SSW Dean Schwartz: Temple’s Next Provost

School of Social Work Dean Ira M. Schwartz, an internationally recognized authority on issues of youth policy and juvenile justice, has been named Provost at Temple University. Last Wednesday, Temple University President David Adamany said, “We are pleased to name Ira Schwartz as Temple’s provost after a year-long national search. Dean Schwartz is a distinguished scholar and has proven himself a brilliant academic administrator as Dean of the School of Social Work at the University of Pennsylvania. He is just the leader Temple needs as we strengthen our programs of teaching and research and expand our commitment to the community.” Dr. Schwartz will assume his new responsibilities on September 3, 2001. (continued on page 2)

Mr. Erichsen to Getty Trust; Ms. White: General Counsel

Peter C. Erichsen, Vice President and General Counsel for Penn and UPHS, will leave to become Vice President and General Counsel for The J. Paul Getty Trust, a private cultural and philanthropic foundation in Los Angeles. He assumes his new position in September.

Mr. Erichsen will direct and manage all legal activities as well as play an active role in helping set the strategic vision for the Getty, which encompasses the J. Paul Getty Museum, institutes for research and conservation, plus a grant program supporting learning and scholarship about the visual arts and cultural heritage.

Mr. Erichsen has served as Vice President and General Counsel for Penn and the Health System for nearly four years. During that time he has engineered and managed the combining of the separate University and Health System legal offices into a unified Office of General Counsel. “Under his leadership, OGC has flourished as a team of professionals committed to providing outstanding legal services,” said President Judith Rodin in announcing Mr. Erichsen’s departure.

“Peter oversaw the coordination of the legal response to some of our greatest institutional challenges, including the death of a volunteer in a gene therapy clinical trial and the restructuring of the Health System. His advice—not only on legal topics, but on a whole range of strategic issues—will be sorely missed,” Dr. Rodin said.

William L. Mack’s $10 Million Gift: Mack Center for Technological Innovation

The Wharton School has announced the creation of the William and Phyllis Mack Center for Technological Innovation. As the umbrella organization for all of Wharton’s technology management initiatives, the Center will support the research and publishing activities of Wharton faculty members, create an endowed professorship and support a student-run conference. The Center is being established with a $10 million gift from Penn Trustee William L. Mack, ’61, president and senior managing partner of the Mack Organization, a national owner, investor and developer of warehouse facilities. Mr. Mack also serves as chairman of Mack-Cali Realty Corporation, a publicly traded REIT that owns and operates a portfolio of office buildings throughout the United States, and he is founder and managing partner of Apollo Real Estate Advisors.

Mr. Mack, a member of Wharton’s Board of Overseers, said “Innovation in technology is the way mankind continues to advance and make a better place for itself. The future of the world has to do with technological innovation. What’s the role is to help companies improve how they manage technological innovation.”

The Center will include the Mack Program in Technological Innovation, which will encompass the Wharton Emerging Technologies Management Research Program, a high-level corporate learning network for senior executives and academic researchers guided by a cross-disciplinary group of senior Wharton faculty members and staff. Industry partners include Bank of Montreal, Charles Schwab, DuPont, Enron, Hewlett-Packard, General Motors, IBM, McKinsey & Co., NSA, Procter & Gamble, GlaxoSmithKline, Sprint, Xerox and 3M Company. Senior executives from these firms help plan the activities of the program, participate in workshops and conferences, and provide additional funds for graduate student support.

“We are pleased and honored to be the recipients of this award acknowledging Penn’s strength in this area,” said SEAS Dean Eduardo D. Glandt.

The multi-year Whittaker grant will make possible new facilities for Bioengineering, the recruitment of seven new faculty members and additional funds for graduate student support.

“We are pleased and honored to be the recipients of this award acknowledging Penn’s strength in this area,” said SEAS Dean Eduardo D. Glandt.

$14 Million Grant for Bioengineering Education

The Whitaker Foundation announced a $14 million Leadership Development Award to the Department of Bioengineering, a leader in bioengineering education since granting the nation’s first Ph.D. in the discipline 40 years ago. The Whittaker funds will be matched by Penn support of $42.8 million, for a total $56.8 million initiative.

“The University’s strong belief in and commitment to the important role of engineering and technology on its campus is reflected in this extraordinarily generous investment in this initiative,” said SEAS Dean Eduardo D. Glandt. The multi-year Whittaker grant will make possible new facilities for Bioengineering, the recruitment of seven new faculty members and additional funds for graduate student support.

“We are pleased and honored to be the recipients of this award acknowledging Penn’s strength in this area,” said SEAS Dean Eduardo D. Glandt.

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SENATE From the Senate Office

Under the Faculty Senate rules, formal notification to members may be accomplished by publication in Almanac. The following is published under that rule.

To: Members of the Faculty Senate

From: David B. Hackney, Chair

Subject: Nomination for At-large SEC Member 2001-2002

The Senate Nominating Committee was reconvened to fill a vacancy in the position of At-large Member of the Senate Executive Committee for 2001-2002.

1. In accordance with the Faculty Senate Rules, official notice is given of the Senate Nominating Committee’s nominee. The nominee, who has indicated his willingness to serve, is:

   Robert Horink (Communication).

2. Again, in accordance with the Senate Rules, you are invited to submit “additional nominations, which shall be accomplished via petitions containing at least twenty-five valid names and the signed approval of the candidate. All such petitions must be received no later than fourteen days subsequent to the circulation of the nominee of the Nominating Committee. Nominations will automatically be closed fourteen days after circulation of the slate of the Nominating Committee.” Pursuant to this provision, petitions must be received by mail at the Faculty Senate, Box 12 College Hall/6303, or by hand at the Faculty Senate Office, 109 Duhring Wing by 5 p.m., Tuesday, August 21, 2001. [The date reflects a three-week extension due to many faculty being off campus during the summer.]

3. Under the same provision of the Senate Rules, if no additional nominations are received, the slate nominated by the Nominating Committee will be declared elected. Should additional nominations be received, an election will thereafter be held by mail ballot.

Trustees June Meeting Highlights

At the June meeting of the Trustees, President Judith Rodin announced that a new member of Penn’s family was unearthed—the dinosaur named Paralititan stromeri by the Penn-led team which discovered it in the Bahariya Oasis, southwest of Cairo, Egypt. (See www.upenn.edu/almanac for more information, photos and a video).

EVP John Fry gave the financial report for the period July 1, 2000 to April 30, 2001 and indicated that the University’s total net assets were $4.6 billion, an increase of 4.6% since last June. Total expenses increased 7.2% over FY 2000 to a total of $1.175 billion.

Dr. Robert Martin reported that the Health System is developing a three-year strategic plan. The goal is to increase net assets to $2.91 million as they augment and build on the recent financial turnaround. Net patient revenue has increased by 2.4%.

Dean of Admissions Lee Stetson said there were 19,153 undergraduate applicants this year, with an admit rate of 21% and a yield of 58.5%, with 43% of the class filled from early admits. Ten percent of the incoming class is international and all fifty states are represented. There are 41 Mayors Scholars, of whom nearly 50% are women.

V.P. for Budget Michael Masch presented the FY 2002 budget, highlighting some of the strategic priorities going forward, including: CIS’s Lewis Hall, Wharton’s Huntsman Hall, bioengineering, genomics and life sciences, expanded Law School faculty, Dental’s Schattner Center and GSE’s renovations, health insurance for Ph.D. students, minority recruitment, student life enhancements, quality of life/neighborhood initiatives. The total University operating budget for FY 2002 is $3.206 billion; with the Academic Budget (excluding the Health Services) totaling $1.544 billion or 48%. There is a constrained increase in undergraduate charges: 5.8% increase in tuition and general fees; 2% in residence fees; 2% in dining fees for a 4.9% increase in total charges. He said that tuition comprises 34% of the revenue. The sponsored programs ICR Rate decreases to 56% from 58.5%. Compensation accounts for 54% of the expenditures. Utilities which were budgeted at $33 million will more likely be more than $40 million due to increased costs.

James S. Riepe was reelected chair of the board; Gilbert F. Casellas and Egbert L. J. Penn were reelected vice chairs; Former provost Thomas Ehrlich was elected a term trustee.

Memorial resolutions were passed in honor of Gustave G. Amsterdam, who died February 25; Gilbert F. Casellas, who died March 31; and John N. Gensler, who died January 31.

Resolutions of Appreciation for Henry M. Chance, II, a long-time trustee and alumnus; Memorial resolutions were passed in honor of Gustave G. Amsterdam, who died February 25; Gilbert F. Casellas, who died March 31; and John N. Gensler, who died January 31.

Dr. Schwartz to Temple (continued from page 1)

Dr. Schwartz has served as dean of the School of Social Work since 1993. During that time, the School has achieved national prominence for preparing social work professionals and for research into public policy issues. He also serves as director of Penn’s Center for the Study of Youth Policy.

President Judith Rodin said, “We are sorry to lose Ira Schwartz. He has been an outstanding national leader and advocate for child welfare. We congratulate him on being appointed provost at Temple, and we are pleased that he will remain in Philadelphia to serve one of our community’s important institutions.”

As provost, Dr. Schwartz will be responsible for Temple’s undergraduate trustees. For the teaching programs, its 17 colleges and schools, and its research activities.

Dr. Schwartz is the author and co-author of six books, more than 50 articles, and many government and foundation reports with an emphasis on social issues affecting young people, juvenile justice and child welfare. He is vice chairman of the board of directors of the American Youth Work Center; chairman of the board of Qilyn, LLP; a member of the advisory board of the Philadelphia DHS; on the public policy committee of the American Society of Criminology; a member of the Board of Governors of the University of Haifa in Israel and a board member of the Minerva Center for the Study of Youth Policy at the University of Haifa.

Saul A. Fox Chair at Law

The Law School adds its growing corporate-law program with the creation of the Saul A. Fox Distinguished Professorship of Business Law and the associated Fox Endowed Research Fund. This is the largest single gift establishing a chair in Penn’s history.

The distinguished chair, Penn’s most prestigious form of endowed professorship, was created through a $4 million gift from the Winding Way Foundation of the Jewish Community Federation’s Endowment Fund in honor of Saul A. Fox, L’78, a member of the Law School’s Board of Overseers. The purpose of the gift is to enrich the academic resources of the Law School. The Saul A. Fox Distinguished Professor will hold a primary appointment to the Law School and a secondary appointment to the Wharton faculty.

Saul A. Fox has participated in academic symposia and has lectured frequently at Penn Law and at Wharton where his late father, Jerome Fox of the Philadelphia Firm, was a tax attorney with the law firm Latham & Watkins in Los Angeles. He then joined Kohlberg Kravis Roberts & Co. in San Francisco in 1984 and was made a general partner in 1990. A Philadelphia native, Mr. Fox earned his undergraduate degree from Temple University in 1975.

In accepting the gift, Law School Dean Michael A. Fitts noted Mr. Fox’s dedication to the future of the School: Time and again, Saul Fox has demonstrated his commitment to ensuring the highest quality of legal education for Penn students. This gift, made in his honor, is a fitting tribute to Saul’s career which is the interprofessional model of the modern Penn Law graduate.”

President Judith Rodin, commended the Winding Way Foundation for its recognition of Fox’s dedication to Penn: “In his philanthropy to Penn through the years and in his volunteer leadership as an overenergetic officer, Saul Fox has modeled the education ideals he learned as a student at Penn. The University is deeply grateful to the Winding Way Foundation for its foresight in recognizing Saul’s passion for Penn’s future.”
Chair of Department of Surgery: Dr. Kaiser

Dr. Larry R. Kaiser, the Eldridge L. Eliason Professor of Surgery, has been named chair of the Department of Surgery and Chief of Surgery at HUP. As chair, he also assumed the John Rhea Barton Professorship of Surgery. Dr. Kaiser’s appointment was effective July 1.

The director of the Section of General Thoracic Surgery, Dr. Kaiser also serves as director of the Thoracic Oncology Program. He is director of the Center for Lung Cancer and Related Disorders as well as co-director of the Thoracic Oncology Research Laboratory.

Dr. Kaiser is widely known for his innovative approach to combating malignant mesothelioma through gene therapy, a collaboration with Dr. Steven M. Albeida, of the Department of Medicine. As principal investigator of Penn’s grant from the National Emphysema Treatment Trial, Dr. Kaiser is evaluating the success of lung-reduction surgery for patients suffering from end-stage emphysema. In addition, he pioneered the technique of video thoracoscopy and designed and patented instruments for use in the procedure.

After earning his M.D. from Tulane in 1977, Dr. Kaiser took his internship and residency in surgery at UCLA. In 1993-94, he was chief resident in surgery there. He completed his training at the University of Toronto, where he was senior resident in cardiovascular surgery and then chief resident in thoracic surgery. His first faculty appointment was at Cornell University Medical College. In 1988, he joined the medical faculty of Washington University School of Medicine, rising to associate professor (with tenure) in 1990. Dr. Kaiser came to Penn in 1991 as associate professor of surgery and director of the Lung Transplantation Program.


Inducted into Alpha Omega Alpha at Tulane, Dr. Kaiser received the Mallinckrodt Award and the Tulane Medical Alumni Association Award in 1977. He has been named a “Top Doc” by *Philadelphia Magazine* twice and a “World Class Doc” by the magazine in 1997. He was recognized as a “Top Doctor” by *American Health Magazine* and as a “Top Women’s Cancer Specialist” in the field of lung cancer by *Good Housekeeping*.

In 2013, Dr. Kaiser was awarded the *Philadelphia Inquirer* Outstanding Police Performance Award for his work on the Philadelphia Police Department’s Emergency Response Team. He has also served as the commanding officer for the Special Response Team, a tactical unit designed to handle all serious crimes.

Mr. Rambo joined Penn in 1986 as a police officer, and held increasingly responsible positions leading to his appointment as lieutenant in 1994. In that role, he managed the department’s Emergency Response Team, motorcycle patrol and bicycle patrol; trained police personnel in a variety of areas including ethics and critical incident response; served as a liaison to Penn departments, particularly VPU, working on quality of life issues; and represented the department to UCD and community townwatch groups. Mr. Rambo also served as the commanding officer for the Special Response Team, a Tactical Unit designed to handle all serious crimes.

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Chief of Police: Thomas Rambo

Thomas A. Rambo, a lieutenant with the Penn Police Department, has been named Chief of Police, according to a recent announcement by Vice President for Public Safety Maureen Rush. His appointment was effective July 9.

As Chief of Police, Mr. Rambo is responsible for the day-to-day operations of the Penn Police Department, which includes both the patrol and investigative divisions. He will also serve as the Division of Public Safety’s liaison to city, state and federal law enforcement agencies; direct the department’s ongoing accreditation process; develop and direct training programs for all department’s ongoing accreditation process; and represent the department’s ongoing accreditation process to the Pennsylvania Commission on Public Safety Maureen Rush.

“I am extremely pleased to have him as Chief of Police,” said Dr. Dwight Jaggard, director of the new Center. “Larry Kaiser has an extensive record of service to the university and to the community, and I look forward to working with him to ensure that the new center is successful.”

Mack Gift (continued from page 1)

vide Wharton researchers with valuable insight into the operating challenges faced by decision-makers across many industries. The program will also encompass the MBA major in Technological Innovation, which is administered through the School’s OPM Department.

Mr. Mack’s gift has created an endowed Mack Professorship to be awarded to a senior faculty member whose primary commitment is to teaching and research in the management of technological innovation.

The Mack Center will also support an annual student-run Mack Conference to bring together industry leaders, government policy-makers and Wharton faculty and students to discuss critical issues in the management of technological innovation. The Mack Center will work in concert with Wharton’s related initiatives, such as the Sol C. Snider Entrepreneurial Center and the Goergen Entrepreneurial Management Program.

Director of New Grad Center

Anita Mastroieni has been appointed Director of the new Graduate Student Center, which opens in September after more than a year’s planning by the graduate students including Kyle Farley, former GAPSA president, and Eric Eisenstein, former GSAC president who were proponents of a center to serve as a hub for graduate and professional students.

Fact-finding visits to peer institutions, were followed by on-campus visits by the directors of graduate student centers from Harvard and Cornell.

3615 Locust Walk has been renovated to house the new Center on the first and second floors. A selection committee, which included faculty, students and administrators, was appointed by Deputy Provost Peter Conn.

“We had an unusually large pool of qualified applicants. The committee is extremely pleased that Anita will be our first director of the new Center,” said Dr. Dwight Jaggard, committee chair.

Ms. Mastroieni has worked at Penn for seven years. She is the associate director for the Global Alumni Network in Development and Alumni Relations. She was previously associate director of programs and events for SAS. She received a master’s degree from CGU in 1999.

“This first year will be the foundation for the new Center,” said Dr. Conn. “Anita brings wide experience in outreach and programming, and has in-depth knowledge of the culture of the campus. It promises to be an exciting year, with Anita at the helm.”

President Rodin said, “I am grateful to the members of GAPSA, GSAC, the faculty, and the administration who did such a thorough search to find the first director of the new Graduate Student Center. Like the students, I am very excited about the opening of the Center, and look forward to joining them at social and intellectual events.”

Anita Mastroieni
**College House Appointments**

Alan Strudler

Patricia Williams

The College Houses are undertaking their annual ritual of renewing commitments and creating new ones. According to Director David B. Brownlee, ten of the 12 current Faculty Masters will return. Dr. Alan Strudler, formerly a Faculty Fellow in Harrison College House, has been appointed Faculty Master starting in September. Math professor and Chair Dr. Dennis DeTurck will serve for one year as interim Faculty Master in Stouffer College House while Dr. Philip Nicholls is on academic leave.

Likewise, ten of the 12 current House Deans will return along with one newcomer. Patricia C. Williams, the managing editor of Umoja News, will be the new House Dean of W.E.B. DuBois College House. Former House Dean Sonia Elliott will remain in DuBois as a Senior Fellow. Spruce House Dean, Dr. Christine Briessen, has been appointed Associate Director for College House Computing. A national search for a house dean for Spruce House is underway.

Dr. Alan Strudler and his wife Dr. Eleonora Curlo were among the first families who moved into Harrison College House in 1998. Then an assistant professor in legal studies in Wharton, Dr. Strudler became an associate professor this year. He earned a Ph.D. in philosophy from the University of Arizona, Tucson, in 1983, and a J.D. in 1985. Before coming to Penn in 1995, he served as a visiting assistant professor at Columbia’s Graduate School of Business, a research associate at the University of Maryland’s Institute for Philosophy and Public Policy, a visiting assistant professor of philosophy at Stanford and an Andrew W. Mellon Fellow at the California Institute of Technology. He teaches business ethics and negotiation. He received the David W. Hauck Award for Outstanding Teaching in 2000.

Patricia C. Williams earned a B.A. in English and an M.A. in Liberal Studies from Fordham University. She has served as managing editor of Umoja News since last October. Before that, she was the arts and culture editor at the Benjamin N. Cardozo School of Law of Yeshiva University. Earlier, she was the coordinator of academic budgets and records in the Office of the Academic Vice President at their Rose Hill campus. She then served in the Graduate School of Business at Fordham’s Lincoln Center campus. She taught undergraduate English courses there, a survey literature course, and served as a tutor and teacher in the New York Public Library’s Literacy Program. She has published articles in Umoja News and TEAMWORK, a publication of National Coalition of African American Studies. Her poems have appeared in the Paterson Literary Review and various anthologies. She has held poetry readings in many schools and libraries.

She currently chairs the Board of Directors for Fordham’s Black and Latino Alumni Association.

**Interim Associate Dean of Nursing**

Dr. Kathy McCauley, associate professor of cardiovascular nursing, has been appointed interim associate dean and director of undergraduate studies at the School of Nursing effective July 1. She previously served in this role from January 1998 through June 1999. She will continue a clinical appointment at HUP, continue her scholarship in transitional care and provide leadership for courses.

Dr. McCauley replaces Dr. Linda Brown who served as associate dean for the past two years and has resigned from that position but will continue as a member of the nursing faculty in a reduced role due to a partial disability. Dr. Brown will assume the leadership supporting the research agenda of those faculty related to women’s health.

Dr. Brown “has enhanced community-based clinical opportunities for students, mentored junior faculty, and promoted the presence of men in nursing,” said Dr. Donald J. Sorensen, dean of nursing.

Kelly Writers House Staff Changes

While Kerry Sherin, Writers House Director, is on leave of absence throughout 2001-2002, Teresa Leo will be the Acting Director. Poet, essayist, editor, and technology specialist, she is taking a leave from Information Systems and Computing, where she has worked for a decade.

Ms. Leo has been an active volunteer member of the Writers House Planning Committee since the House was founded in 1995. She has published nonfiction, reviews, and poetry. She has an M.A. in creative writing from Temple, and a B.A. from Bucknell.

Tom Devaney, a poet and experienced organizer of literary programs and events, succeeds Heather Starr as the Writers House Program Coordinator. “A prolific and extraordinary candidate for this position, and we are delighted to say that Tom was the unanimous choice of a hiring committee consisting of faculty, staff, and student members of the Writers House community,” said Dr. Al Filreis, Faculty Director of the Kelly Writers House. Mr. Devaney holds his M.F.A. in creative writing from Brookyn College (CUNY).

**Office of General Counsel Changes**

(continued from page 1)

Mr. Dobkin joined the Office of General Counsel in March 1997, where he currently serves as Deputy General Counsel for Compliance and Executive Director for Compliance and Training. He had previously served as a section chief in the U.S. Attorney’s Office for the Eastern District in Pennsylvania. He took his J.D. degree cum laude from Penn Law in 1982.

“The University has benefited greatly from Lee’s expertise and counsel on a broad range of Health System and related issues,” said Dr. Rodin. Among his accomplishments are the successful completion of Clinical Practice of the University of Pennsylvania’s (CPUP) five year Corporate Integrity Agreement with the federal government, and the re-design of the Health System’s Billing Compliance Program, as well as providing legal guidance to the University’s continuing efforts to ensure that clinical research at Penn meets the highest possible standards for patient safety.

Ms. Sydnor: Principal for the University-Assisted PreK-8 Public School

Shelia Sydnor, CW ’74, a veteran School District of Philadelphia teacher and administrator, was selected from a national pool of 60 candidates to be the new principal of the University-Assisted PreK-8 Public School at 42ndand Spruce Streets. A committed advocate of parents and students from the community along with Penn and School District representatives chose Ms. Sydnor for her demonstrated abilities as a school leader, her commitment to building learning communities, and her experience in creating conditions for high student achievement. “Sheila Sydnor brings a wealth of experience from the community, the teacher’s desk and the administrator’s chair,” said Dr. Deirdre Farnbry, the School District’s chief academic officer. “Her ability to lead and build connections between the community, the University and the school will catalyze the success of this cutting-edge educational venture.”

Ms. Sydnor, known as a hands-on leader, brings over 25 years experience as an educator to her new position. Since 1982, she has been principal of the M. Hall Stanton Elementary School. She also served as a teacher and assistant principal in the school district. “Sheila has clearly demonstrated that she understands the meaning of teamwork, which is central to making this partnership work,” said Ted Kirsch, PFT president. Hallmarks of her tenure at Stanton were fostering high student achievement in one of the city’s poorest neighborhoods, building strong connections among students, teachers, parents and community organizations and creation of a technology-rich learning environment. She secured funding for students to record and report weather statistics for the local NBC TV Weather Watchers program and pioneered several technology initiatives, including creation of a world map that students and staff designed and painted in the playground. “This historic partnership merits a principal with excellent instructional leadership skills,” said GSE Dean Susan Fuhrman. “We are very pleased that we found Sheila Sydnor.”

Ms. Sydnor will oversee the opening of the school for kindergarten and first grade children in September. She will work with Penn and the School District to hire staff, plan the curriculum, and meet parents. The school will focus on high learning standards for children and serve an important role as a professional development and community hub. “The children will be the real winners in this unique partnership with the Penn,” Ms. Sydnor said. “Penn’s total commitment to this venture promises diverse and exciting kinds of educational opportunities for our students, teachers, parents and the community.”

An alumna of Penn and Temple, she grew up in the neighborhood, attending Hamilton Elementary, Sayre Middle School and West Philadelphia High. “As a product of the West Philadelphia schools, and having begun my teaching career in this community, it is particularly gratifying to be returning home,” said Ms. Sydnor.
Wharton West

The Wharton School has selected the historic Folger Building (at right) near the San Francisco business and financial district as its Wharton West location. The 20,000 feet of space on the fifth floor, after renovations, will serve as the base for WEMBA and non-degree executive education programs. It will also provide office space for faculty members on the West Coast who are conducting research projects, and for classroom space to conduct MBA courses for Philadelphia-based students.

For more details see www.wharton.upenn.edu/whartonnow/archive/news/2001/5_wwest_bldg.html.

Planning to Visit an Exotic Country?
The Travel Medicine Program of UPHS provides comprehensive immunization and counseling services for those planning to travel abroad. They also provide prescriptions and health products needed for world travel. Penn Travel Medicine maintains a list of the latest disease threats and which vaccines are recommended for each country. They can also make recommendations about treating common ailments among travelers, give advice on how to make water safe, identify what foods to avoid, suggest how to reduce insect bites and how to prevent and treat altitude sickness.

For best results, it is recommended that travelers contact the Travel Medicine Office six to eight weeks in advance of travel. To schedule an appointment at any of the six offices (HUP, Radnor, Collegeville, Spring House, Yardley, or Doylestown) call 1-800-789-PENN or (610) 902-5618.

For more travel-related health tips and information visit www.passporthealthusa.com or www.tripprep.com.

Cell Phones at Commencement

I recently had the pleasure of attending this year’s commencement ceremony in Franklin Field. Though I have been an employee of the University for some years, it was the first commencement that I had attended. I had a family member and many friends in the graduating class of 2001, and looked forward to this event with great interest.

Although the weather could have been more cooperative, it was an excellent ceremony. However, I must take issue with a phenomenon that occurred at this commencement: the use of cellular phones.

Many of the parents around me in the stands made innumerable calls on their cell phones during the ceremony. They talked during the Procession; they talked during the Invocation; they talked during President Rodin’s remarks; they talked during the presentation of the honorary doctorates; they talked through Senator John McCain’s speech; and they talked through the Recessional. They only fell silent when it was time to leave, and they could get in from the rain. It was one of the most striking acts of rudeness and disrespect that I have been witness to.

What is more distressing is that these parents were calling their children on the field; and as I learned from several students afterwards, these cell phone-toting students were disruptive of the ceremony for their neighbors throughout, not pausing from their gabbing even during the playing of the National Anthem and the singing of The Red and The Blue.

I encourage the planners of next year’s commencement to take strong, proactive measures to discourage the use of cellular phones and two-way pagers during this important event. Posting signs asking for such devices to be turned off, having the marshals stop cellular phone usage during the ceremony, and employing commercially-available cellular phone signal blocking technology are some options that ought to be explored. I hope that in dealing with this issue now, Commencement can be made an even more enjoyable celebration than it is now.

—Christopher P. Horrocks, Penn Computer Connection

Response

I’m happy to hear that you enjoyed this year’s commencement ceremony in Franklin Field. It’s unfortunate that your experience was affected by the use of cell phones by students and guests in the stadium. Over the past year or two, there has been a noticeable increase in the use of cell phones during the ceremony and I share your concern. In the future, graduating students and their guests will be discouraged from using cell phones, via notices in the Commencement informational brochures and on the website and Commencement hotline. On the day of the event, signs will be posted at the gates to Franklin Field. I hope that these measures will ensure an enjoyable day for everyone.

—Leslie Laird Kruhly, Secretary of the University

Scatterbrained Title

Surely a dedicated, self-sacrificing police officer, a ground-breaker throughout her career, who carries a sideward to insure the safety of our hides, merits the use of her title in print.

‘Ms.’ sounds like something scatterbrained who sits on a tuffet eating curds and whey.

Chief Rush, now Vice-President Rush, deserves better from the community which owes her for so much.

—Jerry Briggs, Van Pelt Library

Speaking Out welcomes reader contributions. Short, timely letters on University issues will be accepted by August 20 at noon for the September 4 issue, subject to right-of-reply guidelines. Advance notice of intention to submit is appreciated. —Eds.

DEATHS

Dr. Berwick, Retired Medical Librarian
Dr. Mary C. Berwick, a retired medical librarian at Penn, died on May 21, at the age of 78. Dr. Berwick joined the pathology department at the School of Medicine in 1951 as an instructor. She returned to Penn in 1972 as a medical librarian and retired in 1992.

Dr. Berwick received her bachelors degree from Wilson College, a masters in biology from Bryn Mawr College and her Ph.D. in Zoology from Penn in 1952.

She is survived by her twin sons, Philip C. (SAS’73) and Robert C.; twin daughters, Elizabeth C. (SAS’77) and Barbara B. Wright; a sister; and five grandchildren.

Donations may be made to the Leonard Berwick Teaching Award-Pathology, 6 West Gates, HUP. A memorial service will be held in the fall.

Mrs. Gantz, Retired Admissions Director
Kathryn M. Gantz, a retired director of admissions at the School of Medicine, died on May 21 at the age of 83.

Mrs. Gantz came to Penn as an assistant in the admissions office of the School of Medicine in 1966. In 1971 she became the director of admissions and finance in the Department of Admissions and Student Affairs at the medical school. She retired from Penn in 1983.

She is survived by sons, Gary E. and Glenn; a sister; and four grandchildren.

Speaking Out
Economic Growth and Tax Relief Reconciliation Act of 2001

On Thursday, June 7, 2001, President George W. Bush signed into law the Economic Growth and Tax Relief Reconciliation Act of 2001 making a number of changes to the Internal Revenue Code. Congress approved this legislation on May 26, 2001. We are pleased to inform you that one of the provisions contained in this legislation expands the income tax exclusion for employer-provided educational assistance, commonly referred to as Section 127, to include graduate education. This provision retains the annual ceiling on benefits at $5,250, and becomes effective with respect to courses beginning after December 31, 2001. Prior to the inclusion of this provision in the recently passed bill, which was a key item on the University’s agenda, Section 127 had applied to undergraduate level assistance only. The provision for graduate-level assistance had expired in 1996.

Other provisions benefiting students, families and colleges include:

- **Student Loan Interest Deduction:** The Act raises the income eligibility to claim student loan interest deduction from $50,000 to $65,000 for single taxpayers and from $100,000 to $130,000 for married taxpayers. After 2002, the income eligibility will be adjusted annually for inflation. In addition, the Act repeals the current 60-month time limit during which student loan interest is deductible and makes voluntary payments of interest deductible. The changes are effective for interest paid on qualified education loans after December 31, 2001.

- **Qualified Tuition Plans:** The new bill eliminates taxes on interest earned under state prepaid-tuition plans and allows private institutions to set up such plans with the same tax benefits, starting in 2004. The Act also allows one rollover per year among these different plans, so long as the account is maintained for the same institutions to set up such plans with the same tax benefits, starting in 2004. The Act also allows one rollover per year among these different plans, so long as the account is maintained for the same beneficiaries.

- **Education IRAs:** The Act increases the annual limit on contributions to education IRAs from $500 to $2,000.

- **“Above the Line” Deduction for Qualified Higher Education Expenses:** The Act permits taxpayers to take an “above the line” deduction for qualified higher education expenses, defined in the same manner as for purposes of the HOPE tax credit. The provision expires in 2005.

**FY 2002 Commonwealth Appropriation**

On June 12, the Pennsylvania House of Representatives gave final approval to House Bill 1201, Penn’s Fiscal Year 2002 Commonwealth appropriation. The bill had been approved previously by the Senate on June 11. HB 1201 was then signed into law by the Governor on June 22 as Act 25A of 2001.

HB 1201 provides a total of $43,478,000 in funding for University programs, an increase of $2,600,000, or 6.4 percent, over the last fiscal year (see chart below). The funding is broken down as follows: $36,626,000 for the Veterinary School, $4,034,000 for the Medical School, $1,632,000 for Cardiovascular Studies, $938,000 for the Dental Clinics, and $248,000 for the University Museum. Penn’s increase of 6.4 percent is substantially higher than the less than one percent increases provided to the public universities (Penn State, Pitt, and Temple), which indicates strong support for Penn’s programs.

In addition to the University’s direct appropriation, Penn will receive other funding through the Commonwealth’s General Appropriation (GA) bill (SB1), which was approved on June 22. The University’s Cancer Center will receive $600,000 through a Health Department line item. In addition, two equipment programs which will benefit Penn were included in the GA bill at last year’s levels—$6 million for higher education equipment (last year Penn received approximately $290,000 under this program) and $1 million for equipment (Penn’s share last year—$63,000). Finally, the budget included $8 million for the higher education graduation incentive program, up from $6 million in last year’s budget. This program provides grants for those institutions graduating at least 40 percent of their undergraduates within four years.

**University of Pennsylvania Commonwealth of Pennsylvania: History of Non-Preferred Appropriation**

<table>
<thead>
<tr>
<th></th>
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<th></th>
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<td>1.9</td>
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<td>6.4</td>
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</table>

1 Includes $132,000 appropriated through separate non-preferred appropriation.
2 Appropriated through separate non-preferred appropriation.
3 Appropriated through PA Historic and Museum Commission (not part of submission to PDE).

**President Bush’s Science Adviser and Other Appointments**

On Monday, June 25, President Bush nominated physicist John Marburger, III, to be his Science Adviser. Dr. Marburger is currently director of the Brookhaven National Laboratory. He has previously served as president of Stony Brook University, State University of New York from 1980 to 1994, and dean of the College of Letters, Arts, and Sciences at the University of Southern California from 1976 to 1980. Dr. Marburger received his bachelor’s degree from Princeton University and his Ph.D. from Stanford University. In his position, he will also chair the President’s Committee of Advisors on Science and Technology, and will oversee the White House Office of Science and Technology Policy.

Tom Scully was confirmed by the United States Senate and was sworn in as the Administrator of the Centers for Medicare & Medicaid Services (CMS) in May 2001. CMS, formerly named the Health Care Financing Administration (HCFA), is responsible for the management of Medicare, Medicaid, the State Children’s Health Insurance Program and other national healthcare initiatives. Mr. Scully was a senior official in the former Bush White House and at the Office of Management and Budget, and worked on health issues in Congress and the private sector.

President Bush nominated Eugene W. Hickok, Jr., the former education secretary for Pennsylvania, to be the Undersecretary of Education. Dr. Hickok’s nomination has not yet been voted on by the Senate, but he is expected to be confirmed without controversy.

President Bush nominated Janet Hale to be assistant secretary for management and budget at the Department of Health and Human Services. Ms. Hale is a former Executive Vice President at Penn.

**Senate Organization**

On Wednesday June 6, Democrats took control of the U.S. Senate after Senator James Jeffords (I-VT) left the Republican Party to become an Independent. This change will make the appropriations process more contentious, and will make it more difficult for President Bush to gain support for his budget priorities.

Until the Senate passes a committee organizing resolution, committee memberships have reverted back to their organization from before January 20, 2001. Committee and subcommittee chairmanships, however, have gone to Democrats.

The move will impact both of Pennsylvania’s Republican Senators. Senator Rick Santorum will retain his position as Republican Conference Chair. Senator Arlen Specter will become Ranking Member of the Appropriations Subcommittee on Labor, Health and Human Services, and Education.
**Tobacco Settlement**

On June 21 the Pennsylvania Senate and House gave final approval to House Bill 2, legislation providing for expenditures of the Tobacco Settlement funds. The Governor signed this legislation into law on June 26. Following are the highlights of the Tobacco Settlement legislation.

- HB 2 provides the following allocation of tobacco settlement dollars:
  - 19% research (with 1% provided to NCI recipients—see below)
  - 30% insurance for uninsured adults
  - 13% home-based and community care
  - 10% uncompensated care for hospitals
  - 12% smoking prevention and cessation
  - 8% expansion of prescription drugs benefits for the elderly
  - 8% endowment
- The final package also includes a number of one-time initiatives to be funded from a portion of settlement payments already received, including $100 million to create three Life Sciences Greenhouses and $60 million in venture capital funds to support health-related start-ups. In addition, $69 million was transferred to the General Fund to support various health-related programs, including a $3 million grant to the University to help establish the Penn Heart Failure Center.
- In the area of research, HB 2 provides for 19% to be allocated to research on an annual basis. One percent of the research allocation will be distributed to all National Cancer Institute (NCI) recipients, except the capped institutions (Penn, Pitt and Penn State), based on their pro rata share of the state’s NCI total. The remaining 18% will be allocated based on a 70/30 split—70% going directly to institutions based on their share of the state’s total NIH funding and 30% going to the Department of Health. Under the institutional formula Penn will get 20% of the institutional dollars, Pitt 20% (Pitt and Penn were capped at 20%, pursuant to agreement by legislative leadership), Penn State 17%, with the remaining dollars being distributed to other institutions on an NIH formula. The allocation amount and process for this component of the plan differs from the Governor’s original proposal of 10% for research allocated by the Department of Health to individual research projects.
- Under the legislation “research” is defined as “biomedical, clinical, and health services research which may include infrastructure.”
- It is estimated that $345 million will be the total available pool of tobacco settlement monies available in FY 2002. In future years the estimate of dollars available will range from $345 to $425 million annually, depending on tobacco sales. Based on the FY 2002 estimate, Penn will receive approximately $8.7 million next year in research support under the approved institutional formula. The amount could rise to as much as $10.7 million annually if the total pool reaches $425 million.
- In addition to the institutional research funding, the Department of Health will distribute 30% of the research pool on an individual project basis. The University has worked closely with the Department of Health to suggest research priorities consistent with Penn’s strengths.
- The language in the research section of HB 2 was amended to expand the definition of “infrastructure” and allow the use of research funds for building construction or renovations. HB 2 states that research grant recipients may not expend more than 50% of its grant for building construction or renovations.
- Based on the funding formula provided for uncompensated care in the final language, the University of Pennsylvania Health System should receive approximately $3-4 million annually from this pool.
- The bill includes language establishing the Regional Biotechnology Research Centers, also known as Life Sciences Greenhouses. The bill sets up requirements for establishing a Board of Directors, the functions of the centers, and a process for application and subsequent agreement with the Commonwealth creating the centers.

—Carol R. Scheman, Vice President for Government, Community and Public Affairs

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**Postdoctoral Stipend Levels FY02**

(Final Revised June 27, 2001)

Preamble

Several recent developments have led to a revised approach to guidelines for stipend levels for Postdoctoral Fellows (PDFs).

- (1) a proposed new set of NIH guidelines for NSRA (National Research Service Awards) postdoctoral stipends that involve substantial annual increases above present levels (it is presumed that NIH expects these new levels to be implemented for NIH FY02 for all PDFs supported on NIH grants);
- (2) the potential lack of supplements for existing NIH grants to accommodate the new stipend levels; and
- (3) the minimal increases in grants awarded by NSF and other Federal agencies. As a result fiscal realities have created increasingly disparate financial situations for faculty, depending upon their source of support.

In view of these considerations, the recommended minimum stipend levels are no longer based on projected future NIH guidelines. It is recognized that the FY02 guidelines represent a departure from the promulgated University of Pennsylvania postdoctoral policies*, and a revision of those policies may be considered during the coming academic year.

It is important to remember that recommended stipend levels represent minimums. Penn investigators are also expected to comply with any postdoctoral stipend guidelines that are promulgated by their sponsors, if these sponsor-specified guidelines exceed the Penn minimum stipend levels.

Background: FY 01 Recommended Stipend Levels

At present, the recommended minimal stipend levels for postdoctoral fellows at the University are the current FY01 NIH levels, i.e.,

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</tr>
</tbody>
</table>

Recommended Minimum Stipend Levels for Penn FY02

(Penn FY02: July 1, 2001 through June 30, 2002)

1. Beginning postdoctoral fellows, with no prior experience at Penn, will be paid a minimum of $29,108. This represents a 3% increase above the minimum for FY01.
2. All continuing postdoctoral fellows will receive an increase of at least 3% above their present stipend levels.

Other Items

- Stipends should be adjusted upwards during the course of the Penn fiscal year, either at the time of the annual postdoctoral reappointment, at the time of the annual grant renewal, or at the beginning of the NIH fiscal year.
- Individual Schools can set their own stipend levels above those recommended in this revision.
- Under University of Pennsylvania postdoctoral policy, no one should hold a postdoctoral position for more than 5 years.
- Current University of Pennsylvania postdoctoral policy applies to postdoctoral fellows in the physical, biological, and health sciences, and in engineering.
- Minimum stipend levels will set annually by the Provost for Research, in consultation with the Provost’s Council on Research representing the Schools of the University.

—Neal Nathanson,
Vice Provost for Research

* The University of Pennsylvania’s Postdoctoral policies are online at www.upenn.edu/research/policies.htm#postdocanchor
University Research Foundation Guidelines

Statement of Purpose
The University Research Foundation (URF) is an intramural resource to support faculty research for a variety of purposes, including:
• Helping established faculty perform exploratory research, particularly on novel or pioneering ideas, to determine the feasibility and develop preliminary data to support extramural applications.
• Helping junior faculty undertake pilot projects that will enable them to successfully apply for extramural sources of funding, and aid in establishing their careers as independent investigators.
• Providing support in disciplines where extramural support is difficult to obtain and where significant research can be facilitated with modest funding.
• Providing modest institutional matching funds that are awarded contingent upon a successful external peer-reviewed application that requires an institutional match.

Scope
Disciplines—The URF supports research in all disciplines, including international research. For purposes of review, applications are assigned to four broad disciplinary areas, liberal arts, social and behavioral sciences, natural and engineering sciences, and biomedical sciences.
Term—Grants are given for a single year only. Applications for a second year of funding may be submitted but usually receive low priority. Funds must be spent within 12 months of the beginning of the grant, and may not be “banked” for future use. Unexpended funds must be returned to the Foundation.
Budget—Applications up to $50,000 will be entertained, but most grants are for no more than $25,000. Because the total cost of meritorious requests exceed available funds by several fold, applicants are encouraged to request only absolutely essential resource.
Eligibility—Eligibility is limited to Standing Faculty (tenure track or tenured faculty), Standing Faculty-Clinician-Educators, and Research Faculty.

Application Process
Dates—Applications are accepted twice each year, for November 1 and March 15 deadlines. If the date falls on a weekend or holiday the deadline is the next working day. Every effort will be made to process applications and notify applicants of the outcome within 10 weeks after the deadlines.
The Application—Brevity and clarity will enhance the likelihood of success. All applications should be limited to 10 pages (applications for > $25,000 can be up to 15 pages) and should include the following items:
1. A Research Foundation Proposal Cover Sheet.
2. An abstract, no more than 200 words, written for the educated non-specialist.
3. A description of the research proposal, which should be no more than 5 single spaced pages for grants up to $25,000, and no more than 10 pages for grants > $25,000. Proposals should provide background, hypothesis or purpose of the research, significance of the research, methods used, work to be undertaken, and outlook for future extension of the research and its potential for external funding (see also criteria for evaluating proposals, below).
4. A single page biographical sketch.
5. A budget, with justification for each item requested. Items that can be requested include faculty release time, summer stipends, research travel expenses, supplies, minor equipment specifically designed for the proposed research. Items that are usually excluded include renovations of the physical facilities, major equipment, and extension of projects that are already well funded.
6. Other research support, including current funding with a list of titles, amounts, sources, and grant periods, expired funding for the prior three years, plus pending applications. Applicants with “start up packages” should provide detailed dated budgets.
7. All Assistant Professors in their first three years are required to include a letter from their department chair indicating their career plans within the department, and listing all department funding, including startup packages and the like. In addition, the letter should document the degree of independence of these investigators.
8. Regulatory issues—If research involves human subjects, animals, biohazards, or other regulatory issues, the application should identify those concerns and provide documentation that they have been addressed. This may require IRB or IACUC or Environmental Safety review and approval. It should be emphasized that full regulatory compliance applies to Research Foundation grants, which must meet the same standards applied to larger extramural applications. Regulatory documentation can be provided as an appendix to the body of the application and will not be included in the page count. For advice please consult the Office of Regulatory Affairs.
9. Conflict of Interest—The applicant should explicitly make a statement whether or not the application involves any potential conflict of interest, and any such conflicts should be described. For instance, if the research could forward the interests of a company in which the applicant has a pecuniary interest, this should be disclosed. Conflict of interest documentation (if required) can be provided as an appendix to the body of the application and will not be included in the page count.
Submission—An original and ten copies of the complete proposal with the cover sheet should be submitted to the Office of the Vice Provost for Research, 119 College Hall/6303.

Review Process
All applications are reviewed by one of four faculty committees, in the four disciplinary areas mentioned above. Every attempt is made to spread funding equitably across the major disciplines. Each application is reviewed for a variety of attributes, including:
• Scientific merit, creativity and innovation
• Feasibility
• Appropriateness for the modest funding provided
• Significance of the research
• Time-limited opportunities that require immediate funding
• Prospects for future extramural funding
• Matching support from other sources
• Availability of alternate funding sources
• Career development of young researchers
• Evidence that junior applicants will be working as independent investigators
• Forwarding of school or institutional objectives, such as interdisciplinary research

Certain frequently found weaknesses should be avoided, such as:
• “Re-inventing the wheel” due to ignorance of prior published work, often in cognate fields
• A fishing expedition without a focused hypothesis
• Requests for equipment, such as computers, that could be funded by the School
• Requests for faculty salary that exceeds the scale of URF grants
• Repeated requests for research projects that are eligible for but have failed to garner external reviewed support

Since meritorious requests exceed available funds, reviewers often reduce budgets to extend the number of applications that can be supported. The review committees make their recommendations to the Vice Provost for Research, who makes the final decisions about funding, based on year-to-year availability of resources. Decisions will be made shortly after review committees have met and should be distributed by e-mail within 10 weeks of the date of submission.
Caremark Prescription Services Online

If you are currently covered under one of the University’s medical plans, you also have prescription coverage through Caremark Prescription Services. If you haven’t already, visit Caremark’s website (www.rxrequest.com) to see how it can help you to conveniently manage your prescription benefits and provide you with helpful information. You can order refills online, check the status of your prescription orders, find a pharmacy in your area, and check to see if a particular drug is covered under the plan.

A new addition to Caremark’s website is a feature called “Ask a Pharmacist”. This feature allows users to ask questions to Caremark pharmacists regarding prescriptions and drug usage. (Note that the “Drug Information” feature is still available for general information about a specific medication.)

To access the new feature, after signing on to RxRequest.com, click on “Drug Info” and then click on “Ask a Pharmacist”. You will be asked to read and acknowledge a disclaimer and provide some personal information before submitting your question. Then, Caremark’s pharmacists will respond privately and confidentially to your question within two working days. You will receive an e-mail notification advising you that your response is available and explaining how to retrieve it. Anytime you send an e-mail on RxRequest.com either to Customer Service or to a Pharmacist, you can be confident that both your e-mail and the response are secure.

If you have any questions, you can contact Caremark via the “Message Center” feature on their website, or call Caremark directly at 1-800-378-0802.

—Division of Human Resources

EHRS Exposure Control Plan

Effective April 18, 2001, OSHA revised the Bloodborne Pathogens Standard as mandated by the Needlestick Safety and Prevention Act. Passed unanimously by Congress and signed into law on November 6, 2000, the Act directed specific revisions to the standard, including clarifying the requirement for employers to select and use needleless devices as they become available and involving employees in identifying and choosing the devices.

The Office of Environmental Health and Radiation Safety (EHRS) has revised the University of Pennsylvania Exposure Control Plan, as required by the OSHA Bloodborne Pathogens Standard. The updated plan reflects (1) revisions to the standard itself, (2) the standard’s updated University policies and (3) new information on tuberculosis from the Centers for Disease Control and Prevention.

Principal Investigators/area supervisors must complete the plan if their personnel have the potential to be exposed to human blood, blood products and other potentially infectious materials harboring pathogens such as: Human Immunodeficiency Virus, Hepatitis B Virus, non-A non-B hepatitis virus(es), Human T-cell Lymphotropic Virus type I, Plasmodium spp., Babesia microti, Brucella spp., Leptospira interrogans, Arboviruses, Borrelia, and agents which cause Creutzfeldt-Jakob Disease and viral hemorrhagic fever. The completed plan must be readily accessible to all employees who may come in contact with these materials.

To obtain a copy of the plan, call EHRS at (215) 898-4453. The plan may also be downloaded from the EHRS website, www.ehrs.upenn.edu.

Summary Annual Reports: Retirement and Life Insurance

This is a summary of the annual reports for the Retirement Allowance Plan and for the Life Insurance Program sponsored by the University of Pennsylvania, EIN 23-1352685, for the period July 1, 1999 through June 30, 2000. The annual reports have been filed with the Internal Revenue Service, as required by the Employee Retirement Income Security Act of 1974 (ERISA). To facilitate publication, the reports have been combined. Consequently, portions of this summary may refer to a plan in which you are not currently participating.

Retirement Allowance Plan: Basic Financial Statement

Benefits under the plan are provided through a trust fund. Plan expenses were $5,565,261. These expenses included $412,873 in administrative expenses and $5,152,388 in benefits paid in benefits to participants and beneficiaries. A total of 6,358 persons were participants in or beneficiaries of the plan at the end of the plan year.

The value of plan assets, after subtracting liabilities of the plan, was $136,183,646 as of June 30, 2000, compared to $122,621,290 as of July 1, 1999. During the plan year the plan experienced a decrease in its net assets of $10,026,644. This decrease includes net unrealized appreciation or depreciation in the value of plan assets; that is, the difference between the value of the plan’s assets at the end of the year and the value of the assets at the beginning of the year or the cost of assets acquired during the year.

Minimum Funding Standards

An actuary’s statement shows that enough money has been contributed to the plan to keep it funded in accordance with the minimum funding standards of ERISA.

Life Insurance Program: Insurance Information

The plan is a contract between Teachers Insurance and Annuity Association to pay Life Insurance, AD&D and Dependent Life claims incurred under the terms of the plan. The total premiums paid for the plan year ending June 30, 2000 were $3,283,289. Because it is a so-called “experience-rated” contract, the premium costs are affected by, among other things, the number and size of claims. Of the total insurance premiums paid for the plan year ending June 30, 2000, the premiums paid under such “experience-rated” contract were $3,283,289 and the total of all benefit claims paid under the experience-rated contract during the plan year was $3,231,551.

Your Rights to Additional Information

Under ERISA, you have the right to receive a copy of either full annual report, or any part thereof, on request.

To obtain a copy of either plan’s full annual report, or any part thereof, write or call the office of Joanne M. Blythe, Retirement Manager, University of Pennsylvania, 3401 Walnut Street, Suite 527A, Philadelphia, PA 19104-6228, (215) 898-9947. The charge to cover copying costs will be $5 for the full annual report or 25 cents per page for any part thereof.

You also have the legally protected right to examine the annual reports at the main office of the plan and at the U.S. Department of Labor upon payment of copying costs. Requests to the Department should be addressed to: Public Disclosure Room, Room N-5638, Pension and Welfare Benefits Administration, U.S. Department of Labor, 200 Constitution Avenue, N.W., Washington, D.C. 20210.

Unique Scholarship Benefits

The University of Pennsylvania provides “unique benefits” for its faculty and staff. The Division of Human Resources would like to remind the faculty and staff of the “unique benefits” regarding scholarships for qualified dependents of deceased faculty/staff members as well as qualified dependents of retired faculty/staff members.

For dependent children:
- A dependent child of a deceased member of the faculty or regular full-time or limited service staff is eligible for tuition benefits, provided the faculty/staff member was full-time on the date of death and had been so for at least seven (7) years.
- A dependent child of a retired member of the faculty or regular full-time or limited service staff is eligible for tuition benefits provided the faculty/staff member meets both the university eligibility requirements and retirement criteria.

For spouse/same sex domestic partners:
- The surviving spouse/same-sex domestic partner of a deceased member of the faculty or regular full-time or limited service staff is eligible for tuition benefits, provided the faculty/staff member was full-time on the date of death and had been so for at least seven (7) years.
- The surviving spouse/same-sex domestic partner of a retired member of the faculty or regular full-time or limited service staff member is eligible for tuition benefits provided the faculty/staff member meets both the university eligibility requirements and retirement criteria.

The Executive Director of Human Resources, Benefits makes final administrative determinations if any questions arise concerning a faculty/staff member’s employment relationship with the University and eligibility for scholarship assistance under the program. Undergraduate scholarships are limited to eight (8) semesters/12 trimesters.

For more detailed information, please refer to tuition policies 407, 408 or 409 at the Human Resources website: www.hr.upenn.edu.

—Division of Human Resources
Penn Children’s Center Fee Reduction/Open Enrollment
Each year the Penn Children’s Center (the University’s child-care program) and the Division of Human Resources set aside funds to help reduce the cost of the program for qualified Penn staff. In January, the Penn Children’s Center moved to new, larger quarters in the Left Bank complex at 3160 Chestnut Street. Applications are now being accepted for summer and fall enrollment for toddlers and preschool age children.

The Penn Children’s Center serves children ages 12 weeks through 5 years. The program features weekly themes and a diverse, multicultural curriculum, based on the principles of early childhood education. PCC features a nurturing infant program, well-equipped classrooms with computers, a multi-purpose indoor-gym room, and an outdoor playground. The teaching staff is highly trained in early childhood education.

Tuition fees for July 2, 2001 through June 30, 2002

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<td>Class C</td>
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<td>Class D</td>
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University faculty and staff are encouraged to pay their parking fees in installments through automatic payroll deduction. Equal installments (12 monthly or 52 weekly) will be taken from each pay issued September through August. Additionally, you will be automatically enrolled in the Penn Commuter Choice program, which offers you significant tax savings by deducting parking fees (up to $175 per month or $2,100 per year) on a pre-tax basis. Through Penn Commuter Choice, you do not pay federal or FICA taxes on the deducted amount.

—Leroy Nunery, Vice President, Business Services

SEPTA Rates/Compass Program
The SEPTA fare increases have caused an increase in the cost of Compass Program commuter passes. Beginning July 1, 2001, the new prices are as follows:

- City Pass: $63.00
- Zone #2: $95.40
- Zone #3: $113.85
- Zone #4: $130.50
- Zone #5: $146.70

For monthly-paid employees, the applicable fees are deducted from the previous month’s pay on a monthly basis. For weekly-paid employees, four weekly installments are deducted each month. All passes are purchased at a 10% discount through payroll deduction. Faculty and staff may have a portion of the purchase amount (maximum of $65 per month) deducted on a pre-tax basis. Commuter passes are available through payroll deduction only.

For information, visit the Compass Program’s website: [www.upenn.edu/transportation/compass.html](http://www.upenn.edu/transportation/compass.html). Compass applications are available at the Transportation and Parking office: Suite 447A, 3401 Walnut St.

Computer Sale, Tax Free Holiday
The Penn Computer Connection announces the University’s annual Back-to-School Computer Sale. Coinciding with this year’s sale is the Commonwealth of Pennsylvania’s Tax-Free Holiday. Purchases made August 5-12, 2001 for computer systems and any software, peripherals, and select accessories will be exempt from the Commonwealth of Pennsylvania’s traditional 7% sales tax. In order to meet the exemption requirements all items must be purchased with a computer system. Faculty and staff may be eligible to finance their computer purchase via monthly payroll deductions through Penn’s Credit Union. More details on the Back-to-School Sale and the tax holiday are available online: [www.upenn.edu/ccx](http://www.upenn.edu/ccx).

—Leroy Nunery, Vice President, Business Services

Launching Creative Communications
Following several months of study, recommendations from external consultants, and a successful pilot program (launched in June 2000), the Division of Business Services has restructured Publications Services and is overhauling the University’s print procurement process. Since April 2001, the new program resulted in a savings of approximately 22% ($84,575) over the standard way of acquiring jobs.

The new department, called Creative Communications, will manage the following service areas:
- Creative Services (graphic design/layout)
- Print Procurement Services (print procurement, production planning, stationery)
- Logon 4 Advertising (advertising planning and placement)
- Photography (image library and coordination of customized photo shoots)

Our Goals, Your Benefits
Creative Communications objectives include:
- Develop creative concepts and designs targeted to meet specific communications objectives
- Capture a significant portion of the University’s printing volume to obtain volume discounts on all print purchases
- Provide competitive bidding services to secure the most favorable print pricing and compliance with University procurement guidelines
- Offer print buying services with no increase in allocated costs, at a maximum cost to the customer
- Provide advertising planning and placement services
- Drive the West Philadelphia/minority business initiative
- Implement and ensure high quality standards and consistency with University graphics and image program

University affiliates benefit from this new system with the following services:
- Creative products designed with a thorough understanding of the University’s mission and identity standards
- Access to an extensive photography library of University images—available when products are designed through Creative Communications
- Discounts on advertising placements based on University volume rates
- Core group of preferred printers identified to offer the University maximum discounts
- Printer capabilities and technology matched to your demands
- Competitive bidding, negotiated contracts, high quality products, and services at competitive costs
- Production planning, project specification development, scheduling, and vendor performance evaluation
- Ongoing quality assurance assessment

To Access Our Services
Creative Communications is poised to assist you immediately with your creative and print procurement needs. All print procurement conducted through Creative Communications will automatically meet the University’s competitive bidding requirements. All print purchases of $5,000 or more are subject to the same competitive bid policy as other commodities. Creative Communications, Acquisitions Services will review all print purchase order requests to ensure compliance with this policy. For information, call Acquisitions Services at (215) 898-7216.

For information, or to access Creative Communications services, call any of the following:
- Carol Morris Meisinger (215) 898-4372
- Dave Unfer (215) 898-1926
- Creative Communications (215) 898-4838

Or visit the Creative Communications website: [www.upenn.edu/creativecommunications](http://www.upenn.edu/creativecommunications).

—Leroy Nunery, Vice President, Business Services

10  www.upenn.edu/almanac

ALMANAC July 17, 2001
The University of Pennsylvania Police Department
Community Crime Report

About the Crime Report: Below are all Crimes Against Persons and Crimes Against Society from the campus report for July 2 through July 8, 2001. Also reported were 16 Crimes Against Property: (includes theft, 1 burglary and 2 vandalism). Full reports on the Web (www.upenn.edu/almanac/v48n011/7crimes.html). Prior week's reports are also on-line.—Ed.

This summary is prepared by the Division of Public Safety and includes all criminal incidents reported and made known to the University Police Department between the dates of July 2 and July 8, 2001. The University Police actively patrols from Market Street to Baltimore Avenue and from the Schuylkill River to 43rd Street in conjunction with the Philadelphia Police. In this effort to provide you with a thorough and accurate report on public safety concerns, we hope that your increased awareness will lessen the opportunity for crime. For any concerns or suggestions regarding this report, please call the Division of Public Safety at (215) 898-4482.

18th District Report

13 incidents and 3 arrests (including 7 robberies, and 6 aggravated assaults) were reported between July 2 and July 6, 2001 by the 18th District covering the Schuylkill River to 49th Street and Market Street to Woodland Avenue.

1219 Market St. 

The University of Pennsylvania values diversity and seeks talented students, faculty and staff from diverse backgrounds. The University of Pennsylvania does not discriminate on the basis of race, sex, sexual orientation, religion, color, national or ethnic origin, age, disability, or status as a Vietnam Era Veteran or qualified handicapped individual in the administration of educational policies, programs or activities; admissions policies; scholarship and loan availability; athletic or other University administered programs or employment. Questions or complaints regarding this policy should be directed to Valerie Hayes, Executive Director, Office of Affirmative Action, 3600 Chestnut Street, 2nd floor, Philadelphia, PA 19104-6106 or (215) 898-6993 (Voice) or (215) 898-7863 (TDD).
Honors and Other Things

Fung Award: Dr. Meaney

David F. Meaney, an associate professor of bioengineering, has been named the 2001 recipient of the Y.C. Fung Young Investigator Award, one of his field’s top honors for young researchers.

Dr. Meaney’s research into injury biomechanics focuses on how brain cells respond to mechanical forces. Scientists don’t currently know how much force is needed to harm the brain, nor do they understand how to help the brain repair itself after injury.

Dr. Meaney joined the Penn faculty in 1993. A member of Penn’s Institute for Medicine and Engineering and the Center for Human Modeling and Simulation, he holds a B.S.E. from RPI and an M.S. and Ph.D. from Penn.

The Fung Award goes to a bioengineering researcher younger than 36 who demonstrate the potential to make substantial contributions to the discipline. It has been awarded annually by the Bioengineering Division of the American Society of Mechanical Engineers since 1985.

Arcadia University Honor: Dr. Rodin

In a ceremony on Monday, President Judith Rodin received the first honorary degree bestowed by the newly renamed Arcadia University.

Honoray Degree: Dr. Hughes

Dr. Thomas Hughes, professor emeritus of history and sociology of science, received an honorary doctorate of humane letters from Northwestern University.

Alumni Award: Dr. Shils

Dr. Edward Shils, G.W. Taylor Professor Emeritus of Entrepreneurial Studies, will receive the Alumni Award of Merit at a Gala in November. The Alumni Award of Merit is the highest award the Alumni Society gives to one of its own members. Dr. Shils is a longtime member of the Faculty Club Board.

Service Award: Dr. Danien

Dr. Elin Danien, the founder of the Bread Upon the Waters Scholarship Fund, was honored with the 2001 Service Award from CGS at their graduation ceremony on May 21. Dr. Danien began her studies at Penn at the age of 46 and received her Ph.D. in Mayan Anthropology from Penn in 1998. She founded the Bread Upon the Waters Fund to celebrate and commemorate the positive experience she had as an older, non-traditional student. The fund currently funds more than 25 non-traditional students annually. Dr. Danien is still actively involved in working with the Bread Upon the Waters Program.

HUP on Honor Roll

The Hospital of the University of Pennsylvania has once again made the honor roll of hospitals as reported by U.S. News & World Report in its July 23 issue. HUP was the only hospital in the Delaware Valley to be recognized for its exceptional performance in many specialty areas. The hospital’s excellence was noted in 15 medical specialties: cancer; digestive disorders; ear, nose, and throat; eyes; geriatrics; gynecology; hormonal disorders; kidney disease; neurology and neurosurgery; orthopedics; rehabilitation; respiratory diseases; rheumatology; urology; and psychiatry.

Nursing Awards

Dr. Susan Gennaro, professor of nursing and director of the International Center of Research for Women, Children and Families, has received the 2001 Distinguished Professional Service Award from the Association of Women’s Health, Obstetric and Neonatal Nurses for her “lifelong commitment to nursing and her significant contributions to improving the health of women and children.”

Dr. Freida Outlaw, associate professor of psychiatric mental health, received the 2001 Nursing Practice Award from the Pennsylvania State Nurses Association. She received her M.S. in nursing from U. Penn in 1998. She founded the Bread Upon the Waters Fund to celebrate and commemorate the positive experience she had as an older, non-traditional student. The fund currently funds more than 25 non-traditional students annually. Dr. Danien is still actively involved in working with the Bread Upon the Waters Program.

U.S. Delegation: Dr. Davies and Dr. Cines

Dr. Peter F. Davies, professor of pathology and Director for Institute for Medicine and Engineering, and Dr. Douglas B. Cines, professor of pathology and co-chair of laboratory medicine, have been appointed to the U.S. delegation to the Joint U.S.-Russia Symposium on Basic Research in Cardiovascular and Pulmonary Diseases. The National Heart, Lung and Blood Institute of NIH appointed Dr. Davies and Dr. Cines.

Alumni Achievement: Mr. Chamberlin

Bruce Chamberlin, the regional director of undergraduate admissions, has received the Young Alumni Achievement Award for Service from Gettysburg College. Mr. Chamberlin has served Gettysburg College as a member of the Alumni Executive Board, class reunion committees, the Commission on the Future of Gettysburg College, Key Alumni Resource Effort and as a class agent. Mr. Chamberlin is also working on his doctorate in GSE here at Penn.

Clay Prize Fellow: Dr. Borodin

Dr. Alexei Borodin, a recent Ph.D. in mathematics and M.S. in computer science from Penn, has received a four-year appointment to the Clay Mathematics Institute (CMI). Dr. Borodin was one of four Long-Term Prize Fellows this year. The recipients must be 30 years or younger, and are required to have already contributed profound ideas and major achievements to the discipline of mathematics. “These are the very top, most exciting young people in mathematics today—these are the people to watch,” said Professor William Fulton of the University of Michigan. Professor David Eisenbud of the University of California agreed, saying: “The Clay Institute has gathered together a group without parallel. The Fellows are the most brilliant people in their field, and these awards will give them the freedom to expand their talents.”

Dr. Borodin will spend his first year of the fellowship at the Institute for Advanced Study in Princeton.

Nearly 5,000 Penn people joined Ben on College Green to participate in the 4th Annual Faculty/Staff Appreciation Picnic sponsored by Human Resources. Music was provided by Glenn Bryan’s jazz band Friends. See more photos at www.upenn.edu/almanac/v48/nt01/contents.html.