thursday, September 7, 2000
Fall Break:	Friday-Sunday, October 13-15, 2000

A revised three-year academic calendar incorporating these changes will be forthcoming shortly.

—Peter Conn, Deputy Provost

## DEATHS

### Lyman W. Riley, of Van Pelt Library

Lyman W. Riley, formerly of Van Pelt Library's Original Cataloging and Special Collections (as it was then known), died at his residence, Kendal at Longwood, Kennett Square, on February 3. He was 82 years old.

Mr. Riley was a librarian for 32 years at the University where he had been Head of Original Cataloging and Special Collections until 1983.

Among his other work at the library, Mr. Riley authored *Aristotle Texts and Commentaries to 1700 in the University of Pennsylvania Library* (Philadelphia: University of Pennsylvania Press, 1961) a catalogue available in Van Pelt Library's Rare Books Department. He retired in 1985. Prior to that, he was a librarian at Swarthmore Friends Library for four years. He was also an ordained minister. Mr. Riley was a member of Kendal Friends Meeting and was formerly a member of Birmingham Friends Meeting.

He is survived by his wife of 57 years, Dorothy M. Hayes Riley; two sons, Jonathan B. Riley and Brian W. Riley; a daughter, Patricia L. Thomas; a brother, Wallace Riley; and five grandchildren.

Mr. Riley was predeceased by his son, David H. Riley, who died three weeks ago in Kosovo. Memorial contributions may be made to Kendal Reserve Fund, P.O. Box 100, Kennett Square PA 19348.

### To Report a Death

*Almanac* appreciates being informed of the deaths of current and former faculty and staff members, students, and other members of the University community. However, notices of death of alumni should be directed to *The Pennsylvania Gazette*.

### Patricia Renfro to Columbia

Library Associate Director for Public Services Patricia Renfro will be leaving Penn in April to become Deputy University Librarian of Columbia University. Ms. Renfro oversees Van Pelt Reference, Access Services and Document Delivery, and the Libraries of the Annenberg School, Fine Arts, Engineering and Applied Science, Music, Chemistry, Math/Physics and Astronomy, and the University Museum. Her responsibilities also include management of the Penn Library Web.



Patricia Renfro

During her 17 years at Penn, Ms. Renfro has served on a variety of University-wide committees, and is presently a member of the IT Advisory Board. In announcing her departure, Penn Vice Provost and Director of Libraries, Paul Mosher, expressed "a mixture of regret and pleasure, since Penn's loss is also a well-earned career opportunity for a beloved and valued colleague." Patricia Renfro has been instrumental in the creation and development of Penn's Digital Library and the formation of outreach programs, such as the Library Advisors component of the 21st Century College Houses Program. Dr. Mosher praised her for assembling a first-class staff, and contributing immeasurably to "Penn's understanding of what a fine academic library should be." Jane Bryan, head of Van Pelt Reference, will serve as Acting Director for Public Services until Ms. Renfro's position is filled. Music Librarian, Marjorie Hassen, will step into Ms. Bryan's position for the interim period.

### Dr. Martin T. Orne: Psychotherapy Pioneer

Psychiatrist, psychologist and international authority on psychotherapy and the medical use of hypnosis, Dr. Martin Theodore Orne, died February 11, of cancer. He was 72. Born in Vienna, Austria in 1927, Dr. Orne received his M.D. degree from Tufts in 1955, with a Residency in Psychiatry at Massachusetts



Martin Orne

Mental Health Center and a Ph.D. in Psychology from Harvard in 1958. He was professor of psychiatry and psychology at Penn for 32 years before becoming emeritus professor in 1996.

As teacher, scientist and practicing physician, Dr. Orne was widely recognized for his work in hypnosis, memory, biofeedback, pain management, lie detection, sleep and the roles played by specific and nonspecific factors in psychotherapy and behavioral medicine. He also pioneered new therapeutic approaches and perspectives on patients' rights. He published the first of hundreds of scientific papers in 1951. He was editor of the *International Journal of Clinical and Experimental Hypnosis* for 30 years, and the recipient of funding for his research from the NIH and many other federal agencies for 40 years. Dr. Orne was also the recipient of two honorary doctorate degrees, and awards for lifetime contributions from the American Psychological Association, the American Psychological Society, and the American Academy of Psychiatry and the Law.

Throughout his scientific career, Dr. Orne

collaborated with his wife, psychologist Emily Carota Orne. Their research on hypnosis and memory distortion was cited in more than 30 legal cases by state supreme courts and the U.S. Supreme Court, and it resulted in widely adopted guidelines restricting the use of hypnosis in forensic cases. Dr. Orne chaired a blue ribbon panel that helped establish the American Medical Association's standards for the forensic use of hypnosis. His work on psychotherapy and memory also helped expose the controversial practice by some psychotherapists of using suggestive techniques that encouraged the creation of false memories of trauma in their patients.

Dr. Orne was an expert witness in legal cases involving coercion and memory distortion. He was one of four defense psychiatrists who examined kidnapped heiress Patty Hearst during her trial for bank robbery. He remained convinced of her innocence and more recently urged that she be pardoned. His involvement as an expert for the prosecution in the case of Kenneth Bianchi, who was convicted in the torture and murder of young women in the hillside strangler serial murders of the 1970s, was featured in the BBC's Emmy award-winning "Mind of a Murderer" documentary.

His interest in promoting scientific research on the mind and its role in health, well-being and safety resulted in the establishment in 1961 of the nonprofit Institute for Experimental Psychiatry Research Foundation, for which Dr. Orne served as Executive Director until his hospitalization last year.

Dr. Orne is survived by his wife Emily, two children, Franklin and Tracy, and by his brother, Peter Orne. In lieu of flowers, the family requests that donations be made to the Institute for Experimental Psychiatry Research Foundation, 1955 Locust Street, Philadelphia, PA 19103.

## Request for Proposals: Provost's Interdisciplinary Seminar Fund

The Provost announces a seminar fund to stimulate the creation of interdisciplinary discussions and connections that could grow into lasting cooperative intellectual efforts and perhaps programs.

This fund will provide financial support for up to three years for seminars based on new intellectual groupings. To be eligible for funding, seminars must draw on faculty scholars from at least two schools. Seminars that include Penn graduate or undergraduate students will be particularly welcome as will seminars with participation from the non-academic community outside the University. The fund will provide each seminar with from \$10,000 to \$25,000 (depending on documented need) a year for up to three years. Funding in the second and third years is subject to success in the previous years. Success is defined as growing attendance, publications, general visibility and other evidence of intellectual progress. Each proposal must contain criteria by which its success can be judged. Applications to the Provost's Interdisciplinary Seminar Fund should contain:

- i. A Seminar title.
- ii. A brief (no more than five pages) description of the intellectual area of the seminar and of how the suggested new connections will advance the subject.
- iii. The names and affiliations of the principal faculty (no more than 4) proposing the seminar with a two page CV on each.
- iv. The names and affiliations of others who will be major participants in the seminar.
- v. A suggested set of criteria or goals by which progress of the seminar can be established.
- vi. A proposed budget for the first year of the seminar.

The original and 10 copies of the completed application should be in at the office of the Vice Provost for Research, 212 College Hall/6381, by 5 p.m. on *Wednesday, March 29, 2000*. A committee will review the applications and funded proposals will be announced in mid-May.

—Ralph D. Amado, Vice Provost for Research

*In the most recent cycle of Penn's internally-funded Research Foundation, the Office of the Vice Provost for Research has announced awards to the following members of the faculty for the projects listed here.*

## Research Foundation Fall Cycle 1999 Awards

Roger D. Abrahams, English, SAS; *Second Nature: Vernacular Systems of Understanding*.

Amer Abu Hanna, Restorative Dentistry, Dental Medicine; *Scanning Electron Microscopy Evaluation of Amalgam Bonding Using Single Bottle Primer/Adhesive Systems*.

Andrea J. Apter, Department of Medicine, Medicine; *Antecedents of Adherence to Inhaled Steroids in Inner City African American Adults with Asthma*.

Narayan G. Avadhani, Animal Biology, Veterinary Medicine; *Purchase of a DNA Synthesizer for the Vet DNA Core Facility*.

Kent Bream, et al, Family Practice and Community Medicine (KB), Medicine & School of Nursing; *A Program for Basic Newborn Resuscitation in the Developing World: A Pilot Study*.

Donald G. Buerk, Physiology, Medicine; *Computer Controlled High Speed Oxygen Phosphorescence Quenching Instrumentation*.

Brian R. Calvi, Genetics, Medicine; *Analysis of CDC45 and UFD1 in Drosophila: Candidate Genes for Human DiGeorge Syndrome*.

Roberto Caricchio, et al, Rheumatology, Medicine; *Apoptosis and Autoantigens in Systemic Lupus Erythematosus*.

Anjan Chatterjee, Department of Neurology, Medicine; *Concepts and Language*.

Chun-Hung Chen, Systems Engineering, SEAS; *A Simulation Approach for Stochastic Variational Inequalities Problems*.

Mortimer M. Civan, et al, Physiology, Medicine; *Intraocular Pressure Measurement in the Mouse*.

M. Fevzi Daldal, Biology, SAS; *Membrane-attached Electron Carriers in Photosynthesis and Respiration*.

Mark Devlin, Physics and Astronomy, SAS; *A Design and Feasibility Study for an 8 Meter Telescope at Millimeter and Sub-millimeter Wavelengths*.

Feng Gai, Chemistry, SAS; *Probing the Fast Events in Protein Folding*.

Gregory M. Guild, Biology, SAS; *Engineering Filament Bundles in the Actin Cytoskeleton*.

Mauro Federico Guillen, Management, Wharton; *Corporate Governance and Globalization: Is There Convergence Across Countries?*

Witold Henisz, Management, Wharton; *Dynamic Entry Strategies in the Global Independent Power Industry*.

Charles Kahn, Philosophy, SAS; *Research Support and Publication Preparation for Book Manuscript, Pythagoras and the Pythagoreans*.

Andrew Kao, et al, Cardiology, Medicine; *In-home Cardiac Rehabilitation for Patients with Congestive Heart Failure*.

Amy Kapatkin, et al, Clinical Studies—Philadelphia, Veterinary Medicine; *Elbow Dysplasia in Basset Hound: A Pilot Study to Follow Radiographic, Computed Tomography and Arthroscopic Findings*.

Marcelo G. Kazanietz, Pharmacology, Medicine; *Protein Kinase C Isozymes and Prostate Cancer*.

Nicholas A. Kefalides, Medicine, Medicine; *Collagen IV and Signaling Pathways in Tumor Cells*.

Mary B. Leonard, Radiology, Medicine; *Development of MRI-based Virtual Bone Biopsy for the Assessment of Renal Osteodystrophy*.

Brian Litt, Neurology, Medicine & SEAS; *Determining the Mechanism Underlying Seizure Precursors in Temporal Lobe Epilepsy*.

Grant T. Liu, Neurology, Medicine; *Visual Processing Investigated with Functional MRI*.

Francis C. Luca, Animal Biology, Veterinary Medicine; *Genetic Analysis of MOB1, an Essential and Conserved Yeast Cell Cycle Gene*.

T. Luning Prak, Pathology and Laboratory Medicine, Medicine; *Development of an LI mouse model*.

Ian Lustick, Political Science, SAS; *Testing Constructivist Theories of Collective Identity with an Agent-Based Identity Repertoire Model*.

Anuradha Mathur, Landscape Architecture and Regional Planning, GSFA; *Mississippi Floods Designing a Shifting Landscape*.

Edward K. Morlok, Systems Engineering, SEAS; *Matching Funds for New Industry-Government-Academic Research Program in Logistics & Transportation*.

Adrian R. Morrison, Animal Biology, Veterinary Medicine; *Telemetric Study of Behavior during Rapid Eye Movement Sleep without Atonia*.

Hilary C.M. Nelson, Biochemistry/Biophysics, Medicine; *A Novel Affinity Chromatography Based Screen for Proteins Interacting with the Activation Domains of S.cerevisiae Heat Shock Transcription Factor*.

Bob Perelman, English, SAS; *MSA Conference: The Styles of Modernism*.

Stephen P. Phipps, Earth and Environmental Science, SAS; *Investigations of Oceanic-forearc Terranes on Land and in the Modern Oceans*.

Mechthild Pohlschroder, Biology, SAS; *Genetic Analyses of an Archaeal Protein Translocation System*.

Gerald Prince, Romance Languages, SAS; *Celebrating the Century? Seventeenth International Colloquium in French Studies*.

David Shakow and Reed Shuldiner, Law ; *A Comprehensive Wealth Tax*.

Marc F. Schmidt, Biology, SAS; *Organization of the Avian Song Motor System*.

Vivian C. Seltzer, Social Work; *Perceptions of Adolescent Futures: A Cross-National Collaboration*.

James A. Serpell, Clinical Studies/Philadelphia, Veterinary Medicine; *Development of a Standardized Method for Evaluating Temperament and Behavior in Domestic Dogs*.

Wen K. Shieh, Systems Engineering, SEAS; *Sustainable Aquaculture Systems: Construction of a Demonstration Facility*.

Talid R. Sinno, Chemical Engineering, SEAS; *Atomistic Simulation of Defect-Interface Interaction*.

Robert Blair St. George, History, SAS; *A Good Scheme to Mob Him: Property, Propriety, and Possession in the Attack on Richard King's House in Scarborough, Maine, 1766*.

Emily Steiner, English, SAS; *A Charter for Poetry: Piers Plowman and Medieval Documentary Poetics*.

Patrick Walsh, Chemistry, SAS; *Design of Late Transition Metal Bis (Sulfonamido) Complexes for Use in Catalysis*.

Eric S. Weinberg, Biology, SAS; *Identification of Zebrafish Mutants with Brain, Inner Ear and Vascular Defects*.

Beth S. Wenger, History, SAS; *History Lessons: The Invention of American Jewish Heritage*.

Richard Wernick, Music, SAS; *CD Release of Four Musical Compositions*.

Yin-Ling Irene Wong, et al, Social Work; *A Typology of Individuals with Serious Mental Illness in Need of Residential Services*.

Joseph J. Zorc, Pediatrics, Medicine; *Scheduled Follow-up after an ED visit for Asthma*.

# Research Foundation Guidelines *(Revised 9/99)*

## Statement of Purpose

The Research Foundation encourages the exploration of new fields across a broad spectrum of disciplines. In doing so, the Foundation expands opportunities for faculty to attract support and resources from external sources while encouraging work in fields that are traditionally underfunded. Money from the Research Foundation is an investment in research at Penn. Thus, the Research Foundation is principally for standing faculty.

The Foundation supports *three* levels of grants. The first level, *Type A grants*, provide support in the range of \$500 to \$5,000. The second level, *Type B grants*, provide support in the range of \$5,001 to \$50,000. The *third level, Type C international grants*, provide support in the range of \$5,001 to \$15,000. A Type C grant may be combined with either a Type A or a Type B grant, but the maximum total award is \$50,000. Type C grants were formerly administered by the University's Office of International Pro-

grams and were known as the Internal Programs Fund. They have now been integrated into the University's Research Foundation. The standard application for a Type A or Type C grant is briefer than that for a Type B grant, reflecting respective funding levels. However, the review criteria for all types of grants are similar, and several general factors are considered in evaluating an application for the three types of grants. They are:

- Its contribution to the development of the applicant's research potential and progress.
- The quality, importance and impact of the proposed research project.
- Its potential value for enhancing the stature of the University.
- Its budget appropriateness in terms of the project proposed, including consideration of need and availability of external support.

## The Application Process

The Research Foundation Board will review Type A, Type B and Type C applications in the

fall and spring of each academic year. Applications for the fall cycle are due on or before *November 1* of each year, while spring cycle applications are due on or before *March 15* of each year. If the date falls on a weekend or holiday, the deadline is the following business day. All research projects involving human subjects or animals must receive Institutional Review Board approval prior to funding. Questions concerning human/animal research should be directed to the Office of Regulatory Affairs at (215) 898-2614. All research projects involving the use of hazardous or biohazardous materials and/or radioactive materials must receive approval from the Office of Environmental Health and Radiation Safety (OEHS) prior to funding. Questions about this approval process should be directed to OEHS at (215) 898-4453.

An Original and Ten Copies of the proposal with the Cover Sheet\* should be submitted to the Office of the Vice Provost for Research, 212 College Hall/6381.

## Spring Deadline March 15

**Type A Proposals** are limited in length to ten single spaced pages and should contain a brief description of the research and the specific needs which the grant will cover. The proposal should include:

1. *The Research Foundation Proposal Cover Sheet\**. Failure to fully complete the Cover Sheet or exceeding page limitations will risk disqualification from the competition.

2. 100-word abstract of the project for the educated non-specialist.
3. Amount of current research support (including start-up packages).
4. Other pending proposals for the same project.
5. List of research support received during the past three years. Include funds from University sources such as school, department, or Research Foundation. If you were funded by the Research Foundation in the last three years, please submit a brief progress report with publications and grants proposed or received (no more than one page).
6. A one-page biographical sketch of each investigator listing educational background, academic positions held, and five recent publications.
7. A three to four page mini-proposal, outlining the project and its significance.
8. A budget that justifies the specific items requested and assigns a priority to each item.

Research Foundation support for Type A proposals will focus on:

- Seed money for the initiation of new research.
- Limited equipment requests directly related to research needs.
- Travel expenses for research only.
- Publication preparation costs.
- Summer Stipends, with preference for applications from Assistant Professors.

**Type B Proposals** are limited in length to fifteen single spaced pages. The following format is suggested for Type B proposals:

1. *Research Foundation Proposal Cover Sheet\**. Failure to fully complete the Cover Sheet or exceeding page limitations will risk disqualification from the competition.

2. 100-word abstract of the project for the educated non-specialist.
3. Amount of current research support (including start-up packages).
4. Other pending proposals for the same project.
5. List of research support, including titles, amounts, and grant periods, received during the past three years. Include funds from University sources such as school, department, or Research Foundation.
6. A brief curriculum vitae including publications for the principal investigator and each researcher listed on the proposal.
7. A proposal of not more than nine single spaced pages giving the objectives and scholarly or scientific significance of the proposed work, a description of the research plan and methodologies to be employed, a description of the significance and impact of the project, and a description of how a Research Foundation grant will facilitate acquisition of future research funds.
8. Budget (one page). Budget items should be listed in order of priority.

Research Foundation support for Type B proposals focus on several areas of need. These are:

- Matching funds, vis-à-vis external grant sources.
- Seed money for exploratory research programs.
- Support for interdisciplinary research initiatives.
- Faculty released time.

**Type C Proposals** are limited to ten single spaced pages and should contain a brief description of the research and the specific needs which the grant will cover. The proposal should include:

1. *Research Foundation Proposal Cover Sheet\**. Failure to fully complete the Cover Sheet or exceeding page limitations will risk disqualification from the competition.

2. 100-word abstract of the project for the educated non-specialist.
3. Amount of current research support (including start-up packages).
4. Other pending proposals for the same project.
5. List of research support received during the past three years. Include funds from University sources such as school, department, or Research Foundation or International Programs Fund. If you were funded by the Research Foundation or International Programs Fund in the last three years, please submit a brief progress report with publications and grants proposed or received (no more than one page).
6. A one-page biographical sketch of each investigator listing educational background, academic positions held, and five recent publications.
7. A three to four page mini-proposal, outlining the project objectives, significance in strengthening the University's international dimension, design, procedures, implementation, time frame, and a description of how a Research Foundation grant will facilitate acquisition of future research funds.
8. A budget that justifies the specific items requested and assigns a priority to each item.

Research Foundation support for Type C proposals will focus on:

- Area and international studies, within the context of Penn's *Agenda for Excellence*.
- International collaborative research, particularly involving institutions with which Penn has formal cooperative agreements.
- Comparative international research.
- Multi-school initiatives.
- Seed money for the initiation of new research.
- Travel expenses for research and collaboration.
- Publication preparation costs.
- Matching funds, vis-à-vis support from deans and external grant sources.

The following are not eligible for support as Type C awards:

- Ongoing program support;
- Physical plant;
- Equipment.

Requests for student tuition and dissertation fees will not be considered by the Foundation.

\* The Research Foundation Proposal Cover Sheet (Revised 9/99) is available from the Office of the Vice Provost for Research, 212 College Hall, and on the web at [www.upenn.edu/VPR/RFForm.html](http://www.upenn.edu/VPR/RFForm.html).

# Update

FEBRUARY AT PENN

## MEETING

**22** A-3 General Assembly meeting; two sessions will be held, noon-1 p.m. and 1-2 p.m.; room G-16, Irvine Auditorium. The main topic: newly approved tax-deferred retirement plan for A-3 staff.

## ON STAGE

### Penn Performing Arts

Tickets: \$5 on Locust Walk one week before the performance. Info: (215) 898-2312 or [dolphin.upenn.edu/~pac/](mailto:dolphin.upenn.edu/~pac/) (Performing Arts Council).

**24** Arts House Dance; 8 p.m.; Harold Prince Theatre, Annenberg Center. *Through February 26.*  
*Bloomers 22nd Annual Spring Show: "Gag Me with a Spoon!";* all female comedy troupe; 8 p.m.; Iron Gate Theatre. *Through February 26.*

## SPECIAL EVENT

**24** Ask What You Can Do for the NEA, Ask What the NEA Can Do For You; public interview/"town meeting"; Bill Ivey, chair, National Endowment for the Arts; 7:30 p.m.; Kelly Writers House. Info: (215) 573-WRIT (ICA; Writers House).

## TALKS

**28** Budding Yeast MOB1: Linking Centrosome Duplication to Mitotic Exit; Francis Luca, animal biology; 4 p.m.; Physiology Conference Rm., Richards Building (Pennsylvania Muscle Institute).  
*The Gender of Memory: Rural China in the 1950s;* Gail Hershatter, UC at Santa Cruz; 4:30 p.m.; rm. 329A, History Lounge, 3401 Walnut St. (Center for East Asian Studies; History).

**Deadlines:** The deadline for the weekly update is each Monday for the following week's issue; for the April At Penn calendar it is March 14.

## Physical Self Defense for Women

The Penn Police Department is offering free self defense courses for women students, staff and faculty. This course is a 14-hour course spanning 2-4 days. It provides students with:

- a comprehensive reference manual
- no-nonsense, practical techniques of defense
- realistic and dynamic hands-on training
- all students receive a free lifetime return and practice session

Classes will be held at 4040 Chestnut Street. Upcoming starting Dates for classes are:

Tuesday, February 22 5 p.m.  
 Saturday, March 25 9 a.m.  
 Tuesday, March 28 5 p.m.  
 Saturday, April 1 9 a.m.

Other starting dates will be made available if there is enough interest.

Contact: Stacey Livingston, (215) 898-4485 or e-mail: [Stacey2@pobox.upenn.edu](mailto:Stacey2@pobox.upenn.edu).

## All Aboard: Express Almanac

*Express Almanac* is a new, free electronic service that describes top stories in *Almanac* as soon as the latest issue is available on line. Just register electronically, send an e-mail message with "subscribe" as the Subject to [almanac@pobox.upenn.edu](mailto:almanac@pobox.upenn.edu) and include your name, e-mail address, and mailing address.

—Ed.

**LGBT Survey:** The Penn Lesbian Gay Bisexual Transgender (LGBT) Center needs your help. We are currently in the midst of a large-scale market research and strategic planning process. We are taking a good, hard look at what we are doing, what we do well, and how we need to improve in the future. We would like to hear from you. Please take a moment to fill out our survey, on-line at [dolphin.upenn.edu/~center/survey.html](http://dolphin.upenn.edu/~center/survey.html).

—Jason Klugman, LGBT Center at Penn

## The University of Pennsylvania Police Department Community Crime Report

**About the Crime Report:** Below are all Crimes Against Persons and Crimes Against Society from the campus report for February 7, 2000 through February 13, 2000. Also reported were Crimes Against Property: 36 total thefts and 1 incident of criminal mischief. Full reports on the web ([www.upenn.edu/almanac/v46/n22/crimes.html](http://www.upenn.edu/almanac/v46/n22/crimes.html)). Prior weeks' reports are also on-line. —Ed.

This summary is prepared by the Division of Public Safety and includes all criminal incidents reported and made known to the University Police Department between the dates of February 7, 2000 and February 13, 2000. The University Police actively patrol from Market Street to Baltimore Avenue and from the Schuylkill River to 43rd Street in conjunction with the Philadelphia Police. In this effort to provide you with a thorough and accurate report on public safety concerns, we hope that your increased awareness will lessen the opportunity for crime. For any concerns or suggestions regarding this report, please call the Division of Public Safety at (215) 898-4482.

### Crimes Against Persons and Society

02/07/00	4:09 PM	3400 Civic Ctr.	Unauthorized charges on credit cards
02/08/00	9:44 AM	3620 Walnut St.	Threatening e-mail received
02/09/00	3:17 AM	3401 Walnut St.	Unauthorized male in area/Arrest
02/10/00	7:59 PM	3900 Walnut St.	Inappropriate message on door
02/11/00	2:04 AM	200 blk. S 40th	Male involved in fight/Arrest
02/11/00	2:51 AM	3700 Spruce St.	Unwanted calls received
02/11/00	2:04 AM	200 blk. S 40th	Male involved in fight/Arrest
02/13/00	12:24 AM	3260 South St.	Male wanted on warrant/Arrest
02/12/00	7:57 PM	3900 blk. Baltimore	Male became abusive during traffic stop/Arrest
02/12/00	8:30 PM	4100 Walnut St.	Complainant robbed at knife point
02/13/00	8:01 PM	3333 Walnut St.	Unwanted call received

### 18th District Report

12 incidents and 4 arrests (including 4 robberies, 3 aggravated assaults and 1 rape) were reported between February 7, 2000 and February 13, 2000 by the 18th District covering the Schuylkill River to 49th Street and Market Street to Woodland Avenue.

02/09/00	7:45 PM	229 52nd St.	Aggravated Assault/Arrest
02/09/00	3:00 PM	4800 Woodland	Rape
02/10/00	2:29 AM	229 52nd St.	Aggravated Assault/Arrest
02/11/00	12:01 AM	4600 Sansom St.	Aggravated Assault
02/11/00	7:00 AM	4600 Baltimore	Robbery
02/12/00	9:33 PM	4608 Osage	Robbery
02/12/00	8:30 PM	4100 Walnut	Robbery
02/13/00	12:40 AM	4733 Osage Ave.	Robbery

## CLASSIFIEDS

### VACATION

Pocono chalet, 3BDR/1B. Near Jack Frost/BB. Firewood incl. \$400/weekend, (610) 356-3488.

### FOR SALE

Sunny duplex (1 br, 2br) great location at University of Pennsylvania: parking, yard, balcony. \$146,500. Tel./fax (215) 222-4369, e-mail: [dcain@sas.upenn.edu](mailto:dcain@sas.upenn.edu).

### RESEARCH STUDIES

**Overweight teenagers,** ages 13-17 are wanted for a weight loss study. Call the Weight and Eating Disorders Program at the University of Pennsylvania: (215) 898-7314.

**Children and adults,** children ages 7-17 and adults ages 18-65 are needed for ongoing medication research trials for the treatment of depression. Evaluation and treatment are free to those who qualify. Call the Mood & Anxiety Program of the University of Pennsylvania (800)-422-7000 or (215) 898-4301.

**Memory problems?** We are looking for research participants, ages 55 to 90, who have memory problems but are able to function in everyday life and have a friend or loved one who can serve as a companion throughout the study. Call the Memory Disorders Clinic at the University of Pennsylvania at (215) 349-5903.

**Women, ages 65-80** who think they may have osteoporosis. Participants will receive free evaluation for osteoporosis and treatment with medication. Call: (215) 898-5664.

**Individuals, age 40** or over with osteoarthritis of the knee. This 4-week study will compare two approved medications used in the treatment of osteoarthritis of the knee. Call: (215) 898-5664.

### CONFERENCE/SEMINAR

**2nd Annual Gastroenterology & Hepatology Update:** A comprehensive update for gastroenterologists, surgeons and pathologists. State-of-the-art lectures and panel discussions are provided by the University of Pennsylvania and guest faculty who are experts in their fields. Sponsored by the University of Pennsylvania, Division of Gastroenterology. Takes place June 8-11, 2000, at The Westin Hotel, Philadelphia. Contact the CME office at (215) 898-6400 or e-mail [krjames@mail.med.upenn.edu](mailto:krjames@mail.med.upenn.edu).

To place a classified ad, call (215) 898-5274.



Suite 211 Nichols House  
 3600 Chestnut Street, Philadelphia, PA 19104-6106  
 Phone: (215) 898-5274 or 5275 FAX: (215) 898-9137  
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 URL: [www.upenn.edu/almanac/](http://www.upenn.edu/almanac/)

The University of Pennsylvania's journal of record, opinion and news is published Tuesdays during the academic year, and as needed during summer and holiday breaks. Its electronic editions on the Internet (accessible through the PennWeb) include HTML and Acrobat versions of the print edition, and interim information may be posted in electronic-only form. Guidelines for readers and contributors are available on request.

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The University of Pennsylvania values diversity and seeks talented students, faculty and staff from diverse backgrounds. The University of Pennsylvania does not discriminate on the basis of race, sex, sexual orientation, religion, color, national or ethnic origin, age, disability, or status as a Vietnam Era Veteran or disabled veteran in the administration of educational policies, programs or activities; admissions policies; scholarship and loan awards; athletic, or other University administered programs or employment. Questions or complaints regarding this policy should be directed to Valerie Hayes, Executive Director, Office of Affirmative Action, 3600 Chestnut Street, 2nd floor, Philadelphia, PA 19104-6106 or (215) 898-6993 (Voice) or (215) 898-7803 (TDD).

## Federal Relations

The President presented his FY2001 budget proposal to Congress on February 7, 2000 which proposes to increase overall federal outlays by \$45 billion to \$1.84 trillion. Some of the details of his budget relevant to the University include:

**A. Research:** The President is proposing to increase total research spending by \$2.6 billion to \$85.3 billion. His budget would increase basic research spending by \$1.3 billion, or 7%, to \$20.3 billion, as well as increase funding for applied research by \$833 million, or 5%, to \$18 billion. The President proposes to spend a total of \$17.8 billion for university-based research in FY2001, an increase of \$1.3 billion or about 8%, over FY2000. The budget proposal provides slightly more than \$28 billion for merit-based research government-wide in FY2001, an increase of 8% over the FY2000 level. The President is also proposing a total of \$42.9 billion for his "21st Century Research Fund" in FY2001, a 7% increase for the programs included there. Some specific agency budget requests include:

1. \$18.8 billion for the National Institutes of Health (NIH), an increase of \$1 billion, or 6%.
2. \$4.57 billion for the National Science Foundation (NSF), a \$675 million, or 17% overall increase.
3. \$7.7 billion for Research and Development (R&D) funding at the Department of Energy, an 8% increase.
4. \$10 billion for R&D funding for NASA, a 3% increase.
5. \$38.8 billion for R&D for the Department of Defense (level funding).

**B. Tax:** The President is proposing targeted tax cuts that would total \$351 billion over the next ten years, while also including proposals to increase revenues by \$181 billion over the same period. Specific tax proposals include the following items of interest to research universities:

1. Expansion of Section 127 employer-provided educational assistance to include graduate education courses.
2. Elimination of the 60 month limit on the student loan interest deduction while keeping in place the current income caps for single and joint filers.
3. "College Opportunity Tax Cut" to allow families the option of taking a tax deduction or claiming a 28% tax credit for tuition and fees for undergraduate and graduate college expenses or courses taken to enhance job skills.

**C. Student Aid:** The President's FY2001 budget proposes a total of \$54.2 billion (including continuing loan obligations) for federal student aid programs. This constitutes a 5.5% increase over the FY2000 level. The President's student aid proposal includes:

1. \$41 million in level funding for Graduate Assistance in the Area of National Need (GAANN) scholarships which includes \$10 million for new and continuing Javits Fellowships for FY2002.
2. \$716 million more for the Pell Grant program allowing the maximum Pell grant to increase by \$200, to \$3,500.
3. \$1.011 billion for Work-Study programs, an increase of \$77 million.
4. \$691 million for the Supplemental Educational Opportunities Grants, an increase of \$60 million.
5. Perkins loan capital contributions would be level-funded at \$100 million.
6. An increase of \$125 million, or 62.5%, for "Gaining Early Awareness and Readiness for Undergraduate Programs" (GEAR UP).

## Commonwealth Relations

On February 8, 2000 Governor Ridge released his proposed FY 2000-01 budget for the Commonwealth. Specific funding recommendations for the University of Pennsylvania and for higher education programs in general are outlined below:

**A. Non-Preferred Appropriations:** The Governor is proposing a total of \$38,555,000 for the University, a 2.1% increase over the current fiscal year. The proposed funding is broken down into four line items — \$33,083,000 for the Veterinary School, \$4,034,000 for the Medical School, \$500,000 for Cardiovascular Studies, and \$938,000 for the Dental Clinics. The funding for the Vet School represents a 2.5% increase and is the same increase being recommended for the public universities. The Medical School, Cardiovascular Studies, and Dental Clinics are funded at the same level as FY 2000. The other private state-aided institutions received no increase in the current budget, with the exception of Drexel University, which received a 2.5% increase.

**B. University Program Appropriations:** In addition to the recommended funding for the University in our non-preferred appropriation, the Governor's budget included several smaller appropriations for university programs that have been funded in prior years. The budget recommends \$219,000 for the University Museum, \$132,000 in separate funding for Cardiovascular Studies at HUP, and \$600,000 for the Cancer Center. These three items are funded at the same level as the current fiscal year. Finally, the budget includes \$4.5 million in Museum Assistance Grants through the Historical and Museum Commission. The Morris Arboretum received approximately \$120,000 from the fund last year through a competitive process.

**C. Higher Education Funding:** In other higher education funding areas, the Governor proposed a 10 percent increase for PHEAA student grants. The Governor recommended the continuation of the Higher Education Equipment Grant program at last year's level of \$6.0 million. Last year, Penn received approximately \$290,000 through this program. Also included is \$1 million in the Engineering Equipment Grant program (no increase) of which Penn received approximately \$60,000 from this matching program last year. The budget recommends \$10 million in higher education technology grants to provide for competitive grants focused on "innovative approaches to community-based networking and for curriculum development for information sciences and technology programs."

**D. Technology Funding:** The Governor has also proposed the expansion of the Pennsylvania Technology Investment Authority (PTIA) within the Department of Community and Economic Development (DCEd) budget. Included in this expansion is an increase from \$5 to \$15 million in funding to enhance university technology-based research, facilitate commercialization of new technologies, and link university capabilities and resources to technology company attraction activities.

**E. Community Access Fund:** Finally, the Governor is recommending the continuation of Commonwealth funding for the Community Access Fund (CAF), a pool of dollars designed to assist hospitals experiencing losses due to uncompensated care. With a federal match, there will be a total of \$53 million available through this fund.

## City and Community Relations

On February 3rd, Mayor John F. Street presented his Fiscal Year 2001 \$2.7 billion operating budget and a capital program budget of \$140.3 million to City Council for its review and approval. The Mayor's proposed budgets focus on his comprehensive "Vision for a Better Philadelphia" program targeted at neighborhood revitalization, improved public schools, enhanced city services, and a proposed sixth round of reductions in both the wage and business privilege taxes. Details include:

**A. Surplus Concerns:** The City begins the new decade with a \$206 million fund balance, the largest ever. Mayor Street's budget anticipates that beginning this year, the City will start spending the \$205.7 million surplus that was accumulated over the past seven years. The surplus was built up in anticipation of increased costs, including the renegotiations of the City's current labor contracts. Although these deficits were anticipated in budget projections, the City is still vulnerable to any downturns in the economy, changes to federal or state reimbursement formulas, or increased demands for services due to welfare cuts. For these reasons, the Mayor's budget remains very conservative and continues a course of fiscal vigilance.

**B. Tax Reductions:** The Tax Reduction Program, initiated under former Mayor Rendell in FY1996, has been extended through fiscal year 2005. The combined business and wage tax reductions over the ten years of the program will save Philadelphia residents and businesses \$1.1 billion. On July 1, 2000, the City will cut the residential wage tax rate from the current 4.6135 percent to 4.5635 and the non-residential tax from 4.0112 to 3.9672, saving workers \$13.2 million for FY 2001. The gross receipts portion of the business privilege tax (BPT) will be cut, saving businesses \$5.67 million in BPT in FY 2001.

**C. Neighborhood Revitalization:** The Mayor's budget reinforces his commitment to create a \$250 million "SAVING NEIGHBORHOODS CAMPAIGN" through one of the City's development authorities. The initiative is described as an all-out assault on neighborhood blight and destabilization. The Mayor's plan includes an aggressive strategy to eliminate abandoned cars from city streets.

**D. Public Education:** The Mayor's plan includes the appointment of a highly respected and competent local Secretary of Education. He has appointed a panel of education experts, including President Rodin, who will nominate new candidates for membership on the Philadelphia Board of Education. Mayor Street will be meeting with Governor Ridge, State Representatives, and City Council Representatives to address equitable school funding.

**E. Kids Initiative:** Another major new initiative announced in Mayor Street's budget is the "Kids Initiative" which focuses on providing children with constructive activities after school. The initiative includes more than \$4 million for after school programs allowing the recreation department to extend them uninterrupted through the summer months. The strategy includes an expansion of the Free Library's Learn, Enjoy, and Play (LEAP) Program to every library and increasing operations from 40 to 52 weeks a year.

**F. Capital Budget:** Key proposed capital budget expenditures include:

1. \$40 million for improvements to Veterans Stadium.
2. \$11 million for re-paving and construction of streets, highways and bridges.
3. \$3.9 million for new neighborhood enhancements including site improvements for housing and commercial revitalization programs.
4. \$300,000 for the 40th Street Walnut West Free Library has been reinstated into the capital budget for library design and development.

—Carol R. Schman, Vice President for Government, Community, and Public Affairs