Welcome Back From the President

Creativity and Achievement for Social Good

Welcome back from winter break. As we begin the spring semester, I want to remind our seniors and their mentors that the application deadline for the President’s Engagement Prizes is January 15 and the deadline for the President’s Innovation Prize is February 12. These Prizes—a of a magnitude unparalleled anywhere in higher education—not only public recognize and reward creative projects that promote the greater good. They also proclaim our most cherished values as a university: To educate and support our students for meaningful leadership and lifelong citizenship.

Each Prize bestows a generous living allowance for one year after graduation and up to $100,000 in project expenses. All full-time undergraduates who will graduate in May, August or December of this award year are eligible to apply. I encourage seniors with a great idea to submit an application, and I also urge all our undergraduates to learn more about each Prize on CURF’s webpage. You can see how our inaugural President’s Engagement Prize winners have been putting their plans into action here: www.pennep.upsen.edu

While we empower our students to build a better, more innovative society, Penn continues to build an ever better, more sustainable, beautiful and innovative campus. This year, we are putting the finishing touches on some beautiful new additions that will enhance the life of the University. We will complete the new Perry World House on Locust Walk this spring, which will be the campus destination for international scholars and visiting dignitaries, as well as a hub for our faculty and students. Soon after, during the summer, we will also cut the ribbon on the new Pennovation Center at Pennovation Works. The Works already provides creative and entrepreneurial space for several great enterprises. With the opening of the new Pennovation Center, we will further fuel Penn’s leadership in transforming good ideas into great applications.

Near the heart of campus, we are in the final stages of construction on the New College House. Opening in fall 2016, New College House will bring 350 new bedrooms for students, and it has been designed from the ground up to enhance the college house experience. At the same time, we will embark on major renovations to our historic Hill College House. Also in the fall, we will celebrate the opening of our Neural and Behavioral Sciences Building. This cutting-edge science facility will be the new home for the biology and psychology departments and will foster transformative interdisciplinary research on nothing less intriguing, complex and important than how we Homo sapiens think and behave.

Great buildings, no matter how ingeniously designed, can achieve little without ingenious people to give them creative purpose. I couldn’t be more proud of our Penn people and their countless achievements in any given year, and I want to highlight just a few. Seniors Jenna Hebert and Debi Ogurinide have been named 2016 Rhodes Scholars and will pursue their graduate studies at Oxford University. Philip Cohen, a third-year medical student at Perelman, and Carla Winter, a senior in SEAS, have won George J. Mitchell Scholarships, which will support their graduate studies in Ireland or Northern Ireland.

Congratulations to our latest Rhodes and Mitchell Scholars and all our finalists this year for a job exceedingly well done. I know the entire Penn community also joins me in congratulating Coach Priore and our fantastic Quakers football team on their Ivy League championship.

From prestigious prizes for social good, to new buildings for world-improving research and teaching, to life-saving clinical practice that builds on Penn’s great research and teaching, 2016 promises to be a banner year for our University. Welcome back to campus, and welcome to a bright new year of creativity and achievement for social good at Penn!
Use of University Name Policy

On September 30, 1791, an act confirmed an agreement which united the University of the State of Pennsylvania with the College, Academy and Charitable School and provided that the name of the institution would be "The Trustees of the University of Pennsylvania." To facilitate communication both internally and externally, the institution’s name is commonly simplified as the “University of Pennsylvania,” or, more recently, “Penn.”

The University regulates use of its name, the names of its schools and programs, its shield and related insignia, trademarks and logos (“insignia”) to ensure that such use is related to the University’s educational, service and research missions and promotes its objectives.

Official Use

When representing the University in an official capacity, all units of the University and members of the faculty and administration must use “University of Pennsylvania” in their publications and documents. Approved University stationery must be used for official correspondence.

University names and insignia may be used in connection with any academic University program provided that the program has been approved in advance by the responsible department chair and dean or director, and Provost, as appropriate. University units, faculty, staff and student organizations that wish to use University names or insignia in connection with any non-academic University program, activity, service or product must obtain the approval of the University Secretary before proceeding. Requests to use University names or insignia must first be presented to the appropriate department chair and dean, director or, in the case of student organizations, to the Vice Provost for University Life, for review. If approved by the dean, director or vice provost, a request with supporting information must be submitted to the Secretary for review. The Secretary will review the proposed use and determine, in consultation with appropriate colleagues, whether it is properly related to the University’s missions and whether the benefits of the proposed use outweighs any risks associated with the use. The Secretary may approve the proposed use, with or without conditions, or disapprove the proposed use.

Licensed Uses by Outside Entities

University names or insignia may be used on products or in connection with services offered by outside entities only under license from the University. Requests for such licenses are processed jointly through the Office of the Vice President for Business Services (“Business Services”) and the Penn Center for Innovation (“PCI”), and with guidance from the Office of the University Secretary. Outside sponsors of University programs or activities often seek to use University names or insignia in promotional or advertising materials. While the University is pleased to recognize the contributions of sponsors, such recognition must not suggest University endorsement of the sponsor’s activities. Therefore, University names or insignia may not be used in connection with any outside entity’s name or logo without prior approval of the University Secretary. In addition, the Secretary will approve uses which recognize or acknowledge the sponsor’s contribution to the University program or activity. Uses which, in the Secretary’s judgment, may suggest University endorsement or approval of the sponsor’s goods or services will not be permitted.

Private Use

University faculty, staff and students may refer to their affiliation or status with the University in connection with personal activities, including consulting, provided that the affiliation or status is accurately represented and any title or position is accurately identified, and provided that such use does not imply University endorsement of the activity. In some cases, a disclaimer of University endorsement may be required. (See, for example, Handbook for Faculty and Academic Administrators, section II.E.10.)

Use of University insignia in connection with personal activities is prohibited. The University name must not be used in any announcement, advertising matter, publication, correspondence or report in connection with personal or non-University activities if such use in any way could be construed as implying University endorsement of or responsibility for any project, product or service.

Related Policies

All faculty, staff and students are reminded that University equipment, stationery, campus mail service and electronic media are to be used solely for University business by authorized University personnel and by officially recognized campus organizations. See Human Resources Policy No. 003. Additional information on faculty and staff involvement in extramural activities and organizations can be found in the Conflict of Interest Policy for Faculty Members, and Human Resources Policy Nos. 005 and 006.

OF RECORD

All members of the University community are reminded that the use of the Penn logo, shield and University’s name is subject to approval. The following policy outlines permitted uses as well as the approval process.

If you have any questions, please do not hesitate to contact the office at ofcsec@exchange.upenn.edu or visit www.upenn.edu/secretary/nameuse.html

—Leslie Laird Kruhly, Vice President and University Secretary, Office of the University Secretary

UCD’s VP of Planning & Economic Development: Andrew Stober

Andrew Stober has joined University City District (UCD) as its new vice president for planning & economic development, after working for more than six years for the City of Philadelphia. With the City, he served as the chief of staff for the Mayor’s Office of Transportation and Utilities, where he had lead responsibility for launching Philadelphia’s Indego bike share program and for raising millions of dollars in competitive public and private grant programs aimed at improving transit infrastructure. He also acted as Mayor Michael Nutter’s spokesman on transportation and energy issues, coordinated with the police and health departments to launch a significant pedestrian safety effort and brought revenue to the City through a highly successful bus shelter advertising program.

At UCD, Mr. Stober will oversee a portfolio that includes public space development and management, commercial corridor development and pedestrian and transportation improvements for University City, and work to continue the mission of UCD to promote a world class, vibrant neighborhood through major investments in public space development, public maintenance and public safety and by connecting entrepreneurs and residents to economic opportunity.
**Deaths**

**Arlin Adams, Emeritus Trustee**

Arlin Adams, LAW’47, HON’98, emeritus Trustee as well as chair and member emeritus of the Penn Law School Board of Overseers, died at his home on December 22. He was 94.

Judge Adams was appointed to Penn’s Board of Trustees in 1985 and served on its Academic Policy Committee. He chaired the Law School’s Board of overseers from 1985-1991 and was an adjunct member of the Law School faculty for more than two decades.

He served as an Overseer of the School of Social Work (now the School of Social Policy & Practice) and the Wharton School, and as chairman of the Fels Institute of Government. He and his wife, Neya Cristol Adams, CW’42, served as co-chairs of Penn’s Harrison Society.

Judge Adams established the Neya C. Adams Award in the School of Social Policy & Practice and the Neya Cristol Adams Prize in Biology in the School of Arts & Sciences. Judge and Mrs. Adams created the Arlin and Neya Adams Internship Program at the Law School.

In 1998, Judge Adams was awarded an honorary doctor of laws degree from Penn (Almanac March 31, 1998). He received the University’s Alumni Award of Merit in 1994, the Law School’s Distinguished Service Award in 1981 and its James Wilson Award in 2001. In 2005, the Arlin M. Adams Professorship of Constitutional Law was established (Almanac July 12, 2005).

Judge Adams earned his undergraduate degree from Temple University in 1941 and his law degree from Penn, where he served as editor-in-chief of the Law Review. He began his legal career at Schnader, Harrison, Segal & Lewis. He retired later that year.

Judge Adams is survived by his wife, Constance; one daughter, Monica Embery (Richard); three sons, John (Denise), Eric (Kim) and Chad; seven grandchildren, Alyssa Embery, Elizabeth Embery, Jack; Sarah Embery, Stephen, Mary and Emily; and four sisters, Lucille Carter, Patricia Brodbeck, Janice Balderas and Teresa. In lieu of flowers, donations may be made to the Wounded Warrior Project at P.O. Box 758517, Topeka, KS 66675 or www.woundedwarriorproject.org.

**Ronald Sanders, Office of the Registrar**

Ronald (Ron) Vincent Sanders, WEV’84, a retired University of Pennsylvania registrar, died on December 24. He was 72 years old.

Mr. Sanders was born in Gettysburg, Pennsylvania. He attended St. Francis Xavier School and Gettysburg High School, then served in the U.S. Air Force from 1960 to 1964 and in the Reserve until 1966. He worked at the Philadelphia Inquirer and attended evening school at the Philadelphia College of Textiles and Sciences (now Philadelphia University), earning his associate’s degree.

He joined the Penn admissions office in 1973 and earned his bachelor’s degree from the Wharton Evening School. In 1985, he became registrar. He helped to launch Penn InTouch 2000, a web-based degree planning and audit system (Almanac February 22, 2000), and won a Models of Excellence award as a member of the Penn Portal Team (Almanac February 17, 2004). He also served on the Facilities committee of the University Council. He retired from Penn in 2009.

Mr. Sanders is survived by his wife, Constance; one daughter, Monica Embery (Richard); three sons, John (Denise), Eric (Kim) and Chad; seven grandchildren, Alyssa Embery, Elizabeth Embery, Jack; Sarah Embery, Stephen, Mary and Emily; and four sisters, Lucille Carter, Patricia Brodbeck, Janice Balderas and Teresa. In lieu of flowers, donations may be made to the Wounded Warrior Project at P.O. Box 758517, Topeka, KS 66675 or www.woundedwarriorproject.org.

**Sondra Siegel, Conference Services**

Sondra Boss Siegel, a retired associate director in Conference Services at the University of Pennsylvania, died on December 5 in Rochester, New York. She was 77 years old.

Ms. Siegel grew up in Philadelphia, Pennsylvania. In 1984, she came to Penn as a temporary extra person in the School of Arts & Sciences’ College of General Studies (CGS). Later that year, she was hired as an administrative assistant in the School of Arts & Sciences.

In 1988, she became a coordinator in Conference Services. In 1998, she was promoted to associate director of Conference Services. In this role, she arranged an average of 110 meetings per year, working in partnership with offices across campus to meet housing, security, food service and other needs of clients holding meetings or events on campus.

She retired from Conference Services in 2006, then returned to Penn to work in Residential Living until 2010.

Ms. Siegel is survived by her son, Stephen (Michelle Drizeman); her daughter, Merrie; three grandchildren, Mitchel, Sophia and Jamie; her sister-in-law, Ruth Thomas; her nephew, Michael; her niece, Sarah; and Aaron Siegel.

Donations in her memory may be made to Penn’s Village (www.pennvillage.org) or the Free Library of Philadelphia Foundation (www.freelibrary.org).

**Maurice N. Srouji, Pediatric Surgery**

Maurice N. Srouji, former associate professor of pediatric surgery, died on December 9. He was 87 years old.

Dr. Srouji earned his medical degree from the American University of Beirut in Lebanon in 1953. He joined the Penn faculty as an assistant instructor at the Children’s Hospital of Philadelphia in 1959. He was promoted to assistant professor of pediatric surgery in the department of surgery, School of Medicine in 1966 (Almanac September 1968) and to associate professor of pediatric surgery in 1974 (Almanac November 19, 1974). He retired later that year.

Dr. Srouji’s grievance and subsequent lawsuit against the University prompted a reexamination of the rights of University faculty members to participate in separately organized medical practice groups (Almanac January 12, 1982).

Dr. Srouji is survived by his wife, Batishwa, and two daughters, Maureen and Nadeen.

(Deaths continued on page 4)
Anthony F. C. Wallace, Anthropology
Anthony (Tony) Wallace, C’47, G’49, Gr’50, professor emeritus of anthropology at Penn, died on October 5. He was 91.

Dr. Wallace was born in Toronto, Ontario, Canada. He earned his BA in history and his MA and PhD in anthropology, both from Penn. He began teaching in Penn’s department of anthropology in 1948. In 1961, he became professor and chairman of the department (Almanac October 1961). He was also curator of North American ethnology in the Penn Museum, though that was largely honorary. As chairman of the department of anthropology in the 1960s, he obtained a million dollars from the National Science Foundation to help build the Academic Wing of the Museum.

In 1980, he became the first Geraldine R. Segal Professor in American Social Thought at Penn. In 1983, he became University Professor of Anthropology (Almanac September 13, 1983). He served on the board of the Research Foundation of the University, the Faculty Editorial Committee of the University Press and the Ethnohistory Committee. He retired from Penn and took emeritus status in 1988.

Dr. Wallace was a member of the National Academy of Sciences, American Philosophical Society and American Academy of Arts and Sciences. The former president of the American Anthropological Association also chaired an ACLS sub-commission in the US-USSR exchange, and was an advisor in the American space program. He received a Guggenheim fellowship to study the role of personal networks of mechanicians in industrial innovation in the 19th century (Almanac December 5, 1978).

In 1979, he received the Bancroft Prize for his book, Rockdale: The Growth of an American Village in the Early Industrial Revolution (Almanac April 1979). In 1980, he won the Philadelphia Athenaeum Literary Award for the same book. Additional awards included the Cleveland Foundation’s Annisfield-Wolf Award for The Death and Rebirth of the Seneca and the Cornplanter Medal for Iroquoian Studies.


His papers are at the American Philosophical Society (http://www.amphilsoc.org/collections/view?docId=ead/Mss.Ms.Coll.64a-ead.xml).

From the Office of the Provost
Seven Professors: Penn Fellows

Provo Vincent Price and Vice Provost for Faculty Anita Allen are pleased to announce the appointment of the eighth cohort of Penn Fellows.

The Penn Fellows program, begun in 2009, provides leadership development to select Penn faculty members in mid-career. It includes opportunities to build cross-campus alliances, meet distinguished academic leaders, think strategically about universities and university governance and consult with Penn’s senior administrators.

Angela DeMichele, Alan and Jill Miller Professor in Breast Cancer Excellence in the Perelman School of Medicine, focuses on developing biomarkers, surveillance strategies and new therapeutic approaches to prevent and treat recurrent, metastatic breast cancer, as well as on research about survivorship.

Hanning Fang, Class of 1965 Term Professor of Economics in the School of Arts & Sciences, is an applied microeconomist focusing on public economics, including topics ranging from discrimination, social economics and welfare reform to public good provision mechanisms, auctions and health insurance markets.

Michael Horowitz, associate professor of political science in the School of Arts & Sciences and associate director of the Perry World House, studies military innovation in the future of war, the role of leaders in international politics, the relationships between religion and politics, and other topics with direct implications for public policy.

Hyon (Michel) Koo, professor of orthodontics in the School of Dental Medicine, focuses on understanding the assembly principles and virulence determinants of oral biofilms, as well as developing therapeutic approaches to prevent biofilm-dependent oral infectious diseases such as dental caries.

Sophia Lee, professor of law and history and deputy dean in the Law School, is a legal historian whose scholarship synthesizes labor, constitutional and administrative law, including topics as challenges to workplace discrimination during the early Cold War and conservative legal movements in the post-New Deal era.

Jason Moore, Edward Rose Professor of Informatics in the Perelman School of Medicine and director of the Penn Institute for Biomedical Informatics, focuses on computational and statistical algorithms for identifying combinations of DNA sequence variations and combinations of environmental factors that are predictive of common disease endpoints.

Christian Terweisch, Andrew M. Heller Professor of Operations, Information and Decisions in the Wharton School, studies innovation, especially in healthcare and in innovation tournaments, which provide innovation opportunities for product designers, entrepreneurs and others in such areas as packaged goods, pharmaceuticals, financial services and technology.

Revisions to the University’s Short-Term Disability (STD) and Sick Leave Policies (effective May 1, 2016)

Penn is revising its short-term disability (STD) and related sick leave policies. These policy changes support full or partial income protection for regular full-time staff members during a period of disability, regardless of weekly or monthly paid status, or length of service. The changes will go into effect July 1, 2016 for all current and new regular full-time staff, position grades 28 and below.

What’s Changing

Under the new policy, staff members will be eligible for short-term disability on the first day of the month following their hire date. Staff members will no longer need to accrue STD days. Instead, staff members who are approved for disability will be paid 100% of their base salary for the duration of the disability up to a maximum of 6 weeks after a 10-day waiting period.

During the waiting period, sick and/or paid time off (PTO) days will be used. If sick or PTO days are not available, the waiting period will be unpaid. If the approved disability continues beyond 8 weeks, staff members will continue to receive 75% of base salary up to a maximum of 18 additional weeks.

Staff members will continue to accrue sick days up to a new maximum of 24 days. Staff members who have accrued more than 24 sick days before July 1, 2016 will retain their balance of unused days. These days may be used during the STD waiting period and for unrelated illnesses, doctor’s appointments, intermittent family and medical leave, and care of a family member (up to 5 days in a calendar year). Staff members who have accrued STD days before July 1, 2016 will retain their balances until June 30, 2018. These days may be used during weeks 9-26 of STD to replace 75% of salary with 100%.

University staff members who are covered by collective bargaining agreements should refer to the appropriate contract article. Any contract articles incorporating or referring to previous editions of this policy shall be superseded by the new policy.

Penn’s current sick leave and STD policies remain in effect through June 30, 2016.

Information Sessions

Human Resources will hold information sessions to explain the new policy in greater detail. You are encouraged to attend a session at the least convenient time. Sessions are scheduled for:

Tuesday, February 2
10:11-11:30 a.m.
2-3:30 p.m.

Tuesday, February 9
10 a.m.-11:30 a.m.

Please register in advance at www.hr.upenn.edu/myhr/registration/events?category=53
If you have questions, please contact jmf@hr.upenn.edu or visit www.hr.upenn.edu/myhr/benefits
Division of Human Resources

To Report A Death

Almanac appreciates being informed of the deaths of current and former faculty and staff members, students and other members of the University community. Call (215) 898-5274 or email almanac@upenn.edu
Below* are two "Notices to Interested Parties" that are required by the IRS. The notices inform employees who are covered by the Retirement Allowance Plan of the University of Pennsylvania or by the University of Pennsylvania Basic Plan: (1) that an application is to be made to the IRS for its determination that those Plans, as amended, continue to qualify for favorable tax treatment, and (2) of their right to comment and request relevant information. Read and retain this issue for future reference.

University of Pennsylvania Basic Plan: Notice to Interested Parties

This notice is to all present, regular employees of The Trustees of the University of Pennsylvania (the "University") who are potentially eligible to participate in The University of Pennsylvania Basic Plan (the "Plan") as described below.

An application is to be made to the Internal Revenue Service for an advance determination on the qualification of the following employee pension benefit plan:

Name of the Plan: The University of Pennsylvania Basic Plan
Plan Number: 028

Name and Address of Applicant:
The Trustees of the University of Pennsylvania
3401 Walnut Street, 527A
Philadelphia, PA 19104

Applicant’s Employer Identification Number: 23-1352685

Name and Address of Plan Administrator:
Vice President of Human Resources
University of Pennsylvania
3401 Walnut Street, Suite 527A
Philadelphia, PA 19104

The application will be filed on January 29, 2016 for an advance determination as to whether the Plan meets the qualification requirements of §401 of the Internal Revenue Code of 1986, with respect to the Plan’s amendment. The application will be filed with:

Internal Revenue Service
Attention: EP Determination Letters
Stop 31
P.O. Box 12192
Covington, KY 41012-0192

The employees potentially eligible to participate under the Plan are: each person who is employed by the University as (i) a regular, full-time faculty or staff member; or (ii) certain adjunct, visiting and emeritus faculty who attain age 21 and complete a year of service; or (iii) any employee who is a police officer and who elected to stop accruing benefits under the University of Pennsylvania Fraternal Order of Police, Pennsylvania Lodge 113 Defined Benefit Retirement Plan (now known as the University of Pennsylvania Penn Police Association Defined Benefit Retirement Plan) effective January 1, 2012 and to instead participate in this Plan. The application is ten. If you request the Department to comment, your request must be in writing and must specify the matters upon which comments are requested, and must also include:

(1) the Name of the Plan, the Plan Number, the Name and Address of the Applicant, and the Applicant’s Employer Identification Number, as listed above on this Notice; and
(2) the number of persons needed for the Department to comment.

A request to the Department to comment should be addressed as follows:

Deputy Assistant Secretary
Employee Benefits Security Administration
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, D.C. 20210
Attention: 3001 Comment Request

Comments to the Internal Revenue Service

Comments submitted by you to EP Determinations must be in writing and received by it by March 14, 2016. However, if there are matters that you request the Department of Labor to comment upon on your behalf, and the Department declines, you may submit comments on these matters to EP Determinations to be received by it within 15 days from the time the Department notifies you that it will not comment on a particular matter, or by March 14, 2016, whichever is later, but not after March 29, 2016. A request to the Department to comment on your behalf must be received by it by February 13, 2016, if you wish to preserve your right to comment on a matter upon which the Department declines to comment, or by February 23, 2016 if you wish to waive that right.

Rights of Interested Parties

You have the right to submit to EP Determinations, at the address below, either individually or jointly with other interested parties, your comments as to whether the Plan meets the qualification requirements of the Internal Revenue Code. Your comments to EP Determinations may be submitted to:

Internal Revenue Service
EP Determinations
Attention: Customer Service Manager
P.O. Box 2508
Cincinnati, OH 45202

You may instead, individually or jointly with other interested parties, request the Department of Labor to submit, on your behalf, comments to EP Determinations regarding qualification of the Plan. If the Department declines to comment on all or some of the matters you raise, you may, individually, or jointly if your request was made to the Department jointly, submit your comments on these matters directly to EP Determinations at the Cincinnati address above.

Requests for Comments by the Department of Labor

The Department of Labor may not comment on behalf of interested parties unless requested to do so by the lesser of ten employees or ten percent of the employees who qualify as interested parties. The number of persons needed for the Department to comment with respect to the Plan is ten. If you request the Department to comment, your request must be in writing and must specify the matters upon which comments are requested, and must also include:

(1) the Name of the Plan, the Plan Number, the Name and Address of the Applicant, and the Applicant’s Employer Identification Number, as listed above on this Notice; and
(2) the number of persons needed for the Department to comment.

A request to the Department to comment should be addressed as follows:

Deputy Assistant Secretary
Employee Benefits Security Administration
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, D.C. 20210
Attention: 3001 Comment Request

Comments to the Internal Revenue Service

Comments submitted by you to EP Determinations must be in writing and received by it by March 14, 2016. However, if there are matters that you request the Department of Labor to comment upon on your behalf, and the Department declines, you may submit comments on these matters to EP Determinations to be received by it within 15 days from the time the Department notifies you that it will not comment on a particular matter, or by March 14, 2016, whichever is later, but not after March 29, 2016. A request to the Department to comment on your behalf must be received by it by February 13, 2016, if you wish to preserve your right to comment on a matter upon which the Department declines to comment, or by February 23, 2016 if you wish to waive that right.

Additional Information

Detailed instructions regarding the requirements for notification of interested parties may be found in sections 17 and 18 of Revenue Procedure 2015/6. Additional information concerning this application (including, where applicable, an updated copy of the Plan and related trust; the application for determination; any additional documents dealing with the application that have been submitted to the Service; and copies of section 17 of Revenue Procedure 2015/6) is available at the office of the Plan Administrator during normal business hours for inspection and copying, or by electronic access that this information be supplied to you by mail by contacting the Plan Administrator at (215) 898-9947. (There may be a nominal charge for copying and/or mailing.)
University of Pennsylvania Retirement Allowance Plan: Notice to Interested Parties

This notice is to all present, regular employees of The Trustees of the University of Pennsylvania (the “University”) who are potentially eligible to participate in the Retirement Allowance Plan of the University of Pennsylvania (the “RAP”) as described below.

An application is to be made to the Internal Revenue Service for an advance determination on the qualification of the following employee pension benefit plan:

Name of the Plan: Retirement Allowance Plan of the University of Pennsylvania
Plan Number: 003

Name and Address of Applicant:
The Trustees of the University of Pennsylvania
3401 Walnut Street, Suite 527A
Philadelphia, PA 19104

Applicant’s Employer Identification Number: 23-1352685

Name and Address of Plan Administrator:
Vice President of Human Resources
University of Pennsylvania
3401 Walnut Street, Suite 527A
Philadelphia, PA 19104

The application will be filed on January 29, 2016 for an advance determination as to whether the RAP meets the qualification requirements of §401 of the Internal Revenue Code of 1986, with respect to the RAP’s amendment. The application will be filed with:

Internal Revenue Service
Attention: EP Determination Letters
Stop 31
P.O. Box 12192
Covington, KY 41012-0192

The employees potentially eligible to participate under the RAP are:

- each person who is employed by University as (i) a regular, full-time, non-exempt or limited service employee not covered by a collective bargaining agreement; or (ii) a full-time non-exempt employee covered by a collective bargaining agreement if such agreement provides for participation in the RAP; or (iii) an exempt or non-exempt, part-time employee who attains age 21 and completes 1,000 or more hours of service during a 12-consecutive-month period beginning either on such employee’s employment commencement date, or if applicable July 1, and who is not otherwise specifically excluded from participating in the RAP. The following are excluded: (a) any student-worker (including any individual classified by the University as a resident, fellow, or intern or any other student worker who is engaged in employment that is not intended to be for a permanent and indefinite period of time) whose employment is incidental to his educational program with the University; (b) any student or other individual employed or performing services as part of a post-doctoral program with the University; (c) anyone classified by the University as a temporary employee; (d) any person whose terms and conditions of employment are subject to a collective bargaining agreement unless the collective bargaining agreement provides for the eligibility of such person to participate in the RAP; (e) any person employed by a division or an affiliate who is covered by the Retirement Plan of the Hospital of the University of Pennsylvania, Presbyterian Medical Center and the Pennsylvania Hospital, by The Retirement Contribution and 403(b) Matching Plan of the University of Pennsylvania Health System, or by another retirement plan sponsored by a division or affiliate of the University of Pennsylvania Health System; (f) any leased employee; (g) any employee eligible to be covered under the University of Pennsylvania Basic Contribution Plan and the University of Pennsylvania Matching Plan (which together were formerly known as the University’s Tax-Deferred Retirement Plan), unless such employee was participating in the RAP on the date he became eligible to participate in such other University plans and he elected to continue participating in the RAP; (h) any employee who is a police officer covered under the University of Pennsylvania Penn Police Association Defined Benefit Retirement Plan; (i) any member of the faculty of the University; and (j) any independent contractor or any other person who is not classified by the University as a common law employee for purposes of withholding federal employment taxes.

The Internal Revenue Service has previously issued a determination letter with respect to the qualification of the RAP.

Rights of Interested Parties

You have the right to submit to EP Determinations, at the address below, either individually or jointly with other interested parties, your comments as to whether the RAP meets the qualification requirements of the Internal Revenue Code. Your comments to EP Determinations may be submitted to:

Internal Revenue Service
EP Determinations
Attention: Customer Service Manager
P.O. Box 2508
Cincinnati, OH 45202

You may instead, individually or jointly with other interested parties, request the Department of Labor to submit, on your behalf, comments to EP Determinations regarding qualification of the RAP. If the Department declines to comment on all or some of the matters you raise, you may, individually, or jointly if your request was made to the Department jointly, submit your comments on these matters directly to EP Determinations at the Cincinnati address above.

Requests for Comments by the Department of Labor

The Department of Labor may not comment on behalf of interested parties unless requested to do so by the lesser of ten employees or ten percent of the employees who qualify as interested parties. The number of persons needed for the Department to comment with respect to the RAP is ten. If you request the Department to comment, your request must be in writing and must specify the matters upon which comments are requested, and must also include: (1) the Name of the Plan, the Plan Number, the Name and Address of the Applicant, and the Applicant’s Employer Identification Number, as listed above on this Notice; and (2) the number of persons needed for the Department to comment.

A request to the Department to comment should be addressed as follows:

- Deputy Assistant Secretary
- Employee Benefits Security Administration
- U.S. Department of Labor
- 200 Constitution Avenue, N.W.
- Washington, D.C. 20210
- Attention: 3001 Comment Request

Comments to the Internal Revenue Service

Comments submitted by you to EP Determinations must be in writing and received by it by March 14, 2016. However, if there are matters that you request the Department of Labor to comment upon on your behalf, and the Department declines, you may submit comments on these matters to EP Determinations to be received by it within 15 days from the time the Department notifies you that it will not comment on a particular matter, or by March 14, 2016, whichever is later, but not after March 29, 2016. A request to the Department to comment on your behalf must be received by it by February 13, 2016, if you wish to preserve your right to comment on a matter upon which the Department declines to comment, or by February 23, 2016 if you wish to waive that right.

Additional Information

Detailed instructions regarding the requirements for notification of interested parties may be found in sections 17 and 18 of Revenue Procedure 2015-6. Additional information concerning this application (including, where applicable, an updated copy of the RAP and related trust; the application for determination; any additional documents dealing with the application that have been submitted to the Service; and copies of section 17 of Revenue Procedure 2015-6) is available at the office of the Plan Administrator during normal business hours for inspection and copying, or you may request that this information be supplied to you by mail by contacting the Plan Administrator at (215) 898-9947. (There may be a nominal charge for copying and/or mailing.)
18 Identity, Intersectionality and You: undergrad and grad student panel; Charles Davis, moderator; 5-7 p.m.; Multipurpose Room, W.E.B. Du Bois College House; RSVP: https://go.ups/al/Un/eu (Du Bois College House; Civic House; LGBT Center).

19 #BlackWomensLivesMatter: The Impact of Sexual Violence; a forum for black women to ask questions, share experiences on campus and discuss ways to increase the awareness and visibility of sexual violence in the black community; noon; Penn Women’s Center (PWC).

20 HIV is a crime? Or is it? The intersection of race and gender; panel discussion to increase awareness about HIV criminalization and examine the framework in which race plays a role, reduce stigma and reinvigorate the community to continue to address these and other social issues around HIV; Kenyon Farrow, US and Global Health Policy; Waseedah Shabazz-El, US Positive Women’s Network; noon; Amado Recital Room, Irvine Auditorium (Penn Center for AIDS Research).

Purchasing Services’ New Website
Penn Purchasing Services has unveiled its new website at www.upenn.edu/purchasing.

Designed to speak to and support many different audiences within the Penn community, from those individuals who oversee procurement for their departments to local and regional suppliers that do business with the University, the new site features many enhancements for its visitors. Incorporating feedback from site users and other stakeholders, the new design features:

• New supplier search capabilities that offer purchasers the ability to refine their requests based on specific criteria;
• Reorganized content so users can quickly find the information they need, using fewer clicks to reach their targeted destination;
• Simplified industry concepts and terms in easy-to-understand language; and
• Integrated best practices that enhance the overall user experience.

Site users who may have bookmarked favorite pages that frequently visit are encouraged to take a few moments to reset their bookmarks.

Please contact feedback@exchange.upenn.edu should you have any questions.

The University of Pennsylvania Police Department
Community Crime Report

About the Crime Report: Below are all Crimes Against Persons and Crimes Against Society from the campus report for December 28, 2015-January 3, 2016. Also reported were 8 Crimes Against Property (3 other offenses, 3 thefts, 1 DUI and 1 narcotic offense). Full reports are available at: www.upenn.edu/almanac/volumes/v62n18/18109.html. Prior weeks’ reports are also online.—Eds.

This summary is prepared by the Division of Public Safety and includes all criminal incidents reported and made known to the University Police Department between the dates of December 28, 2015-January 3, 2016. The University Police actively patrol from Market Street to Baltimore Avenue and from the Schuylkill River to 43rd Street in conjunction with the Philadelphia Police. In this effort to provide you with a thorough and accurate report on public safety concerns, we hope that your increased awareness will lessen the opportunity for crime. For any concerns or suggestions regarding this report, please call the Division of Public Safety at (215) 898-4482.

1st District Report

Below are the Crimes Against Persons from the 1st District: 4 incidents with 1 arrest (2 robberies, 1 aggrav. assault & 1 MVC). Also reported were 8 Crimes Against Property (3 other offenses, 3 thefts, 1 DUI and 1 narcotic offense). Full reports are available at: www.upenn.edu/almanac/volumes/v62n18/18109.html. Prior weeks’ reports are also online.—Eds.

18th District Report

Below are the Crimes Against Persons from the 18th District: 4 incidents with 1 arrest (2 robberies, 1 aggrav. assault & 1 MVC). Also reported were 8 Crimes Against Property (3 other offenses, 3 thefts, 1 DUI and 1 narcotic offense). Full reports are available at: www.upenn.edu/almanac/volumes/v62n18/18109.html. Prior weeks’ reports are also online.—Eds.

Reminder: Burrison Gallery at the University Club:
Spring 2016 Call for Submittals

The Burrison Gallery is calling for submittals of artwork to be considered for exhibition during the spring 2016 academic term. Any Penn faculty, staff, alums, alumnus or a member of their family may submit work for consideration. Selected artists will be given a four- to five-week, one-person show at the gallery with the option of an opening reception. The deadline for submittals is January 30, 2016. Selected artists will be notified by February 15, 2016. See the Gallery’s website for an application form: http://cms.business-services.upenn.edu/universityclub/burrison-gallery.html.

The Burrison Gallery is an entity of the University Club, a private club for faculty, staff and associate members who include post-doc fellow, graduate students and alumni of the University of Pennsylvania. The Burrison Gallery provides a venue for exhibitions by artists in the University community. Maurice Burrisson’s vision was to encourage artistic freedom. His legacy continues as the Burrison Gallery; it emphasizes the role of art and culture in strengthening collaborative relationships across the University.

2016 Summer Camps at Penn

A listing of numerous summer camps and academic programs taking place on Penn’s campus will be published in the January 26 issue of Almanac. Those who are planning on holding camps at Penn next summer are encouraged to notify Almanac by Tuesday, January 12 to be included.

Email information to almanac@upenn.edu

For more information, call (215) 898-5274.
HONORS & OTHER THINGS

The University of Pennsylvania honored these distinguished alumni for their outstanding service to the University at the 81st Annual Alumni Award of Merit Gala in November 2015.

Alumni Awards of Merit

Thomas J. Cusack, C’77, W’77, earned degrees in economics and finance at Penn, where he played varsity rugby and was a member of the Phi Sigma Kappa fraternity. He began his career at Bankers Trust Company as a financial analyst, then earned an MBA in 1981. He spent six years at McKinsey & Company and then moved to General Electric in 1987, where he worked in business development. He joined Transamerica Corporation in 1989 and was named chairman, president and CEO of Transamerica Life Companies in 1995. In 1999, he retired to work as a private investor and advisor.

Starting in 2000, Mr. Cusack served on the Penn Libraries Board of Overseers. During his tenure as Board chair (2005-2013), Penn Libraries undertook a transformative renovation of its special collection research center—now the Kislak Center for Special Collections, Rare Books and Manuscripts.

Mr. Cusack served as a member of the Making History capital campaign from 2007-2012. Penn Libraries, in particular, benefitted from his leadership during the Campaign, exceeding its ambitious $47 million goal to raise $62.5 million. He also served on the Homecoming Weekend host committee.

Kenneth S. Glass, EE’82, W’82, PAR’10, PAR’12, was a member of the first full graduating class in the College of Arts and Engineering. He majored in math and funded two endowed scholarships. He earned a degree in systems science & engineering and economics with a concentration in entrepreneurial management. He launched his career at AT&T Bell Labs, then served as vice president of marketing & business development at Brightwork Development before joining Microsoft in 1991. In 2000, he founded Glass5, LLC, a venture capital and private equity firm.

Mr. Glass is an alumni interviewer and an active participant in M&T Day. For the past 20 years, he has also been a guest lecturer in M&T’s Management of Technology course. He created the Glass Family Management & Technology Fund and the Glass Family Scholarship. He has spearheaded alumni engagement initiatives up and down the West Coast. Most recently, he has served on the M&T Program’s Executive Board. He led M&T’s 35th anniversary in 2014, for which more than 200 M&T alumni returned to campus. He also established a challenge program; a total of 415 alumni made contributions, including 215 first-time donors. The $13.5 million raised was the largest single-year total in the history of the M&T Program.

John R. “Rick” Rockwell, W’64, WG’66, graduated from Wharton with both an undergraduate degree and an MBA, then embarked on a career with the T. Rowe Price Group, Inc. He retired as senior vice president and managing director in 2007. Known for his striped red and blue blazer, Mr. Rockwell chaired the Class of 1964’s 50th Reunion, where he was also elected Class President. He is also a former member of the Penn Alumni Council and the Parents Executive Board.

Mr. Rockwell served as a longtime member of the Athletics Board of Overseers and has co-chaired the Basketball Board since 2007, when he also joined the Football Board. He endowed the Men’s Head Basketball Coach position and established the John R. Rockwell Gymnasium at Hutchinson Gym.

Mr. Rockwell joined the Penn Museum’s Board of Overseers in 2008, serving on the Finance and Marketing and Acquisitions committees. He underwrote Native American Voices: The People—Here and Now and funded two conservation projects: the restoration of the stone reliefs of the Pyramid of Penamun’s Herron Rotunda and the creation of a conservation area in the museum’s Artifact Lab. He also funded the Egyptian excavation that unearthed the tomb of Senebkay of the lost Abydos dynasty.

Katherine Stein Sachs, CW’69, PAR’95, PAR’98, PAR’06, PAR’10, studied art history at Penn. She was a member of the University’s Board of Trustees for nine years, beginning in 2004, and served on the Development, Academic Policy and Student Life committees. Since becoming an emeritus Trustee in 2013, she has been active on the Facilities & Campus Planning Committee. She is a long-term member of the Trustees’ Council of Penn Women (TCPW) and has also served on the Penn Alumni Board of Directors, the Penn Alumni Council, the Steering Committee of the Making History campaign, and the Class of 1969 Reunion Committee.

Ms. Sachs and her husband, Keith, W’67, created a programming fund to raise the profile of contemporary art on campus. They endowed the Sachs Professorship in Contemporary Art in the School of Arts & Sciences and the Keith L. and Katherine S. Sachs Guest Curator Program, one of the anchor gifts of the ICA campaign.

Ms. Sachs joined the board of the Institute of Contemporary Art (ICA) in 1996 and became chair shortly afterward. She chaired the ICA Endowment Campaign and served as ICA chair for the Making History campaign. She also established the Sachs Guest Curator Program, one of the anchor gifts of the ICA campaign.

Ms. Sachs joined the board of the ICA in 1996 and served as chair. She was instrumental in founding the Arts Leadership Day, which was later transformed into Homecoming Weekend Featuring Arts and Culture at Penn. For many years, she and her husband opened their collection of contemporary and modern art to Penn students.

Young Alumni Awards

Seth M. Gins, C’00, majored in math and South Asia regional studies at Penn. He is managing director of the investment management firm Jennison Associates. He has served on the Board of Overseers of Penn’s School of Nursing since 2012 and was the establishing donor for the Penn Nanocare Initiative in 2016.

In 2006, he funded the Gambhir and Ganguel Endowed Travel Scholarship, which allows Penn students to participate in the University’s Center for the Advanced Study of India (CASi) study-abroad programs in South Asia. He has also served on CASi’s International Advisory Board since 2011.

In honor of his parents, he created the Dr. Edward and Nanette Gins Endowed Scholarship, which rotates among Penn’s four undergraduate schools. He also established the Gins Fund for International Writers, which supports the Writers On Horseback Residencies at the Kelly Writers House. In 2008, he created the Gins Literacy Program Fund, a University-wide tutoring program serving West Philadelphia at the Netter Center for Community Partnerships.

Ms. Yee writes blog posts for Frankly Penn. As co-chair of the Reunion Outreach Committee for her class’s 5th Reunion, she helped her Class win the David N. Tyre Award for Excellence in Class Communications. She is president of the Penn Club of Philadelphia and the corresponding secretary for the Association of Alumni Board. She has also been a member of the Homecoming and Time to Shine Host committees and has served on the Penn Alumni Board of Directors and the executive board of the Association of Alumni.

Ms. Yee is co-president of the Class of 2008 and a member of its gift committee. She is an alumni interviewer and volunteers as a consultant for nonprofits through PennPAC. In 2013, she became a vice president of the Alumni Class Leadership Council (ALCLC) and a member of its executive committee.

Class & Club Recognition Awards

The Class of 1955 received the Class Award of Merit for its 60th Reunion efforts. With 139 guests in attendance, they had the highest-ever turnout for a 60th reunion. Over 200 members of the Class made donations during the reunion year, including eight who underwrote scholarships. The Class raised $1 million for the Penn Fund—the first 60th reunion class in the history of the University to do so. The Class broke its original goal of $425,000 and reached a final tally of $1,263,000, almost four times the previous record, set in 2007.

The Class of 1990 received the David N. Tyre Award for Excellence in Communications for its unique and effective tools and techniques, including a class fundraising URL, “private label” merchandise and a partnership with Uber. The Class made particularly effective use of Facebook with a group of over 900 members, more than any other alumni class. The Class also underwrote recordings, with close to 800 reunion attendees and 730 donors to the Penn Fund, including more than 100 who gave at the Benjamin Franklin Society level. The Class also received the Class of 1917 Award, given to the class with the most successful reunion fundraising year.

The Penn Club of Boston received the 2015 Class Award of Merit, having increased its club’s membership by 35% in the past two years. The club has established strategic Penn partnerships and hosted creative and engaging member events. Its online presence helped achieve a 10% increase in overall event attendance last year.